

SUBRECIPIENT COMMITMENT FORM

Complete and submit along with proposal and Proposal Routing Form to the Office of Sponsored Proposals & Awards

Subrecipient Legal Name: DeKalb County School District

Subrecipient PI Name: Candace Alexander

Address: 1701 Mountain Industrial Blvd City: Stone Mountain State: Georgia

Address where research will be conducted: 1701 Mountain Industrial Blvd City: Stone Mountain State: Georgia

Proposal Title: Developing Educators and Leaders (Project DEAL)

Performance Period Begin: September 1, 2024 End Date: September 1, 2029

GSU's PI Name: Dr. Susan Ogletree

Prime Sponsor: DOE - Office of Elementary and Secondary Education (OESE)

SECTION A – Proposal Documents

The following documents are included in our proposal submission and covered by the certifications below (check as applicable):

- STATEMENT OF WORK (required)
- BUDGET AND BUDGET JUSTIFICATION (required) Total Amount Requested \$2,855,000
- Small/Small Disadvantaged Business Subcontracting Plan, in agency-required format
- Biosketches of all Key Personnel, in agency-required format
- Other: _____
- Other: _____

SECTION B - Certifications

1. **Facilities and Administrative Rates** included in this proposal have been calculated based on:
 - Our federally-negotiated F&A rates for this type of work, or a reduced F&A rate that we hereby agree to accept.
(If this box is checked, please attach a copy of your F&A rate agreement or provide a URL link to the agreement.)
 - Other rates (please specify the basis on which the rate has been calculated in Section D Comments below)

2. **Fringe Benefit Rates** included in this proposal have been calculated based on:
 - Rates consistent with or lower than our federally-negotiated rates
(If this box is checked, please attach a copy of your FB rate agreement or provide a URL link to the agreement.)
 - Other rates (please specify the basis on which the rate has been calculated in Section D Comments below).

3. **Small Business Concern** Yes No
 Subrecipient represents that it is a small business concern as defined in 13 CFR 124.1002.
 If "Yes": Subrecipient represents that it is a:
 - Small disadvantaged business as certified by the Small Business Administration
 - Women-owned small business concern
 - Veteran-owned small business concern
 - Service-disabled veteran-owned small business concern
 - HUBZone small business concern

4. **Cost Sharing** Yes No Amount: \$1,200,000
Cost sharing amounts and justification should be included in the subrecipient's budget

5. **Human Subjects** Yes No Approval Date: _____
 If "Yes": Copies of the IRB approval and approved "Informed Consent" form must be provided before any subaward will be issued. Please forward these documents to GSU's PI and GSU's Office of Sponsored Proposals & Awards as soon as they become available. In accordance with GSU policy, GSU's IRB must conduct a secondary review of the subaward work and issue a companion approval before any subaward will be issued.
 If "Yes": Have all key personnel involved completed Human Subjects Training? Yes No

6. **Animal Subjects** Yes No Approval Date: _____
 If "Yes": A copy of the IACUC approval must be provided before any subaward will be issued. Please forward this document to GSU's PI and GSU's Office of Sponsored Proposals & Awards as soon as it becomes available. In accordance with GSU policy, GSU's IACUC must conduct a secondary review of the subaward work and issue a companion approval before any subaward will be issued.

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7. Conflict of Interest

- Subrecipient Organization/Institution certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research." Subrecipient also certifies that, to the best of its knowledge, (1) all financial disclosures have been made related to the activities that may be funded by or through a resulting agreement and required by its conflict of interest policy; and (2) all identified conflicts of interest have or will have been satisfactorily managed, reduced or eliminated in accordance with subrecipient's conflict of interest policy prior to the expenditure of any funds under any resultant agreement.
- Subrecipient does not have an active and/or enforced conflict of interest policy and agrees to abide by GSU's Policy on Financial Disclosures in Sponsored Projects, located online at http://research.gsu.edu/files/2013/04/GSU_Policy_on_Financial_Disclosures_in_Sponsored_Projects.pdf. Pursuant to the Policy, for projects funded by PHS agencies Subrecipient "Investigators" must complete the required disclosures at the time of proposal submission and complete training prior to the expenditures of any funds under any resultant agreement. The GSU Financial Disclosure Form is located online at <https://sfi-gfa.rhcloud.com/login/gsu>.

8. Debarment and Suspension

Is the PI or any other employee or student participating in this project debarred, suspended or otherwise excluded from or ineligible for participation in federal assistance programs or activities? Yes No
(if "Yes", explain in Section D *Comments* below)

The Subrecipient certifies they: (answer all questions below)

- are are not presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts
- are are not presently indicted for, or otherwise criminally or civilly charged by a government entity
- have have not within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract of subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property
- have have not within three (3) years preceding this offer, had one or more contracts terminated for default by any federal agency

9. Fiscal Responsibility (Check each box that applies):

- The organization certifies that its financial system is in accordance with generally accepted account principles (GAAP) and:
- has the capability to identify, in its accounts, all Federal awards received and expended and the Federal programs under which they were received;
 - maintains internal controls to assure that it is managing Federal awards in compliance with applicable laws, regulations and the provision of contracts or grants;
 - complies with applicable laws and regulations;
 - can prepare appropriate financial statements, including the schedule of expenditures of federal awards;
 - there are no outstanding audit findings which would impact contract costs. If there are findings, submit a copy of the most recent report that describes the finding and steps to be taken to correct the finding.

SECTION C - Audit Status

10. Audit Status

- Subrecipient receives an annual audit in accordance with the Audit Requirements in Subpart F of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (UG).
Most recent fiscal year completed: FY _____
Were any audit findings reported? (If "Yes," explain in Section D, *Comments*, below.) Yes No

Please attach a complete copy of your most recent UG audit report or provide the URL link to a complete copy.

- Subrecipient DOES NOT receive an annual audit in accordance with the UG.
Subrecipient is a:
 - Non-profit entity (under federal funding threshold)
 - Foreign entity
 - For profit entity
 - Government entity

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Please complete an "Audit Certification and Financial Status Questionnaire". A limited scope audit may be required before a subaward will be issued.

SECTION D - Comments

APPROVED FOR SUBRECIPIENT

The information, certifications and representations above have been read, signed and made by an authorized official of the Subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of agency policy in regard to subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. **Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the Subrecipient's own risk.**

Devon Q. Horton
Signature of Subrecipient's Authorized Official

Legal Name of Subrecipient's Organization/Institution

Dr. Devon Q. Horton, Superintendent
Name and Title of Authorized Official

Address

Email

City, State, Zip

Phone

Federal Employer Identification Number (EIN)

5/30/24
Date

DUNS or DUNS+4 number

Subrecipient's Congressional District

Is Subrecipient owned or controlled by a parent entity? Yes No

If "Yes", please provide the following:

Parent Entity Legal Name: _____
 Parent Entity Address, City, State, Zip: _____
 Parent Entity Congressional District: _____
 Parent Entity DUNS: _____
 Parent Entity EIN: _____