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NORTH CAROLINA STATE BOARD OF EDUCATION
Policy Manual

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Statutory Reference	

Please refer to the insert **NC Public School Allotment Policy Manual**. This Manual is available only online through the NC Department of Public Instruction's website to the Local Education Agencies of North Carolina (LEAs) and the general public.

Questions regarding the **NC Public School Allotment Policy Manual** should be directed to the:

NC Department of Public Instruction
Division of School Business
School Allotments Section
6334 Mail Service Center
Raleigh, NC 27699-6334
(919) 807-3700

The **NC Public School Allotment Policy Manual** is available from the following link:

NC Public School Allotment Policy Manual(https://simbli.eboardsolutions.com/SU/0DXltsO3i9NppluswdRtZy5pg==)

Supporting Documents

apm-20-21-09-20-2021

APM 20-22 COVID Manual_0902_2021

Small Rural Schools Achievement SRSA Allotments

Federal Grant Programs Allotment Manual

2020-2021

ALLOTMENT POLICY MANUAL



PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS

www.dpi.nc.gov/districts-schools/district-operations/financial-and-business-services/allotments--funding-public-school-units

Contents

DEFINITION OF TERMS 6
TEACHER BONUSES 10
HIGHLY QUALIFIED NC TEACHING GRADUATE 10
PRINCIPAL RECRUITMENT SUPPLEMENT 10
EMPLOYER BENEFIT COSTS 11
APPORTIONMENT OF LOCAL FUNDS 11
TEXTBOOKS FOR THE VISUALLY IMPAIRED 12
MERGED LEAs 12
ALLOTMENT ADJUSTMENTS FOR ADM GROWTH 13
ALLOTMENT ADJUSTMENTS FOR ADM DECREASES 14
SUBSTITUTE PAY 15
ANNUAL LEAVE 15
LONGEVITY 16
SHORT-TERM DISABILITY 16
INJURIES DUE TO EPISODES OF VIOLENCE 17
ABCs TRANSFER OF FUNDS 18
SPECIAL SMALL SCHOOLS 20
NORTH CAROLINA VIRTUAL PUBLIC SCHOOLS (NCVPS) 21
ACADEMICALLY OR INTELLECTUALLY GIFTED (PRC 034) 28
ADVANCED TEACHING ROLES (PRC 022) 29
ASSISTANT PRINCIPAL INTERNS – PRINCIPAL FELLOWS (PRC 066) 31
ASSISTANT PRINCIPAL INTERNS – MSA STUDENTS (PRC 067) 32
AT-RISK STUDENT SERVICES/ALTERNATIVE SCHOOLS (PRC 069) 33
BEHAVIORAL SUPPORT (PRC 029) 35
BUSINESS SYSTEM MODERNIZATION – LEA ALLOTMENT (PRC 153) 36
CENTRAL OFFICE ADMINISTRATION (PRC 002) 38
CHARTER SCHOOLS (PRC 036) 41
CHILD AND FAMILY SUPPORT TEAMS (CFST) – NURSES (PRC 042) 44
CHILD AND FAMILY SUPPORT TEAMS (CFST) – Social Worker and other (PRC 043) 46
CHILDREN WITH DISABILITIES (PRC 032) 48
CHILDREN WITH DISABILITIES - SPECIAL FUNDS (PRC 063) 50
CLASSROOM MATERIALS/INSTRUCTIONAL SUPPLIES/EQUIPMENT (PRC 061) 52
CLASSROOM TEACHERS (PRC 001) 53
CODING AND MOBILE APPLICATION GRANT (PRC 065) 58
COOPERATIVE INNOVATIVE HIGH SCHOOLS (CIHS) (PRC 055) 59
DIGITAL LEARNING INITIATIVE (DLI) GRANT (PRC 030) 61
DIGITAL LEARNING INITIATIVE (DLI): DIGITAL LITERACY SOLUTION (PRC 078) 63
DISADVANTAGED STUDENTS SUPPLEMENTAL FUNDING (PRC 024) 64
DOLLARS FOR CERTIFIED PERSONNEL (PRC 010) 66
DRIVER TRAINING (PRC 012) 67
INNOVATIVE SCHOOL DISTRICT (ISD) (PRC 044) 69
INTERNATIONAL FACULTY EXCHANGE TEACHERS (IFE) (PRC 020) 71
INSTRUCTIONAL SUPPORT PERSONNEL – CERTIFIED (PRC 007) 72
LEA FINANCED PURCHASE OF SCHOOL BUSES (PRC 120) 74
LIMITED ENGLISH PROFICIENCY (PRC 054) 75
LOW WEALTH COUNTIES SUPPLEMENTAL FUNDING (PRC 031) 76

EARLY GRADE READING PROFICIENCY (PRC 085) 80
NONINSTRUCTIONAL SUPPORT PERSONNEL (PRC 003) 82
PRINCIPAL AND TEACHER PERFORMANCE BONUSES (PRC 048) 84
PRINCIPAL PERFORMANCE BONUS 84
RESTART SCHOOLS AND RENEWAL SCHOOL SYSTEMS (PRC 037) 85
RESTART SCHOOLS 85
RENEWAL SCHOOL SYSTEM 87
SCHOOL BUILDING ADMINISTRATION (PRC 005) 89
SCHOOL CONNECTIVITY (PRC 073) 92
SCHOOL RESOURCE OFFICER (PRC 039) 93
SCHOOL TECHNOLOGY FUND (PRC 015) 94
SMALL COUNTY SUPPLEMENTAL FUNDING (PRC 019) 95
SUMMER READING CAMPS (PRC 016) 97
TEACHER ASSISTANTS (PRC 027) 99
TEXTBOOKS AND DIGITAL RESOURCES (PRC 130/131) 100
TRANSPORTATION OF PUPILS (PRC 056) 102
WAIVERS FOR UNAVAILABLE CATEGORIES (PRC 006) 104
"Z" SCHOOLS (PRC 038) 105
REGIONAL SCHOOLS 105
LABORATORY SCHOOLS (Lab Schools) 108
CAREER TECHNICAL EDUCATION – STATE: MONTHS OF EMPLOYMENT (PRC 013) 114
CAREER TECHNICAL EDUCATION - STATE: PROGRAM SUPPORT FUNDS (PRC 014) 115
CTE GRADE EXPANSION PROGRAM (PRC 064) 116
CAREER TECHNICAL EDUCATION - STATE: EDUCATION AND WORKFORCE INNOVATION PROGRAM (PRC 079) 117
CAREER TECHNICAL EDUCATION - FEDERAL: PROGRAM IMPROVEMENT (PRC 017) 118
CAREER TECHNICAL EDUCATION - FEDERAL: CAPACITY BUILDING GRANTS (PRC 058) 120

General Information

PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS



www.dpi.nc.gov/districts-schools/district-operations/financial-and-business-services/allotments--funding-public-school-units/

General Information

DEFINITION OF TERMS 6
TEACHER BONUSES 10
HIGHLY QUALIFIED NC TEACHING GRADUATE 10
PRINCIPAL RECRUITMENT SUPPLEMENT 10
EMPLOYER BENEFIT COSTS 11
APPORTIONMENT OF LOCAL FUNDS 11
TEXTBOOKS FOR THE VISUALLY IMPAIRED 12
MERGED LEAs 12
ALLOTMENT ADJUSTMENTS FOR ADM GROWTH 13
ALLOTMENT ADJUSTMENTS FOR ADM DECREASES 14
SUBSTITUTE PAY 15
ANNUAL LEAVE 15
LONGEVITY 16
SHORT-TERM DISABILITY 16
INJURIES DUE TO EPISODES OF VIOLENCE 17
ABCs TRANSFER OF FUNDS 18
SPECIAL SMALL SCHOOLS 20
NORTH CAROLINA VIRTUAL PUBLIC SCHOOLS (NCVPS) 21

DEFINITION OF TERMS

1) **ABCs Flexibility –** In SL 1995-450, the General Assembly approved a law to give the State Board of Education the authority to implement the ABCs. The ABCs assign more responsibility at the school building level and allow schools flexibility to use funds as they are most needed at the school. Budget flexibility is codified in G.S. 115C-105.25
2) **Add-on** - Categorical dollars allocated over and above the base allotment.
3) **Allotted ADM** - The higher of the first two months total projected ADM for the current year or the higher of the first two months total prior year ADM.
4) **Apportionment of Local Funds** - The certification of the distribution of local current expense funds by the State Board of Education when there is more than one LEA in a county.
5) **Average Daily Membership (ADM)** - The sum of the number of days in membership for all students in an individual public school unit, divided by the number of school days in the term.
6) **CFDA #** - A number that is assigned to each federal program for which a grant is awarded. The Catalog of Federal Domestic Assistance contains information for all federal grant programs such as grant authorization, who is eligible to apply, etc.
7) **Carryover** – Funds appropriated but unspent in the first fiscal year that are brought forward for expenditure in the succeeding fiscal year. Most allotments that carry over must be approved annually by the State Budget Office.
8) **Categorical Allotments** – Local school systems may use this funding to purchase all services necessary to address the needs of a specific population or service. The local school system must operate within the allotted funds. These funds may be used to hire personnel such as teachers, teacher assistants, and instructional support personnel or to provide a service such as transportation, staff development, or to purchase supplies and materials.

   Examples: At Risk Student Services, Transportation, and Children with Disabilities.
9) **Charter Schools** – A public school operated by a nonprofit board or certain municipalities as a semi-autonomous school of choice within a school district operating under a "charter" with the State Board of Education. The final approval of all charters is granted by the State Board of Education. Charter schools are designed to give significant autonomy to individual schools and in turn hold these schools accountable for results. See G.S. 115C-218
10) **Child with a Disability** -- A child evaluated as having autism, deaf-blindness, deafness, developmental delay, hearing impairment, intellectual disability, multiple disabilities, orthopedic impairment, other health impairment, serious emotional disability, specific learning disability, speech or language impairment, traumatic brain injury or visual impairment who, by reason of the disability, needs special education and related services.
11) **Dollar Allotments** – Local school systems can hire employees or purchase goods for a specific purpose, but the local system must operate within the allotted dollar amount.

    Examples**:** Textbooks, Central Office Administration, Teacher Assistants.
12) **Dollars per ADM** - LEA's Initial Allotments divided by the allotted ADM for that LEA. Other public school units receive an amount equal to the state funded dollars per ADM for the LEA in which the school is located or (for new charters) in which the student was previously enrolled.

13) **Dollars per Child Count** - Funds generated for school-aged children with special needs divided by the LEA's April 1 total child count (not capped). Other public school units receive an amount equal to the LEA's state funded dollars per child count for the LEA in which the child was identified.
14) **ECATS** -- Every Child Accountability Tracking System. ECATS is comprised of three integrated modules accessed from a single user interface based upon role security: Special Education, Service Documentation and Multi-Tiered System of Support modules. ECATS also contains a combined set of data or Operational Data Store (ODS) from the three modules. ECATS will have direct data interface with the Student Information System PowerSchool and other NCDPI enterprise systems.
15) **ESEA** - Elementary and Secondary Education Act of 1965. This act was amended by the No Child Left Behind Act of 2001 and the Every Student Succeeds Act of 2015.
16) **Full-time Equivalent** (FTE) - Sum of part-time positions employed when added together equate to a full-time position used within a classification.

    Example: Two one-half time positions equate to one full-time equivalent position.
17) **Grade Level Proficiency** – Performance standards, called achievement levels, are one way that scores on the North Carolina End-of-Course Tests are reported. Students that score at or above Level III are considered to be grade level proficient and at or above Level IV are considered to be on track for career and college readiness. Listed below are the five achievement levels:
    a) Level I: Students performing at this level have a limited command of grade-level knowledge and skills in the tested content areas.
    b) Level II: Students performing at this level have a partial command of grade-level knowledge and skills in the tested content areas.
    c) Level III: Students performing at this level have a sufficient command of grade-level knowledge and skills in the tested content areas to move on to the next grade but who may need additional support to be on track for career and college readiness.
    d) Level IV: Students performing at this level have a solid command of grade-level knowledge and skills in the tested content areas to move on to the next grade and are on track for career and college readiness.
    e) Level V: Students performing at this level have a superior command of grade-level knowledge and skills in the tested content areas to move on to the next grade and are on track for career and college readiness.
18) **Headcount/Child Count** - This term refers to the process of actually counting the number of pupils who are defined as Children with Special Needs or Limited English Proficient.
    a) For federal purposes, children with special needs include only a child with a disability.
    b) The federal government requires child counts of children with special needs who have been properly identified, are receiving special education and related services on the child count day and have an individual education plan (IEP) or an individualized family service plan (IFSP). The December 1 IDEA Title VI-B Handicapped child count includes those children ages 3 to 21 who are being served by LEAs, charter schools, or state-operated programs. State law requires an adjusted child count on April 1 of children ages 5 to 20 who are being served by LEAs or charter schools and ages 3 to Pre-K-5 for Preschool handicapped funds. The April child count includes additions or deletions to the

December 1 child counts and is used for state funding purposes.

19) **IDEA** - Individuals with Disabilities Education Act replaced the EHA - Education of Handicapped Act for Title VI-B. Includes Title VI-B and Title VI-B Preschool Handicapped.
20) **Initial Allotments** – The allocation of state and federal funds to LEAs occurring after adjournment of the General Assembly.
21) **Innovative School District (ISD)** – A statewide school unit that oversees schools selected by the State Board of Education. G.S. 115C-75.10
22) **Laboratory School** – "Lab" School - A school created under the UNC Board of Governors pursuant to G.S.116-239.5
23) **Months of Employment** - A unit of employment corresponding to a calendar month. The state allots a certain number of months of employment which can be assigned to a position, or a portion of a position, at the discretion of the LEA. Months of employment pertain to all position allotments which include Career Technical Education and School Building Administration (Assistant Principals).

    Example: If an LEA is allotted 100 months of employment, that LEA can hire 10 Career Technical Education teachers for 10 months; or 8 teachers for 12 months and l teacher for 4 months; or any other combination which equals 100 months. Each allotment category must be reviewed for any special restrictions.
24) **PR/Award** – A unique, identifying number assigned to each application. This is commonly known as the "grant number" or "document number".
25) **Planning Allotments** – The tentative allocation of state and federal funds to LEAs to provide information for budgeting purposes. These allotments occur during February, preceding the fiscal year for which the initial allotment will be made.
26) **Positions** - Positions equate to the full-time equivalent individuals that can be assigned for the employment period represented by the allotment category. For example, a position in the classroom teacher allotment represents an employment period of 10 months. The number of full-time equivalent individuals that can be employed is limited to the number of months associated with the positions allotted by the state.
27) **Position Allotments** – The State allots positions to a local school system for a specific purpose. The local school system pays whatever is required to hire certified teachers and other educators based on the State Salary Schedule, without being limited to a specific dollar amount. Each local school system will have a different average salary based on the certified personnel's experience and education. For more information see the State Salary Schedule Manual.

    Example: Teachers, School Building Administration (Principals) and Instructional Support Personnel.
28) **Power School** - A web-based student information system. Power School provides the full range of features needed by administrators at the district and school level for student accounting. The system is developed by Pearson Education, Inc.
29) **Public School Unit (PSU)**– For purposes of this Allotment Policy Manual, the term PSU includes local education agency, charter school, lab school, regional school and innovative school district, only. Per SL 2020-56, PSU also includes NC residential schools.

30) **Regional School** - A school created pursuant to GS 115C, Article 16, Part 10 which includes all of grades nine through twelve and may include grades seven and eight.

31) **Renewal School System** A local board of education that has been approved to operate exempt from many statutes and receives State funds in an unrestricted block grant. SL 2018-23 Part VI

32) **Restart School - Reformed** school that has been identified as one of the continually low-performing schools in North Carolina. These school can operate using one of the following models with State Board Approval: (1) Transformation Model, (2) Restart Model, (3) Turnaround model, or (4) School closure model. G,S, 115C-105.37B

33) **School** - An organizational subdivision of a school system consisting of a group of pupils composed of one or more grade groups, organized as one unit with an assigned principal, or person acting in the capacity of principal, to give instruction of the type defined in the NC Standard Course of Study, and housed in a school plant of one or more buildings. (See definition of *charter school*.)

34) **Student Accounting** - The data collection regarding student enrollment, membership, attendance, and withdrawal from membership in the public schools. Power School is the official student information system by which this data is reported to the Department of Public Instruction.

35) **Tydings Amendment** - Federal law provides that certain federal funds not obligated during the first year of allotment shall remain available for obligation and expenditure for one additional year. Federal grant periods vary; therefore, each grant must be reviewed to determine if the Tydings Amendment will apply. Since the federal fiscal year begins October 1st and the state fiscal year begins July 1st, many grant periods can be active up to 27 months when provisions of the Tydings Amendment are applicable.

36) **UERS** – Uniform Education Reporting System. UERS is the comprehensive system by which LEAs and Charter schools report data electronically to the Department of Public Instruction. Some components of UERS include SIMS (Student Information Management System) and expenditure reporting in compliance with the Uniform Chart of Accounts. G.S. 115-12(18)

37) **Year-Round Education** - A school with a single or multi-track instructional calendar that was adopted prior to March 1, 2020, and provides instructional days throughout the entire school calendar year, beginning July 1 and ending June 30, by utilizing at least one of the following plans:

    a. A plan dividing students into four groups and requiring each group to be in school for assigned and staggered quarters each school calendar year.
    b. A plan providing students be scheduled to attend 45 an average of between 44 and 46 instructional days followed by an average of between 15 and 20 days of vacation, repeated throughout the school calendar year.
    c. A plan dividing the school calendar year into five nine-week sessions of classes and requiring each student to attend four assigned and staggered sessions out of the five nine-week sessions to complete the student's instructional year."

TEACHER BONUSES

PROGRAM REPORT CODE:	045
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-045-180
STATUTORY REFERENCE:	SL 2020-45 Section 2

Guaranteed allotment for local education agencies

A bonus payment of $350 to certified teachers and instructional support employed as of October 1, 2020. The bonus is to be paid by October 31 and is not subject to retirement.

Note: charter schools, lab schools, regional schools and ISD shall receive a per pupil share in the base allocation (PRC036), and are required to be provide the bonus from this allocation. These PSUs shall not expend to PRC045.

HIGHLY QUALIFIED NC TEACHING GRADUATE

PROGRAM REPORT CODE:	028
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-028-181
STATUTORY REFERENCE:	SL 2017-57, Section 8.2 SL 2017-212, Section 2.3 SL 2018-5, Section 8.8 S.L 2019-247 Section 2.2

Guaranteed allotment.

A supplement paid to new teachers who are highly qualified. Amount of the supplement is dependent on the school and teaching assignment. Full policy in the NC Public School Personnel State Salary Manual.

PRINCIPAL RECRUITMENT SUPPLEMENT

PROGRAM REPORT CODE:	028
UNIFORM CHART OF ACCOUNTS CODE:	5410-028-180
STATUTORY REFERENCE:	S.L 2019-247 Section 2.5

Guaranteed allotment.

A supplement paid to eligible principals who supervise a low performing school selected by DPI. Full policy in the NC Public School Personnel State Salary Manual.

EMPLOYER BENEFIT COSTS

PROGRAM REPORT CODE: Various

UNIFORM CHART OF ACCOUNTS CODE: XXX-XXX-2X1

STATUTORY REFERENCE:

SL 2020-45 Section 3.15(e)
SL 2020-41(3.15(c)

Benefit Rates:

Hospitalization	$6,326 per year per full-time equivalent position.
Social Security	7.65% for the entire year.
Retirement	21.68%

Effective July 13, 1989, a person must be a permanent employee as defined in the North Carolina Public School and must be employed for thirty or more hours per week in order for an LEA to submit the employee for enrollment in the State Retirement System and the State Health Insurance System. Charter schools may elect to participate in the State Retirement System and the State Health Insurance System. Charter school decisions to enroll in the State Retirement System and State Health Insurance system are final.

Effective July 1, 1995, all employer salary-related contributions for full-time permanent employees, including hospitalization benefits, shall be prorated based on the actual percentage employed in each expenditure code.

APPORTIONMENT OF LOCAL FUNDS

In accordance with GS 115C-430, if there is more than one LEA in a county, all appropriations by the county to the local current expense funds of the LEAs, except appropriations funded by supplemental taxes levied less than county wide, must be apportioned according to the membership of each LEA. Membership for each LEA will be based on the allotted ADM. Students residing outside the county will be deducted from membership before the apportionment of local funds is calculated.

Membership for city LEAs located in more than one county will be divided on a percentage basis according to the number of students residing in each county at the end of the second school month of the prior year.

If a Charter school is established within an LEA whose borders extend into more than one county, a breakdown of student membership for each of those counties will be obtained from the Charter School. This information will be used to calculate the revised ADM figures for the per capita distribution of local funds for the school system. As of the 2004-05 school year, Kannapolis City Schools and Nash County Schools have borders that extend into more than one county.

To comply with the School Budget and Fiscal Control Act, the membership for the subject LEAs will be determined and certified to the LEA and the board of county commissioners by the **Division of School Business**.

TEXTBOOKS FOR THE VISUALLY IMPAIRED

LEAs may order Braille, large print and copies of State adopted textbooks for children with disabilities whose Individualized Education Programs (IEPs) state that such modified textbooks are necessary to meet their unique learning needs.

Orders for modified State adopted textbooks must be submitted to the State Textbook Warehouse. These orders will be filled on a first-come first-served basis until the available state funds have been depleted. Once the fund for modified State adopted textbooks has been depleted, LEAs can use their textbook credit balance account to purchase modified textbooks.

Textbook Services shall have the authority to recall the modified State adopted textbooks for redistribution when no longer needed by children with special needs in any LEA.

Local boards of education may [GS 115C-98(b2)]:

1. Select, procure, and use textbooks that have not been adopted by the State Board of Education for use throughout the local school administrative unit for selected grade levels and courses; and
2. Approve school improvement plans developed under GS 115C-105.27 that include provisions for using textbooks that have not been adopted by the State Board of Education for selected grade levels and courses.

All textbook contracts made under this subsection shall include a clause granting to the local board of education the license to produce Braille, large print, and audiocassette tape copies of the textbooks for use in the local school administrative unit.

An LEA serving students with visual impairment whose IEP states a format other than embossed braille or large print is needed to access the curriculum, such as refreshable braille, audio, digital and/or web-based textbook file, can contact the North Carolina NIMAC coordinator (http://aem.cast.org/supporting/aem-state-contacts-sea-information.html#north-carolina) for download options.

MERGED LEAS

If two or more LEAs are consolidated into one LEA, the following allotments shall not be less than those same allotments to the separate LEAs for the first and second full fiscal years of the consolidation and shall be used for the continuation of the positions and programs, except as specifically authorized by the State Board of Education.

1. Central Office Administration
2. Career Technical Education Months of Employment
3. Children with Special Needs - Preschool Handicapped

4. Limited English Proficiency

Additionally, individual LEAs with June 30 allotment balances that are subject to carryover provisions will be consolidated by respective category for all LEAs within the merger and re-allotted in total to the consolidated LEA.

For additional information related to minimum local funding of merged LEAs, see GS 115C-68.1, as enacted by the 1991 General Assembly.

ALLOTMENT ADJUSTMENTS FOR ADM GROWTH

PURPOSE:

The contingency reserve was established to support the average daily membership (ADM) based allotments. The reserve is to be used in cases where first month Average Daily Membership exceeds the original anticipated ADM used for allotments. Increases will be made in the Classroom Teacher, Instructional Support, Non-instructional Support, Teacher Assistant, Classroom Materials and Supplies, Textbook, and Career Technical Educational Program Support categories as applicable.

OBJECTIVE:

In administering this allotment, the intent is to first ensure that adequate school-based resources are provided to meet the average daily membership as of the first month of school, per LEA request. The reserve will be allotted to LEAs on a case-by-case basis according to need. Secondly, (within available state funding) the reserve will be utilized to relieve class size overage problems as they may occur during the school year after the allotment adjustment for ADM growth. In the case of class size overage adjustments after the first month, allotments will only be made for regular teachers as authorized by GS 115C-301.

ADMINISTRATION:

These adjustments are to ensure that adequate school-based resources are provided (within appropriated funding/formulas) to meet the requirements after the first month of school per LEA request.

The allotment adjustments are based on first month average daily membership reports as submitted by the LEAs to the **Division of School Business**.

After the first month of school, an LEA can request additional resources due to extraordinary student population growth that results in significant class size overage problems. Each request will be reviewed based on the criteria outlined below:

- The LEA's current month Average Daily Membership (ADM) will be compared to the LEA's Allotted ADM.
- Overall growth must be at least 2% or 100 students and the growth must have created significant class size overage problems that cannot be resolved by the LEA.
- Less growth will be considered if the growth and class size problem occur within a specific grade or grade spans K, 1-3, 4-8, 9-12, or within classes for children with

disabilities.

- Individual school class sizes and the type of class structures (blocked, combined, etc.) will be examined. If reorganization or class restructuring can absorb the growth, additional allocations will not be made.
- The LEA must show a need for funding not already included in the regular ADM teacher allotment or children with disabilities programs.
- With receipt of an LEA's request, the Department of Public Instruction will verify that sufficient State resources are available to meet the LEA's request and will notify the LEA:
    - If additional funding will be allotted to cover the LEA's ADM growth, or
    - If the LEA's request for additional funding for ADM growth has been denied.

Additional funds will be allotted, within available funds, on a case-by-case basis. The State Board of Education, in accordance with GS 115C-416, may specifically authorize use of the reserve (within available state funding) on a case-by-case basis where additional resources are required to meet legislative mandates.

ALLOTMENT ADJUSTMENTS FOR ADM DECREASES

PURPOSE:

In accordance with SL 2007-323, Section 7.15(b), decreases to allotments must be made if the original anticipated ADM used for allotments exceeds the higher of the first or second month Average Daily Membership (ADM) by at least 2% or 100 ADM.

The funds from this adjustment will be added to the ADM Contingency Reserve to be used in cases where first month Average Daily Membership exceeds the original anticipated ADM. Decreases are made in the Classroom Teacher, Instructional Support, Non-instructional Support, Teacher Assistant, Classroom Materials and Supplies, Textbook, and Career Technical Educational Program Support Categories as applicable.

Notwithstanding Section 7.15(b) of S.L. 2007-323 for the 2020-21 fiscal years, the State Board of Education shall not reduce allotments for LEAs due to a discrepancy between actual and anticipated average daily membership. LEAs shall be funded for initial allotments based on the 2020-21 allotted average daily membership less transfers for new and growing charter schools, innovative schools, regional schools and lab schools, as reported by July 1, 2020.

Funds for children with disabilities will be transferred to and from the LEA, if the child leaves or returns to the LEA from a charter school, regional school, lab school or innovative school.

SUBSTITUTE PAY

PROGRAM REPORT CODE: XXX
UNIFORM CHART OF ACCOUNTS CODE: XX00-XXX-16X
STATUTORY REFERENCE:

With the ratification of the School Flexibility and Accountability Bill (SL 1995-450) in July of 1995, Substitute Pay for classroom teachers and instructional support personnel is allotted with Non-Instructional Support Personnel (PRC 003). Substitute Pay from other State allotments such as Career Technical Education, Staff Development, and Children with Disabilities, as well as federal programs, may still be paid from their respective categorical allotments.

Substitutes who hold teacher certificates shall receive a minimum of 65% of the daily pay rate of an entry-level teacher with an "A" certificate ($103) per day. Substitutes who are non-certified shall receive a minimum of 50% of the daily pay rate of an entry-level teacher with an "A" certificate ($80) per day. The pay for non-certified substitutes shall not exceed the pay of certified substitutes. Deductions in salaries for teachers on leave who require a deduction in salary for substitute pay shall be at a standard rate of $50.00 per day.

In accordance with GS 115C-12(8), the $50 deducted from a teacher's pay will be reallocated to Non-instructional Support Personnel (PRC 003) on a monthly basis. The additional allocation is based on absence codes included on teacher payroll records.

For additional information regarding the payment of substitute pay please reference the NC Public School Personnel State Salary Manual at or the Employee Benefits Manual.

ANNUAL LEAVE

PROGRAM REPORT CODE: 009
UNIFORM CHART OF ACCOUNTS CODE: XXXX-009-188
STATUTORY REFERENCE: GS 115C-316

No allotment is made for annual leave. Eligible expenditures will be covered as reported through UERS (Uniform Education Reporting System) for LEAs.

For additional information regarding the payment of annual leave please reference the NC Public School Personnel State Salary Manual or the Employee Benefits Manual.

LONGEVITY

PROGRAM REPORT CODE: 009

UNIFORM CHART OF ACCOUNTS CODE: XXXX-009-184

STATUTORY REFERENCE: GS 115C-316

No allotment is made for longevity. Beginning July 1, 2014, longevity is included in the salary schedule for School Based Administrators, Teachers and Instructional Support Personnel. Eligible expenditures will be covered as reported through UERS for LEAs.

Longevity Schedule	
Years of Total State Service	Longevity Pay Rate
10 but less than 15 years	1.50 percent
15 but less than 20 years	2.25 percent
20 but less than 25 years	3.25 percent
25 or more years	4.50 percent

For additional information regarding the payment of longevity please reference the NC Public School Personnel State Salary Manual or the Employee Benefits Manual.

SHORT-TERM DISABILITY

PROGRAM REPORT CODE: 009

UNIFORM CHART OF ACCOUNTS CODE: XXXX-009-1XX

STATUTORY REFERENCE:

No allotment is made for short-term disability. Eligible expenditures will be covered as reported through UERS for LEAs.

Short-term disability payments are payable after the conclusion of a 60-day waiting period for a period not exceeding 365 calendar days provided the employee meets the following requirements:

1. The employee has at least one year of contributing membership service in the Retirement System earned within the 36 calendar months preceding employee's disability.

2. Employee has been classified as being mentally or physically disabled for the further performance of his/her usual occupation.
3. Employee's disability was incurred at the time of active employment.
4. Employee's disability has been continuous.

For additional information regarding the payment of short-term disability please reference the NC Public School Personnel State Salary Manual or the Employee Benefits Manual.

INJURIES DUE TO EPISODES OF VIOLENCE

PROGRAM REPORT CODE:	Varies
UNIFORM CHART OF ACCOUNTS CODE:	Varies
STATUTORY REFERENCE:	GS 115C-338

An employee who while engaged in the course of his employment or in any activities incidental thereto, suffers any injury or disability resulting from or arising out of any episode of violence by one or more persons shall be entitled to receive his full salary during the shortest of these periods: one year, the continuation of his disability, or the time during which he is unable to engage in his employment because of injury. This allocation will be made if the employee is not receiving salary from worker's compensation.

The Local School Board must declare the incident as an Act of Violence. In accordance with GS 115C-338, an LEA must notify the School Allotments Section in writing of how many months the employee was absent in the initial fiscal year due to the incidence of violence. The LEA must notify School Allotments Section in the 2nd fiscal year if needed. Allotments will **not** be made for prior fiscal years.

LEAs should include the following information when submitting claims for episodes of violence:

- A written description of the act.
- A copy of the physician's statement and the Board's designation of Act of Violence.
- The specific dates the additional allotment will cover.
- The name and phone number of the Sedgwick case worker.

For additional information regarding injuries due to episodes of violence please reference NC Public School Personnel State Salary Manual or the Employee Benefits Manual.

ABCS TRANSFER OF FUNDS

PROGRAM REPORT CODE:	Varies
UNIFORM CHART OF ACCOUNTS CODE:	Varies
STATUTORY REFERENCE:	GS 115C-105.25

The ABCs of public education enables LEAs to have flexibility in their funding. Transfers of funding and/or position allotments are to be submitted to the School Allotments Section of the **Division of School Business**. No financial State Board of Education waivers are required.

Guaranteed certified positions will be transferred as follows:

1) Classroom Teachers
    a) The salary transferred shall be based on the first step on the "A" Teacher Salary Schedule plus benefits. Classroom teachers can be transferred for any purpose authorized by the policies of the State Board of Education.
2) Instructional Support
    a) The salary transferred shall be based on the first step on the "A" Teacher Salary Schedule plus benefits. Instructional Support can be transferred for any purpose authorized by the policies of the State Board of Education.
3) Career Technical Education Months of Employment (CTE)
    a) The salary transferred within CTE is based on the Statewide Average Salary.
    b) The salary transferred outside of CTE is based on the first step on the "A" Teacher Salary Schedule plus benefits.

For transfers from School Building Administration:

1) Principal positions
    a) The salary transferred shall be based on the first step of the Base Column of the Principal Salary Schedule.
2) Assistant Principals Months
    a) The salary transferred shall be based on the first step of the “A” Teacher Salary Schedule at the salary level of an Assistant Principal.

For the purpose of paying for a different certified position from that being transferred into PRC 010 (Certified Positions converted to dollars), the dollar amounts converted equal salaries stated including benefits. The salary of the position to be paid from PRC 010 will be a dollar allotment and not a guaranteed salary. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.

No transfer will be allowed to or from the following categories:

- Behavioral Support
- Children with Disabilities Special Funds
- Federal Funds
- LEA Financed Purchase of School Buses
- School Technology
- Excellent Schools Act
- Driver Education

Transfers will be allowed to or from the following categories:

- At Risk Student Services / Alternative Schools
- Classroom Materials / Supplies and Equipment
- Disadvantaged Student Supplemental Funding
- Cooperative Innovative High Schools
- Low Wealth Supplemental Funding
- Non-Instructional Support
- Small County Supplemental Funding
- Transportation – (may impact efficiency rating)

Limited transfers will be allowed for the following categories:

- Academically & Intellectually Gifted: No funds shall be transferred out of this category.
- Central Office Administration: Transfers from central office administration are allowable. Transfers into this category are not allowed.
- Children with Disabilities - Funds can be transferred into this category. Funds may not be transferred out of this category.
- Limited English Proficiency – Funds may be transferred to this category. Funds may not be transferred out of this category.
- Position/MOE Allotments - Funds may be transferred out of these categories except where prohibited elsewhere in the policy. Funds cannot be transferred into these categories.
- Textbooks and Digital Resources- Carryover provision applies. Funds allotted for Textbooks and Digital Resources may only be used for purchase of textbooks and digital resources. Funds may only be transferred from PRC 130 to PRC 131 for purchase of textbook and digital resources not in the Textbook Warehouse.
- Teacher Assistant - Funds may transfer into this category. No transfers out.

The final date for transfers is <u>the last workday</u> of May each year. Transfers received after this date cannot be approved.

Reporting

To ensure that parents, educators, and the general public are informed on how State funds have been used to address local educational priorities, each LEA shall publish the following information on its web site by October 15.

1) A description of each program report code and a summary of the prior year's expenditure of State funds by program report code.
2) A description of each object code within a program report code and a summary of the prior year's expenditure of State funds for each object code.
3) Starting with fiscal year 2014-15, LEAs shall provide the following *for all transfers which increased or decreased the allotment amount by more than 5%*
   a) The amount of the transfer
   b) The allotment category into which the funds were transferred
   c) The purpose code for the funds following the transfer
   d) A description of any teacher positions fully or partially funded as a result of the transfer, including all subject areas taught by the teacher in that position
   e) The educational priorities that necessitated the transfer
4) A chart that clearly reflects how the LEA spent State funds
5) The LEA shall maintain the information on their website for at least 3 years.
6) DPI shall collect the information and report the aggregate information to Joint Legislative Education Oversight Committee by December 1 annually. This includes all expenditure data, description on each object code and program report code.

Note: LEAs are to make every effort to minimize the budget adjustments that impact classroom instruction and classroom activities.

SPECIAL SMALL SCHOOLS

STATUTORY REFERENCE: SL 2008-107, Section 7.20

Additional classroom teachers may be allotted within available funds based on the following provisions and upon request by the superintendent to the **Division of School Business:**

1) Qualifying Programs Schools
   a) Regional Programs: Special allotments may be made for assignment to regional programs serving public school students with disabilities. These programs must serve students from more than one LEA.
   b) Hospitals, Special Programs, and Institutions: Special allotments may be made for assignment to hospitals which offer an educational component to students under their treatment.
   c) Small Schools: Special allotments may be made for assignment to small schools of less than 110 ADM, when consolidation is not feasible due to geographic isolation.

2) Qualifying Conditions:
   a) Consideration will be given to small, geographically isolated schools over other qualifying programs and schools.
   b) The LEA must show a need for funding not already included in the regular ADM teacher allotment or children with disabilities programs.
   c) The special small school allotment must not take the place of local efforts or result in a duplication of positions or funds allotted under the regular ADM teacher allotment or children with disabilities programs.
   d) All regular positions earned on ADM at those sites must be used to directly serve the program for which the allotment is requested.
   e) Proper assignment of regular ADM and children with disabilities funds must be documented before a special small school allotment can be approved.
3) Continuation of Allotments: Special allotments are made on a year-by-year basis; however, special allotments will be continued for institutions and hospitals unless the following occurs:
   a) The program has been discontinued.
   b) A reduction in the number of students has occurred in the program which makes the allotment unnecessary.
   c) State funding is not appropriated for this purpose.
4) Allotment Appeals Process: The approval/denial of a request for a special small school allotment will be made initially by the School Allotments Section, Division of School Business. LEAs wishing to appeal a denial of their request may do so in writing to the Office of the Associate Superintendent of Public Instruction. A final appeal for consideration may be made to the State Board of Education.
5) Employment of Allotted Personnel: Special small school allotments go to the requesting LEA, not to the institution or hospital where the teacher is assigned. Therefore, teachers allotted through a special small school allotment are employees of and should be supervised by the receiving LEA.
6) Assigned Duties of Allotted Personnel: Teachers employed through the special small school allotment may not be assigned duties unrelated to the purpose for which their position was allotted or duties which enhance the profitability of the institution or hospital to which they are assigned.

NORTH CAROLINA VIRTUAL PUBLIC SCHOOLS (NCVPS)

STATUTORY REFERENCE: SL 2011-145, Section 7.22

Beginning with the 2011-12 school year, NCVPS will be funded by an allotment formula that recognizes the effects of e-learning courses projected enrollments with other ADM based allotments. The funds transferred through the allotment formula will fund the NCVPS program at no cost to students enrolled in North Carolina public schools, Department of Defense schools, and schools operated by Bureau of Indian Affairs.

NCVPS Allotment Formula:

1) Project the NCVPS student enrollment for each LEA by semester and year-long course type for each LEA and charter school.
2) Establish a per course teacher payment structure for the instructional costs of NCVPS. Based on:
   a) Total compensation analysis taking into account salaries, benefits and work effort to ensure comparisons between occupations.
   b) The effects any change in NCVPS teacher payments may have on the attraction and retention of NCVPS teachers.
3) Develop a per student fee structure for in-State students that is based on the per course teacher pay structure. The fee structure for in-State students shall ensure that the projected cost for the LEA units and charter schools equal the projected instructional cost for NCVPS courses.
4) Multiply the per student course fee by the projected enrollment by course type to calculate the total projected instructional cost.
5) The dollar amount transferred is 75% of the projected instructional cost.
6) No later than February 28 of each year calculate the actual instructional cost based on actual enrollment as of that date. [SL 2013-360, Section 8.9(b)]
7) Subtract the actual amount transferred from the actual instructional cost. The remaining dollar amount up to a maximum of 100% of the projected cost is transferred.
8) Reduce each LEA's allotment on the basis of ADM grades 6-12 to provide the sum for State-level operations and administration of NCVPS.
9) Beginning FY 2012-2013 and annually afterward this reduces each LEA or charter school's allotment on the basis of ADM in grades 6-12 an amount that is the difference between $2,000,000 and the balance in the NCVPS enrollment reserves.
10) SL 2012-142, Section 7.12 provides the ability to use different funding sources to pay for NCVPS courses. The only funds that may be used for the instructional costs of NCVPS are the following:
    a) Funds provided through the North Carolina Virtual Public Schools Allotment Formula.
    b) Funds provided through the NCVPS enrollment reserve as set forth in this section.
    c) Local funds.
    d) Federal funds.
    e) Special State Reserve Funds for Children and Youth with Disabilities.
    f) ADM Contingency Reserve.

2020-2021

ALLOTMENT POLICY MANUAL

State Formulas



PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS

www.dpi.nc.gov/districts-schools/district-operations/financial-and-business-services/allotments--funding-public-school-units

ADDRESS QUESTIONS RELATED TO STATE POLICIES TO THE FOLLOWING:

Academic & Intellectually Gifted:	Division of Advanced Learning & Gifted Education
Accountability Services:	Division of Accountability Services
Allotments:	Division of School Business, School Allotments
Behavioral Support:	Division of Exceptional Children
Certification - Finance Officer:	Division of School Business
Charter Schools:	Office of Charter Schools
Child & Family Support Team	NC DHHS
School Nutrition Section:	School Nutrition and District Operations
Children with Disabilities (CWD):	Division of Exceptional Children
Digital Learning:	Digital Teaching and Learning
Driver's Training:	Safe & Healthy Schools Support
Expenditures/ Salary:	Division of School Business School Reporting Section Expenditures: Salary:
Read to Achieve Diagnostics	K-3 Literacy
Faculty Exchange Teachers:	
Licensure Section:	Professional Educator's Licensure
Organization of Schools:	Division of School Business School Reporting Section
Plant Operation:	School Nutrition and District Operations
School Safety:	Center for Safer Schools
School Connectivity & E-Rate:	DPI Technology Services

Summer Reading Camps:	K-3 Literacy
Textbooks:	School Nutrition and District Operations
Transportation:	School Nutrition and District Operations

The complete State agency directory can be found at www.dpi.nc.gov/about-dpi/education-directory.

State Formulas

ACADEMICALLY OR INTELLECTUALLY GIFTED (PRC 034) 28
ADVANCED TEACHING ROLES (PRC 022) 29
ASSISTANT PRINCIPAL INTERNS – PRINCIPAL FELLOWS (PRC 066) 31
ASSISTANT PRINCIPAL INTERNS – MSA STUDENTS (PRC 067) 32
AT-RISK STUDENT SERVICES/ALTERNATIVE SCHOOLS (PRC 069) 33
BEHAVIORAL SUPPORT (PRC 029) 35
BUSINESS SYSTEM MODERNIZATION – LEA ALLOTMENT (PRC 153) 36
CENTRAL OFFICE ADMINISTRATION (PRC 002) 38
CHARTER SCHOOLS (PRC 036) 41
CHILD AND FAMILY SUPPORT TEAMS (CFST) – NURSES (PRC 042) 41
CHILDREN WITH DISABILITIES (PRC 032) 46
CHILDREN WITH DISABILITIES - SPECIAL FUNDS (PRC 063) 50
CLASSROOM MATERIALS/INSTRUCTIONAL SUPPLIES/EQUIPMENT (PRC 061) 52
CLASSROOM TEACHERS (PRC 001) 53
CODING AND MOBILE APPLICATION GRANT (PRC 065) 58
COOPERATIVE INNOVATIVE HIGH SCHOOLS (CIHS) (PRC 055) 59
DIGITAL LEARNING INITIATIVE (DLI) GRANT (PRC 030) 61
DIGITAL LEARNING INITIATIVE (DLI): DIGITAL LITERACY SOLUTION (PRC 078) 63
DISADVANTAGED STUDENTS SUPPLEMENTAL FUNDING (PRC 024) 64
DOLLARS FOR CERTIFIED PERSONNEL (PRC 010) 66
DRIVER TRAINING (PRC 012) 67
INNOVATIVE SCHOOL DISTRICT (ISD) (PRC 044) 69
INTERNATIONAL FACULTY EXCHANGE TEACHERS (IFE) (PRC 020) 71
INSTRUCTIONAL SUPPORT PERSONNEL – CERTIFIED (PRC 007) 72
LEA FINANCED PURCHASE OF SCHOOL BUSES (PRC 120) 74
LIMITED ENGLISH PROFICIENCY (PRC 054) 75
LOW WEALTH COUNTIES SUPPLEMENTAL FUNDING (PRC 031) 76
EARLY GRADE READING PROFICIENCY (PRC 085) 80
NONINSTRUCTIONAL SUPPORT PERSONNEL (PRC 003) 82
PRINCIPAL AND TEACHER PERFORMANCE BONUSES (PRC 048) 84
  PRINCIPAL PERFORMANCE BONUS 84
RESTART SCHOOLS AND RENEWAL SCHOOL SYSTEMS (PRC 037) 85
  RESTART SCHOOLS 85
  RENEWAL SCHOOL SYSTEM 87
SCHOOL BUILDING ADMINISTRATION (PRC 005) 89
SCHOOL CONNECTIVITY (PRC 073) 92
SCHOOL RESOURCE OFFICER (PRC 039) 93
SCHOOL TECHNOLOGY FUND (PRC 015) 94
SMALL COUNTY SUPPLEMENTAL FUNDING (PRC 019) 95
SUMMER READING CAMPS (PRC 016) 97
TEACHER ASSISTANTS (PRC 027) 99
TEXTBOOKS AND DIGITAL RESOURCES (PRC 130/131) 100
TRANSPORTATION OF PUPILS (PRC 056) 102
WAIVERS FOR UNAVAILABLE CATEGORIES (PRC 006) 104
“Z” SCHOOLS (PRC 038) 105
  REGIONAL SCHOOLS 105

LABORATORY SCHOOLS (Lab Schools)..... 108

ACADEMICALLY OR INTELLECTUALLY GIFTED (PRC 034)

PROGRAM REPORT CODE:	034
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-034-XXX
STATUTORY REFERENCE:	GS 115C Article 9B SL 2018-5, Section 7.2

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Funds allocated for academically or intellectually gifted students may be used only: • for academically or intellectually gifted students, • to implement the plan developed under GS 115C-150.7, • for children with special needs, or • in accordance with an accepted school improvement plan, for any purpose so long as that school demonstrates it is providing appropriate services to academically or intellectually gifted students assigned to that school in accordance with the local plan developed under GS 115C-150.7.
ELIGIBILITY:	Each LEA is entitled to funding.
FORMULA:	Each LEA receives dollars per child for four percent (4%) of their allotted ADM regardless of the number of children identified as academically or intellectually gifted in the LEA.

SPECIAL PROVISIONS:

Funds may be transferred to other categories by submitting ABC transfer forms if all academically or intellectually gifted students are appropriately served. Funds may be transferred into this category.

Effective July 1, 2018, no funds shall be transferred out of this category. (SL 2017-57, Section 7.2(b))

ADVANCED TEACHING ROLES (PRC 022)

PROGRAM REPORT CODE:	022
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-022-XXX
STATUTORY REFERENCE:	SL 2018-5, Section 7.9

TYPE:	Dollars
TERM:	July 1, 2016 – June 30, 2020
PURPOSE:	To develop and support highly effective teachers. Also, to increase the access to effective and highly effective teachers for students in low-achieving and high-poverty schools relative to their higher-achieving and lower-poverty peers.
ELIGIBILITY:	The State Board of Education shall establish a three-year pilot program to develop advanced teaching roles and organizational models that link teacher performance and professional growth to salary increases in selected local school administrative units for classroom teachers.
FORMULA:	A team of NCDPI and external reviewers used the scoring criteria listed in the RFP to rate each proposal.

SPECIAL PROVISIONS:

1) Allow highly effective classroom teachers to teach an increased number of students by assuming accountability for additional students, by becoming a lead classroom teacher accountable for the student performance of all of the students taught by teachers on that lead classroom teacher's team, or by leading a larger effort in the school to implement new instructional models to improve school-wide performance.
2) Enable local school administrative units to provide salary supplements to classroom teachers in advanced teaching roles. Selection of an advanced teaching role classroom teacher and award of related salary supplements shall be made on the basis of demonstrated effectiveness and additional responsibilities.
3) Enable local school administrative units to create innovative compensation models that focus on classroom teacher professional growth and student outcomes.
4) Utilize local plans to establish organizational changes related to compensation in order to sustain evidenced-based teaching practices that have the capacity to be replicated throughout the State.
5) Pursuant to SL 2020-78, the State Board of Education shall authorize LEAs participating in the program to use any available State funds to provide salary supplements to classroom teachers in an advanced teaching role as long as the LEA complies with policies of the State

Board of Education, federal law, and any State programs with specific restrictions on the use of funds, including bonus and grant programs. This budget flexibility is subject to the flexibility limitations identified in G.S. 115C-105.25(b) and in the SBE policy on the "ABC Transfer of Funds".

ASSISTANT PRINCIPAL INTERNS – PRINCIPAL FELLOWS (PRC 066)
[NOT ACTIVE EFFECTIVE 7/1/2021]

PROGRAM REPORT CODE:	066
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-066-XXX
STATUTORY REFERENCE:	SL 2018-5, Section 8.4(c) SL 2020-49 Part VII

TYPE:	Dollars
TERM:	Varies July 1 - June 30
PURPOSE:	Provides funding for stipends to full-time students working on a master's degree in school administration programs who are serving in an approved intern program.
ELIGIBILITY:	Full-time Master of Student Administration (MSA) students serving in a ten month or less internship in the Principal Fellows Program.
FORMULA:	Stipends are allotted for the duration of the internship. The stipend for a Principal Fellow will be equivalent to 40% of the higher of the beginning salary of a certified assistant principal, or what the individual would have earned on the teacher salary schedule plus social security as specified in the North Carolina Public School Personnel State Salary Schedule. Please note that these individuals are not recognized as full-time employees of the school system and **are not eligible for hospitalization or retirement contributions.**

SPECIAL PROVISIONS:

None

ASSISTANT PRINCIPAL INTERNS – MSA STUDENTS (PRC 067)

PROGRAM REPORT CODE:	067
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-067-XXX
STATUTORY REFERENCE:	SL 2018-5, Section 8.4(c) SL 2020-49 Part VII

TYPE:	Dollars
TERM:	Varies July 1 - June 30
PURPOSE:	Provides funding for stipends to full-time students working on a master's degree in school administration programs who are serving in an approved intern program.
ELIGIBILITY:	Full-time Master of Student Administration (MSA) students serving in a ten month or less internship in an Institutes of Higher Education offering the program.
FORMULA:	Stipends are allotted for the duration of the internship. The stipend for an MSA Student will be the higher of the beginning salary of a certified assistant principal, or what the individual would have earned on the teacher salary schedule plus social security as specified in the North Carolina Public School Personnel State Salary Schedule. Please note that these individuals are not recognized as full-time employees of the school system and **are not eligible for hospitalization or retirement contributions.**

SPECIAL PROVISIONS:

None

AT-RISK STUDENT SERVICES/ALTERNATIVE SCHOOLS (PRC 069)

PROGRAM REPORT CODE:	069
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-069-XXX
STATUTORY REFERENCE:	GS 115C, Article 8C GS 115C-375.5 See below

TYPE:	Dollars
TERM:	July 1 - August 31 of subsequent fiscal year
PURPOSE:	Provides funding to identify students likely to drop out and to provide special alternative instructional programs for these at-risk students. Also provides funding for summer school instruction and transportation, remediation, alcohol and drug prevention, early intervention, safe schools, and preschool screening. These funds may not be used to supplant dropout prevention programs funded from other state or federal sources. It is the intent of the General Assembly that each LEA has a School Safety Officer at each high school.
ELIGIBILITY:	Each LEA is entitled to funding. To remain eligible for funds appropriated for the At-Risk/Alternative Schools allotment, local school administrative units must submit a report to the State Board of Education by October 31, of each year, detailing the expenditure of the funds and the impact of these funds on student achievement. (SL 2010-31, Section 7.22(d))
FORMULA:	Each LEA is allotted dollars for a School Safety Officer (SSO) based on the number of high schools, which are not virtual, in the LEA that receive a principal allotment. For the purpose of this allotment, a high school is defined as any school which contains Grades 9 and 10 or grade 12. Funds are then allotted for students in treatment programs in accordance with SL 1987-863. Fifty-percent of the remaining funds are distributed based on the number of poverty children per the Title I Low Income poverty data. The remaining funds are distributed based on allotted ADM. Each LEA receives a minimum of the dollar equivalent of two teachers and two instructional support personnel (including benefits). No funds will be allotted on a competitive grant basis.

SPECIAL PROVISIONS:

1) Effective July 1, 2000, each LEA shall establish at least one alternative learning program/school.
2) Per SL 1997-239, LEAs shall use the teachers allocated for students assigned to alternative learning programs
   a) pursuant to the regular teacher allotment and
   b) only to serve the needs of these students
3) The priority uses of the funds appropriated in this category shall be to:
   a) provide instructional positions or instructional support positions and/or professional development;
   b) provide intensive in-school and/or after-school remediation; and
   c) purchase diagnostic software and progress monitoring tools. (SL 2005-276, Section 7.61 (a))
4) Funds can be transferred without restrictions.
5) Unexpended funds as of June 30 will be reallocated under PRC 069 and will remain available for expenditure through August 31st. Balances from carryover funds remaining after August 31st will be reduced from the allotment. Reallocation of funds is contingent upon State Budget Office approval.
6) Per GS 115C- 375.5:
   a) Pregnant and parenting students shall receive the same educational instruction or its equivalent as other students. A local school administrative unit may provide programs to meet the special scheduling and curriculum needs of pregnant and parenting students. However, student participation in these programs shall be voluntary, and the instruction and curriculum must be comparable to that provided other students.
   a) Local boards of education shall adopt a policy to ensure that pregnant and parenting students are not discriminated against or excluded from school or any program, class, or extracurricular activity because they are pregnant or parenting students and to provide assistance and support to encourage pregnant and parenting students to remain enrolled in school and graduate. The policy shall include, at a minimum, all of the following:
      i) Local school administrative units shall, as needed, use supplemental funds from the At-Risk Student Services allotment to support programs for pregnant and parenting students.
      ii) Notwithstanding Part 1 of Article 26 of this Chapter, pregnant and parenting students shall be given excused absences from school for pregnancy and related conditions for the length of time the student's physician finds medically necessary. This includes absences due to the illness or medical appointment during school hours of a child of whom the student is the custodial parent.
      iii) Homework and make-up work shall be made available to pregnant and parenting students to ensure that they have the opportunity to keep current with assignments and avoid losing course credit because of their absence from school and, to the extent necessary, a homebound teacher shall be assigned. (2006-69, s. 4(a); 2009-330, s. 3.)

BEHAVIORAL SUPPORT (PRC 029)

PROGRAM REPORT CODE: 029

UNIFORM CHART OF ACCOUNTS CODE: XXX0-029-XXX

STATUTORY REFERENCE:

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides funding for Assaulting and Violent Children programs which provide appropriate educational programs to students up to age 22 who suffer from emotional, mental, or neurological disabilities accompanied by violent or assaulting behavior.
ELIGIBILITY:	All public school units are entitled to funding based on need.
FORMULA:	Allotments are based on need and are designated as add-on funds. The allocations are provided to eligible public school units which demonstrate core, supplemental and intensive supports. They are to be used to make the "critical difference" in the successful development and implementation of specially designed instruction or intensive intervention. Grant request are made in the CCIP system and reviewed by NCDPI Behavior Consultants. The requests should be for those class members who are projected to remain enrolled the following year and who require special services.

SPECIAL PROVISIONS:

1) A Department of Public Instruction consultant will review utilization of the initial allotment with appropriate LEA staff and request revisions as needed.
2) Allotted funds that are not used as designated shall revert to the State and be re-allotted for other eligible students.
3) Funds cannot be transferred in or out of this category.
4) These funds may not be used to supplant or replace other funding sources (e.g., state aid exceptional children funds).

BUSINESS SYSTEM MODERNIZATION – LEA ALLOTMENT (PRC 153)

PROGRAM REPORT CODE:	153
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-153-XXX
STATUTORY REFERENCE:	SL 2016-94, Section 8.15 SL 2017-57, Section 7.16

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Funds are appropriated to implement the State Board of Education School Business System Modernization Plan and support the modernization of local education agency (LEA) business systems, particularly those that support financial, payroll, human resources, and related human capital functions.
ELIGIBILITY:	Each LEA that selects an NCDPI-approved Modern Business Systems vendor to provide services is eligible to receive funding after it enters into a Memorandum of Understanding with NCDPI for the planning and funding of the project. Presently, NCDPI-approved Moderns Business Systems vendors are CherryRoad Technologies Inc. and Tyler Technologies, Inc.
FORMULA:	An LEA that selects an NCDPI-approved modern business system vendor may request funding for those expenses and services (e.g., for one-time implementation costs and Year One subscription costs) contemplated in the State's contract with that vendor. Certain contract rates from the CherryRoad NC ERP Contract and the Tyler NC ERP Contract are attached as Appendices.

SPECIAL PROVISION:

1) To be eligible to receive funds, an LEA must select an NCDPI-approved Modern Business Systems vendor.
2) Based upon the vendor it selects, an LEA may use funds for allowable expenditures up to the amounts indicated in the CherryRoad NC ERP Contract or the Tyler NC ERP Contract. These funds shall be used for the initial transition to the modern system and limited to the rates specified in the Master Service Agreements. Allowable expenditures are limited to the following:
   a) One Time Implementation Fees - Implementation fees for an individual LEA for services associated with making an individual implementation map to the LEA's individual or unique human resources and/or finance needs.

b) Year One Subscription Fees – These fees are for Software as a Service (SaaS) subscription fees incurred during first year following implementation.
c) Additional Service Fees – Additional service fees include:
    i) Fee for services associated with making an implementation map for the core needs of the North Carolina K-12 human resources and financial functional business needs (i.e., the "Golden Template") in order to facilitate state-wide implementation of modern business systems provided by the approved vendors;
    ii) Services fees associated with satellite (i.e., third-party) systems provider solutions needed to integrate or work with the NCDPI's modern ERP system or SBSM Operation Data Store (ODS) solutions environment (i.e. APIs, SIF, etc.);
    iii) Necessary and approved consulting services not otherwise contemplated by previously identified provisions may constitute Additional Service Fees.

3) NCDPI shall establish a memorandum of understanding with each LEA for the planning and funding for the project to align with the selected vendor's statement of work. Such memoranda are subject to approval by State Board of Education, per CNTR-002: Contract Procedure.

CENTRAL OFFICE ADMINISTRATION (PRC 002)

PROGRAM REPORT CODE:	002
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-002-XXX
STATUTORY REFERENCE:	SL 2002-126, Committee Report

TYPE:	Dollar
TERM:	July 1 - June 30
PURPOSE:	Provides funding for salary and benefits for central office administration. This category is used to pay for personnel including: • Superintendent • Directors/Supervisors/Coordinators • Associate and Assistant Superintendents • Finance Officers • Child Nutrition Supervisors/Managers • Community Schools Coordinators/Directors • Athletic Trainers • Health Education Coordinators • Maintenance Supervisors • Transportation Directors **Funds cannot be expended for any of the above personnel outside of this allotment category.**
ELIGIBILITY:	Each LEA is entitled to funding.
FORMULA:	Per the Committee Report of SL 2002-126 moving forward: 1) Freeze local school administration units (LEAs) allotments at the FY 2001-02 allotment level. 2) Adjust allotments by the net effect of other legislative changes (such as, legislative increases or benefit changes) and the reduction for charter school reserve. See chart below:

Fiscal Year (Base)	Percent Increase/(Decrease)	Allotment Year
2004-05	3.25	2005-06
2005-06	6.33	2006-07
2006-07	5.28	2007-08
2007-08	3.10	2008-09
2008-09	(11.34)	2009-10
2009-10	.04	2010-11
2010-11	(14.41)	2011-12
2011-12	2.60	2012-13
2012-13	.79	2013-14
2013-14	(1.36)	2014-15
2014-15	(.34)	2015-16
2015-16	(1.15)	2016-17

The new formula for FY 2002-2003 developed by the State Board in accordance with SL 2001-424, Section 28.14(g) was not implemented per the Committee Report of SL 2002-126.

HISTORICAL REF: The following is the Formula that was in effect from Fiscal Year 1996 through Fiscal Year 2001.

Base Allotment Each LEA receives a base allotment which is graduated based on allotted ADM. To determine the base, select the ADM range from the charts below and add the base and the supplemental base together.

Cities

ADM Ranges	Base	ADM Over Range	$ Per ADM Over Range
0 - 4,999	$360,000	0	12.00
5,000 - 9,999	420,000	4,999	36.01
10,000 - 14,999	600,000	9,999	18.00
Each addt'l 5,000	add 90,000	add 5,000	18.00

Counties

ADM Ranges	Base	ADM Over Range	$ Per ADM Over Range
0 - 4,999	$420,000	0	12.00
5,000 - 9,999	480,000	4,999	36.01
10,000 - 14,999	660,000	9,999	18.00
Each addt'l 5,000	add 90,000	add 5,000	18.00

Add-On Per ADM

After the base is allotted, the remaining funds are allocated to LEAs with ADM greater than 1,500 based on dollars per ADM.

SPECIAL PROVISIONS:

1) For FY 2000-01, all LEAs are held harmless to their FY 1999-00 allotment, unless they are an LEA which is losing its hold harmless provision due to merger. The formula will be phased-in as merged LEAs are no longer required to be held harmless and as funds are added to the budget.
2) Funds cannot be transferred into this category. Funds can be transferred out of this category by submitting an ABC Transfer Form in the Allotment System.
3) Only expenditures for administrative personnel and matching benefits are allowed.
4) Refer to the General Information Section – Merged LEAs.
5) Federal law requires a match for Child Nutrition Supervisors. A State expenditure in excess of $7 million is necessary for North Carolina to be eligible for Section 4 federal funds. Beginning with the FY 2010-11, each LEA shall expend at least $45,000 from their Central Office Administration (PRC 002) allocation or any other State funded PRC which allows coding to purpose code 7200 for child nutrition expenses. The following purpose object combinations are in PRC 002 for meeting this mandate:
   a) Child Nutrition Director and/or Supervisor 7200 - 113,
   b) Manager 7200 - 176, and
   c) The matching benefit codes 7200 - 211, 7200 - 221, 7200 - 231, or
   d) Utilize the Inter-fund Transfers to Child Nutrition code 8400 – 715; funds transferred for this purpose may be used to pay the cost of reduced-price breakfast meals ($.30 per meal) for eligible students should be the state allocation for reduced-price breakfast meals be insufficient to do so.
6) In accordance with GS 115C-271, a local board may terminate a Superintendent's contract before the contract term of employment has expired if all of the following conditions are met:
   a) No state funds are used for this purpose.
   b) Local funds appropriated for teachers, textbooks, or classroom materials, supplies and equipment are not transferred or used for this purpose.
   c) The local board makes public the funds that are to be transferred or used for this purpose.
   d) The local Board notifies the State Board of the funds that are to be transferred or used for this purpose.
   e) No funds acquired through donation or fund-raising are used for this purpose, except funds raised specifically for this purpose or for funds donated by private for-profit corporations.
7) The budget reduction per LEA is applied for FY 2009-2010 is based on SB 897 Conference Report on the Continuation, Capital, and Expansion Budget which reduces the dollar allotment to LEAs for central office staff salaries and benefits.
8) Once the Department of Public Instruction (NCDPI) is notified by the Director of the Retirement System Division (RSD) of the State Treasurer's Office of delinquent contributions owed by a participating employer (local education agency), the NCDPI will reduce the Central Office Administration allotment to the employer in the amount of the delinquency. This action is in compliance with the legislative authority (GS 128-30(g)(3)) and the Retirement System's Board of Trustees' policies. The State Board of Education will receive a communication of any reductions.

CHARTER SCHOOLS (PRC 036)

PROGRAM REPORT CODE:	036
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-036-XXX
STATUTORY REFERENCE:	GS 115C, Article 14A SL 2001-424, Section 28.20 SL 2020-97 Section 3.1(1)

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides state funding to charter schools based on the students attending the school.
ELIGIBILITY:	All charter schools approved by the State Board of Education.

FORMULA:

Funding for an existing charter school is based on the dollars per ADM of the LEA in which the school is located. Funding for new charter schools is based on the dollars per ADM of the LEA in which the student is, or would be, currently enrolled. The total number of public-school students attending a new charter school will reduce an LEA's initial allotment.

An LEA's initial allotment is also reduced for existing charter schools growing over their planning ADM. LEAs are held harmless and will not have their allotment reduced for the following categories: Central Office Administration and School Building Administration.

A charter school will have 34% of its Initial Allotment available to "draw down" after the General Assembly adjourns.

After the 1st month ADM is submitted, allotments will be recalculated and an additional 34% of the allotment will be made available to the charter school. Note: charters in good financial standing may receive the full annual allocation. Charter schools in financial disciplinary noncompliance may receive their funding in monthly installments.

Unless noted in the exceptions below, charter schools with a two year history of accurate projections shall be funded at the higher of

1. 2020-21 actual month 1 ADM and
2. 2021 Phase II final ADM projection as reported in the CSADM system by the charter school, not to exceed the allotted 2020-21 ADM

All other charter schools shall be funded at the higher of

1. 2020-21 actual month 1 ADM and

2. the prior year funded month 1 ADM

Exceptions

a) Virtual charter schools shall be funded based on current year month 1 membership last day and adjusted for month 5
b) Schools serving students at risk of dropping out, as approved by the SBE, shall be funded on Month 5 ADM
c) For schools that were not open in 2019 or 2020, the school shall be funded based on 2020-21 actual month 1 ADM, not to exceed the legal maximum.

No charter school shall be funded for an average daily membership greater than is permissible by law.

A charter school is deemed to have a history of accurate projections if the projection provided by the charter school in the CSADM system Phase II final Projection was within 2% or 20 ADM of funded ADM in either 2019 or 2020, and the difference between the projection and funded ADM in both 2019 and 2020 did not exceed 10% of the projection.

For schools that were not open in 2019, the 2020 year will be the only year used for this assessment

The final 32% of the allotment will be distributed prior to the end of February.

After 1st month ADM adjustments, a charter school can request additional funding if it has significant growth (20% or more) in months two through four. Requested ADM must be verified and be within the charter school's maximum. The state contingency reserve will be used to fund the prorated increases within funds available. Please refer to the Charter School Financial Guide for instructions for this process.

In addition to the state funding based on dollars per ADM, a charter school may receive Children with Disabilities and Limited English Proficiency funding if they qualify. These special funds plus the dollars per ADM funding are distributed under PRC 036.

SPECIAL PROVISIONS:

1) During the first 60 school days, if a child with disabilities was included in the prior fiscal year April 1 child count and leaves or returns to an LEA from a charter school, the prorated share of funds allocated for that child will be adjusted. In order to initiate the transfer of funds, the LEA or charter school receiving the students must complete the appropriate transfer request forms by the 10th of the month following the month the child transferred. Children with Disabilities' transfer requests are sent to the School Allotments Section. Please refer to www.dpi.nc.gov/districts-schools/district-operations/financial-and-business-services/allotments--funding-public-school-units#forms for the Children with Disabilities Child Count Transfer System. Notification must include the student's name (first, middle

last), PowerSchool number, birth date, the LEA or charter school from which the child came, the date the student transferred, and the beginning date of the current school year.

2) A charter in its third year of operation or longer that is in good financial and governance standing, shall receive the balance of its total allocation in the second installment.
3) Funding for 1st Month ADM increases will be reduced from the LEA in which the charter school resides if the LEA is declining in ADM after second month. If the LEA is increasing in ADM after the second month, the funding will come from the Contingency Reserve.
4) Charter schools will receive an allocation of fines and forfeitures based on dollars per allotted ADM in PRC 036. Unspent funds will revert June 30.
5) Funding allotted to purchase diagnostic devices in accordance with the Excellent Public Schools Act (SL 2012-142 Part VII-A) must be used to purchase diagnostic devices.
6) Charter School Staff may participate in the Digital Learning Staff development of the LEA in which they are located.
7) Charter Schools, if awarded, will receive funding for Resource Officers for Middle Schools in PRC 036.
8) For charter schools, which have been approved by the State Board of Education to serve students who are at risk of or have dropped out of high school, either in the prior year or during the current year, shall be funded based on 5th month ADM. These schools have specialized programs to encourage these students to re-enroll in school and assist them until they have graduated.
9) Charter Schools must have a valid Certificate of Occupancy on file with the Office of Charters before any funding can be released for the fiscal year.
10) Virtual Charter School Pilots -Virtual Charters are funded based on a dollars per ADM excluding Low Wealth and Small County. The school is funded based on month 1 and month 5 Membership Last Day.

Revised September 28, 2020

CHILD AND FAMILY SUPPORT TEAMS (CFST) – NURSES (PRC 042)

PROGRAM REPORT CODE:	042
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-042-XXX
STATUTORY REFERENCE:	SL 2009-451, Section 10.24

TYPE:	Positions
TERM:	10 months: July 1 – June 30
PURPOSE:	Provide funding for salary and fringe benefits for nationally certified school nurses to establish the School Based Child and Family Support Team Initiative at designated schools. The purpose of the Initiative is to identify and coordinate appropriate community services and supports for children at risk of school failure or out-of-home placement in order to address the physical, social, legal, emotional, and developmental factors that affect academic performance. It is the intent of the General Assembly that the positions must be solely used for nationally certified school nurses to work full time as Child and Family Support Team Leaders, to carry out the legislatively mandated objectives of the Child and Family Support Team Initiative. The nurses shall not be used as administrators, coordinators, supervisors, directors, teachers, counselors, social workers, proctors, or for non-instructional support personnel (clerical assistants, custodians, staff available to provide duty free periods for teachers, or as substitutes).
ELIGIBILITY:	Based on selection to participate in the CFST Initiative.
FORMULA:	The positions are multiplied by the statewide average salary plus benefits.

SPECIAL PROVISIONS:

1) If the LEA is unable to hire a certified nurse, a transfer can be requested to **temporarily** move the position from PRC 042 to PRC 043 to hire a non-certified nurse. **A non-certified nurse may only be hired until he/she obtains national certification or another nationally certified nurse is hired.** The conversion rate is the Statewide average salary plus benefits of the instructional support position. A written request for the transfer must be submitted to the School Allotments Section stating the number of positions to be transferred.
2) The LEAs receiving Child and Family Support Team funding must implement an annual implementation plan jointly developed by the LEA and its Child and Family Support Team Local Advisory Committee. At a minimum the plans must include their agreement that:

a) Funds are only used to support the CFST Initiative and will not supplant any other funding.
b) CFST nurses will be assigned only to responsibilities consistent with the legislative intent of the CFST Initiative.
c) The LEA will work with the state-identified external evaluator and participate in all state and contracted evaluation activities.
d) The LEA will follow all reporting requirements.
e) The LEA will facilitate and attend all Local Advisory Committee meetings as required.
f) All CFST lead nurses will attend all mandatory trainings as indicated by the state CFST Program Coordinator.
g) The LEA will participate in all other required activities as determined.
h) Local partners have been involved collaboratively in the planning process.
i) Non-supplant Requirement: A local education agency receiving funds shall use the funds to supplement, not supplant, local and state current expense funds. The State Board of Education shall not allocate funds under this section to a county found to have used these funds to supplant local and state current expense funds.

CHILD AND FAMILY SUPPORT TEAMS (CFST) – SOCIAL WORKER AND OTHER (PRC 043)

PROGRAM REPORT CODE:	04
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-04-XXX
STATUTORY REFERENCE:	SL 2009-451, Section 10.24

TYPE:	Dollars
TERM:	10 months: July 1 – June 30
PURPOSE:	Provide funds to establish School-based child and family support teams that will support children at-risk of school failure by coordinating services among educational and human service agencies working with the children and their families. This funding must be used to support the salary of the school social worker associated with the program and funding for other expenses for the social worker and school nurse. It is the intent of the General Assembly that these funds must be solely used for legislatively mandated objectives of the Child and Family Support Team Initiative
ELIGIBILITY:	Based on selection to participate in the CFST Initiative.
FORMULA:	Dollars per site.

SPECIAL PROVISIONS:

If the LEA is unable to hire a certified nurse, a transfer can be requested to **temporarily** move the position from PRC 042 to PRC 043 to hire a non-certified nurse. **A non-certified nurse may only be hired until he/she obtains national certification or another nationally certified nurse is hired.** The conversion rate is the Statewide average salary plus benefits of the instructional support position. A written request for the transfer must be submitted to the School Allotments Section stating the number of positions to be transferred.

The LEAs receiving Child and Family Support Team funding must implement an annual implementation plan jointly developed by the LEA and its Child and Family Support Team Local Advisory Committee. At a minimum the plans must include their agreement that:

a. Funds are only used to support the CFST Initiative and will not supplant any other funding.
b. CFST social workers will be assigned only to responsibilities consistent with the legislative intent of the CFST Initiative.
c. The LEA will work with the state-identified external evaluator and participate in all state and contracted evaluation activities.

d. The LEA will follow all reporting requirements.
e. The LEA will facilitate and attend all Local Advisory Committee meetings as required.
f. All CFST social workers will attend all mandatory trainings as indicated by the state CFST Program Coordinator.
g. The LEA will participate in all other required activities as determined.
h. Local partners have been involved collaboratively in the planning process.
i. Non-supplant Requirement: A local education agency receiving funds shall use the funds to supplement, not supplant, local and state current expense funds. The State Board of Education shall not allocate funds under this section to a county found to have used these funds to supplant local and state current expense funds.

CHILDREN WITH DISABILITIES (PRC 032)

PROGRAM REPORT CODE:	032
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-032-XXX
STATUTORY REFERENCE:	SL 2018-5, Section 7.1

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provide funding for the special educational needs and related services of Children with Disabilities. These funds are to be used for: • Children with Disabilities • Preschool Handicapped State Funding • Group Homes, Foster Homes, or Similar Facilities Funds for Community Residential Centers and Developmental Day Centers are allotted in PRC 063, Children with Disabilities Special Funds.
ELIGIBILITY:	All LEAs and Charter Schools are entitled to funding based on the formulas listed below
FORMULA:	Children with Disabilities and Preschool Disabled State funded allotments are calculated separately and then combined into one allotment. Matching benefits are included. The individual formulas are listed below.

CHILDREN WITH DISABILITIES:

Child count is based on Children with Disabilities child count, ages 5 through 21.

Multiply the lesser of the April 1 child count or an overall 12.75% cap of the Allotted ADM by the dollar per child count funding factor.

PRESCHOOL HANDICAPPED:

Each LEA receives a base amount equal to the average salary of a classroom teacher plus benefits. All remaining are distributed based on the April 1 child count of children ages 3, 4, and pre-K5.

GROUP HOMES, FOSTER HOMES, OR SIMILAR FACILITIES:

The Exceptional Children Division reimburses Local Boards of Education the per-child allocations for average daily membership (ADM), state aid (April 1st) and IDEA 611 (December 1st) of children with disabilities assigned to group homes, foster homes, or similar facilities.

Applications may be found at https://ccip.schools.nc.gov.

SPECIAL PROVISION:

1) No Funds shall be transferred out of this category.
2) Funds can be used to transport preschool handicapped students only. Transportation for school age children must be paid from transportation funds (PRC 056).
3) Refer to Policies Governing Services for Children with Disabilities produced by the Exceptional Children Division and to the School Attendance and Student Accounting Manual produced by the Division of School Business.
4) LEAs are encouraged to use teacher positions generated by that portion of their total ADM that is made up of children with disabilities to fund children with disabilities teachers.
5) Funds for Children with Disabilities will be reduced based on on-site audits and the determination that students are found to be in non-compliance with State/Federal regulations.
6) If two or more LEAs are consolidated into one LEA, the Preschool Handicapped allotment shall not be less than the same allotment to the separate LEAs for the first and second full fiscal years of the consolidation and shall be used for the continuation of the positions, except as specifically authorized by the State Board of Education.
7) During the first 60 school days, if a child with disabilities who was included in the prior fiscal year April 1 child count leaves or returns to an LEA or Charter from or to a charter school, the pro-rata share of funds allocated for that child will be adjusted. In order to initiate the transfer of funds, the LEA or charter school receiving the students must enter and submit required information for all students in the NC DPI Child Count Transfer System by the 10th of the month following the month the student transferred. Child Count Transfer System web link is www.dpi.nc.gov/districts-schools/district-operations/financial-and-business-services/allotments--funding-public-school-units#forms.

CHILDREN WITH DISABILITIES - SPECIAL FUNDS (PRC 063)

PROGRAM REPORT CODE: 063

UNIFORM CHART OF ACCOUNTS CODE: XXXX-063-XXX

STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provide funding for the special educational needs and related services of Children with Disabilities. These funds are to be used for:

- Community Residential Centers (3 through 21)
- Developmental Day Centers (3 through 21)
- Special State Reserve (3 through 21)

ELIGIBILITY: All LEAs and charter schools may submit applications.

FORMULA: Formulas for individual purposes are below:

DEVELOPMENTAL DAY CARE:

Requests for funding are made on individual applications submitted by the LEA and approved by the Department of Public Instruction, Exceptional Children Division for children receiving their special education and related services in an approved developmental day center. Allotments for identified children are based on an established monthly rate for a maximum of ten months.

COMMUNITY RESIDENTIAL CENTER:

Requests for funding are made on individual applications submitted by the LEA and approved by the Department of Public Instruction, Exceptional Children's Division for children receiving their special education and related services in a community residential center. Allotments for identified children are based on an established monthly rate for a maximum of ten months and an adjusted monthly rate for up to two months of Extended School Year (ESY) services.

SPECIAL STATE RESERVE:

A reserve has been established to be used when all available state, federal, and local resources have been exhausted. The funds are to be used for emergency situations, such as high cost children or severely disabled children. Requests are made on individual applications submitted in the LEA and approved by the Department of Public Instruction Exceptional Children Division. All allocations will be made within funds available. All withdrawals must be reported within 60 days.

SPECIAL PROVISION:

1) Funds cannot be transferred into or out of this category.
2) Refer to NC **Policies Governing Services for Children with Disabilities** produced by the Exceptional Children Division and to School Attendance and Student Accounting Manual produced by the Division of School Business.
3) Developmental Day Centers and Community Residential funds should be expended according to the LEA's approved plan to serve children ages 3 through 21.

CLASSROOM MATERIALS/INSTRUCTIONAL SUPPLIES/EQUIPMENT (PRC 061)

PROGRAM REPORT CODE: 061

UNIFORM CHART OF ACCOUNTS CODE: XXXX-061-XXX

STATUTORY REFERENCE:

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides funds for Instructional Materials and Supplies, Instructional Equipment, and Testing Support.
ELIGIBILITY:	Each LEA is entitled to funding.
FORMULA:	Funds for Instructional Materials, Supplies, and Equipment are distributed based on allotted ADM. Funds for each student to take the Preliminary Scholastic Aptitude Test (PSAT) are allotted based on ADM in grades 8 and 9.

SPECIAL PROVISION:

1) Funds can be transferred without restrictions by submitting an ABC Transfer Form in the Allotment System. (Approved access required).
2) In accordance with GS 115C-174.18, every student in grades 8 - 10 who has completed or is in the last month of Algebra I shall be given an opportunity to take a version of the PSAT one time at State expense. These expenses shall be paid from PRC 061.

CLASSROOM TEACHERS (PRC 001)

PROGRAM REPORT CODE:	001
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-001-XXX
STATUTORY REFERENCE:	GS 115C-301 GS 115C-105.25(b) SL 1993-769, Section 19.24 SL 2003-284, Section 7.10

TYPE:	Positions
TERM:	10 months: July 1 - June 30
PURPOSE:	Provides guaranteed funding for salaries for classroom teachers, including Program Enhancement Teachers. To qualify as a classroom teacher and to be charged against this allotment, an individual must spend a major portion of the school day providing classroom instruction and shall not be assigned to administrative duties in either the central or school offices. If an LEA decides to employ a Math/Science/Computer teacher, this individual does not have to spend a portion of the school day providing classroom instruction.
ELIGIBILITY:	Each LEA is entitled to positions.
FORMULA:	The following positions are calculated separately and then consolidated into PRC 001. The total positions are then multiplied by the LEA's average monthly salary (based on prior year 6th pay period plus legislated salary increases) plus benefits. The individual formulas are listed below. After the first month of school, an LEA can request additional resources due to extraordinary student population growth. Allotments will be adjusted within available funds. (See General Information Section, Allotment Adjustment for ADM growth.) For city LEAs with less than 3,000 ADM, fractions are rounded up to the nearest whole position.

CLASSROOM TEACHERS:

Teachers are allotted based on one per the following number of students (based on allotted ADM) and rounded to the nearest one-half position.

Grades	**Number of Students**
K	18
1	16
2-3	17
4-6	24
7-8	23
9	26.5
10-12	29

PROGRAM ENHANCEMENT TEACHERS

Grades	**Student: Teacher Position Ratio**
K-5	191:1

NOTE: Preschool student ADM is not used in the teacher allotment formula. For allotment purposes, Children with Disabilities served in separate settings are included in their appropriate grades as determined by school administrators.

CLASS SIZE REQUIREMENTS:

Kindergarten to 3rd Grade

Fiscal Year	**All Classes Within LEA Maximum Average**	**Individual Class Maximum**
2020-21	18	21
2021-22 and Beyond		
K	18	21
1	16	19
2	17	20
3	17	20

4th to 12th Grade – No class size maximums.

Kindergarten to 12th Grade Program Enhancement and dual language- No class size maximums.

MATH / SCIENCE / COMPUTER TEACHERS:

Each county is entitled to one position. Positions will be divided between county and city LEAs based on previously approved plans. The percent split will remain in effect until the plan is revised and DPI is notified. Plans do not have to be submitted to DPI.

SPECIAL PROVISION:

1) Refer to the School Attendance and Student Accounting Manual produced by the Division of School Business.
2) Additional classroom teachers may be allotted within available funds based on the provisions outlined in Section 01 and upon request by the superintendent to the Division of School Business. (See General Information Section, Small Schools)
3) It is recommended that the teachers generated by self-contained/separate classrooms serving children with disabilities be used for children with disabilities. This includes self-contained/separate classes for autism, deaf-blind, behaviorally-emotionally disabled, developmental delayed, educable mentally disabled, hearing impaired, learning disabled, multi-handicapped, orthopedically impaired, other health impaired, speech-language impaired, severe profound, trainable mentally disabled, traumatic brain injured and visually impaired.
4) Per SL 1997-239, LEAs shall use the teachers allocated for students assigned to alternative learning programs
   a) pursuant to the regular teacher allotment and
   b) only to serve the needs of these students
5) The State regulations permit LEAs to identify a state allotted teaching position (no additional positions are provided and no Career Technical education funds can be used) and use that position to pay a Junior ROTC instructor, provided the local school does employ another teacher using local funds to replace the use of the State allotted position. For each Junior ROTC position paid from State funds, a locally paid position must be provided.
6) Teachers on Loan Additional positions may be allotted to LEAs which have teachers from their LEA selected to serve with the Department of Public Instruction. The teachers selected will work at designated LEAs throughout the State in support of major State Board of Education initiatives such as school assistance, teacher inductions, technology assessment/training, and student improvement. These additional teacher positions are allotted to the LEAs from which the teachers are employed since the reassigned teachers will remain on the LEA's payroll. The allotment will be in PRC 096 Special Position Allotment or in PRC 095 Special Dollar Allotment. For additional information, please contact Educator Effectiveness at 919-807-3355.
7) Teacher of the Year - An additional position (12 months) is allotted to the LEA from which the Teacher of the Year is selected. This allotment will also be allotted in PRC 096, Special Position Allotment.
8) All newly certified teachers (local & state) who have never taught before, or taught less than 6 months, are eligible for up to three extra days of employment for orientation and classroom

preparation. This includes lateral entry teachers that are newly certified and have never taught before. If the teacher does not have zero years on his or her license due to non-teaching experience being credited, then the School Financial Reporting Section must approve the new teacher orientation funding. The teacher is to be paid appropriately for the teacher's certification level and years of service. Eligible Teachers must be paid from pay code object 125 for the additional days of employment. The state will pay up to 3 days of training per eligible teacher based on the teacher's daily rate of pay. The School Allotments Section will allot funds beginning in March based on the number of eligible teachers paid, not to exceed 3 days per teacher. Once the number of participants and additional days of service are identified, your regular classroom teacher position allotment will be increased. The three additional new teacher orientation days must be completed by April 30th. Any new teachers hired after this date should go through orientation with the new teachers for the next fiscal year.

9) If an LEA plans to convert a position to contract for an International Faculty Exchange (IFE) member, that unit may request the conversion of positions to dollar equivalents. This applies only to IFE programs.
   a) To request the conversion, the LEA must submit the form entitled "Program Expansion Teacher Positions Request for Conversion to a Dollar Allotment" to Global Education in the Division of K-12 Standards, Curriculum and Instruction. If approved, funds must be used in accordance with the plan submitted and approved.
   b) Positions converted used to cover costs associated with bringing an International Faculty Exchange (IFE) to the LEA through the International Exchange Program will be converted at a rate of $68,757 (includes benefits).
   c) These positions must be used for teachers only.
10) Funds can be transferred out of this category by submitting an ABC Transfer Form in the Allotment System. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable. The conversion rate will be the first step on the "A" Salary Schedule, plus benefits. K-5 Program enhancement positions shall not be transferred out of this category.
11) Teachers for geographically isolated K-12 schools: An additional teacher allotment will be made for small schools when consolidation is not feasible due to the geographic isolation of the school and the school meets at least one of the following criteria:
      i) The school is located in an LEA in which ADM is less than 1.5 per square mile.
      ii) The school is located in an LEA for a county containing more than 150,000 acres of national forest owned by the federal government and managed by the US Forest Service pursuant to GS 104-5.
   a) Qualifying schools will receive one classroom teacher per grade. Teachers will be allotted to the remainder of the LEA under the regular teacher allotment formula.
   b) An LEA receiving special allotments for a small, geographically isolated school shall continue to receive one-half of that special allotment funding for the fiscal year after the school is closed. These funds shall be used to assist in the transition of students from the closed school to other schools in the LEA.

12) The position being funded by Burroughs Welcome Grant will be funded an extra 2 months from this category.
13) Program enhancement refers to any of the following:
    a) Arts disciplines, including dance, music, theater and visual arts
    b) Physical education and health programs
    c) World languages
    d) other supplemental classes as defined by the State Board of Education
14) Dual language immersion classes are classes in which
    a) at least one-third of the students' dominant language is English and
    b) instruction involves both English and a target foreign language with a minimum of fifty percent (50%) of core content taught in the target foreign language in order to promote dual language proficiency for all students.

CODING AND MOBILE APPLICATION GRANT (PRC 065)

PROGRAM REPORT CODE:	065
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-065-XXX
STATUTORY REFERENCE:	SL 2017-57, Section 7.23

TYPE:	Dollars
TERM:	July 1, 2017 - June 30, 2019
PURPOSE:	The purpose of the program is to provide opportunities for North Carolina students to learn computer science, coding and mobile app development to help them gain the skills needed to: 1) create and contribute, not just use and consume, in the digital economy; and 2) actively engage as informed citizens in our complex, technology-driven world.
ELIGIBILITY:	Eligible Recipients are local school administrative units and charter schools. Past recipients may reapply. NCDPI may consider partial grant awards to local school administrative units and charter schools. Application must be approved prior to the LEA or Charter School receiving the allotment.
FORMULA:	Each LEA or Charter School is entitled to funding based on applications approved by the Division of Career and Technical Education. Each LEA or Charter School is entitled to funding up $80,000.

SPECIAL PROVISION:

1) Grant funds shall be available for up to 12 months. All projects must end June 30, with no liquidation period allowed beyond that date.
2) Each eligible LEA or Charter School receiving funds must limit administrative cost to five percent (5%).

COOPERATIVE INNOVATIVE HIGH SCHOOLS (CIHS) (PRC 055)

PROGRAM REPORT CODE:	055
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-055-XXX
STATUTORY REFERENCE:	GS 115C, Article 16, Part 9 SL 2005-276, Section 7.32(c) SL 2017-57, Section 7.22

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	The purpose of the program is to create rigorous and relevant high school options that provide students with the opportunity and assistance to earn an associate degree or two year of college credit by the conclusion of the year after their senior year in high school. These funds shall be used to establish new high schools in which a local school administrative unit, two and four-year colleges and universities, and local employers work together to ensure that high school and postsecondary college curricula operate seamlessly and meet the needs of participating employers.
ELIGIBILITY:	Cooperative Innovative High School and planning sites that have been approved by the State Board of Education and the General Assembly.
FORMULA:	Funds shall be allocated based on the Tier Area the school is located, as defined by the NC Department of Commerce per GS 143B-437.08 • CIHS located in Tier I Areas - $275,000 • CIHS located in Tier II Areas - $200,000 • CIHS located in Tier III Areas - $180,000 • Virtual CIHS - $200,000 • Northeast Regional School of Biotechnology and Agriscience (NERSBA) - $310,000 (see PRC 038)

SPECIAL PROVISION:

1) During the first year of operation the Cooperative Innovative High School established under GS 115C, Article 16, Part 9 and approved for funding, shall be allotted regardless of the number of State paid teachers assigned to the school or the number of students enrolled in the school. The budget flexibility authorized by GS 115C-105.25 does not apply to these positions.

2) The State Board of Education shall conduct an annual evaluation of this program. The evaluation shall include:
    i) An accounting of how funds and personnel resources were utilized and their impact on student achievement, retention, and employability;
    ii) Recommended statutory and policy changes; Recommendations improvement of the program.
    a) The State Board of Education shall report the results of this evaluation to the Office of State Budget and Management, the Joint Legislative Education Oversight Committee, and the Fiscal Research Division, by January 15 of each year.
3) The LEA shall utilize this funding to hire two positions:
    i) Program Coordinator (School Administrator-level position).
    ii) Community Coordinator to provide counseling and to coordinate the workforce development activities of students (Student Services-level position).
    a) Additionally, the higher education partner will receive funds from the grant to support a position to serve as a liaison for the program. The funding for this position is included in the allotment to the LEA. The LEA is responsible for negotiating with the higher education partner for the amount of funding necessary to support an instructor-level position.
    b) Any remaining dollars will be available to the partnership to support start-up costs ($10,000 first year only), professional development, related travel, materials, supplies, textbooks and other costs related to the student workforce experience.
4) This funding is not intended for capital expenses, faculty stipends or bonuses.
5) Funding is not to supplant any existing local, state or federal funds already used to operate the program.
6) Funds may be transferred with exception of the first-year principal, by submitting ABC Transfer Form in the School Allotment System.
7) State funds appropriated for cooperative innovative high schools shall not be adjusted to reflect legislative salary increments, retirement rate adjustments and health adjustment for school personnel, unless specifically provided for by the General Assembly.

DIGITAL LEARNING INITIATIVE (DLI) GRANT (PRC 030)

PROGRAM REPORT CODE:	030
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-030-XXX
STATUTORY REFERENCE:	SL 2016-94, Section 8.23 SL 2017-57, Section 7.23K

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	State competitive grant to support the development and dissemination of local innovative digital learning models. The goal is to have effective digital learning practices spread across all North Carolina K-12 public schools, as part of the Digital Learning Plan.
ELIGIBILITY:	All LEAs and charter schools are eligible to apply for a grant. Those approved by the State Board of Education are awarded funding.
FORMULA:	A competitive grant and funds are based on funds available and State Board approval.

SPECIAL PROVISION:

1) Per the SBE approved request for proposal grant funds may be used for:
   a) For Planning Grants
      (1) Contracted services (up to 40%)
      (2) Hardware or software purchases related to the success of the grant program. For example, the purchase of devices and equipment, especially emerging technologies that align with strategic planning (up to 25%)
      (3) Professional development expenses, including related travel costs (up to 25%).
      (4) Reimbursement for stipends and teacher substitutes.
      (5) State subsistence rates and allowances.
      (6) Transportation costs.
      (7) Printing and duplicating (up to 5%).
   b) For Implementation Grants
      (1) Contracted services (up to 25%)
      (2) Hardware or software purchases related to the success of the grant program. For example, the purchase of devices and equipment, especially emerging technologies that align with strategic planning. (up to 40%)
      (3) Professional development expenses, including related travel costs (up to 25%)
      (4) Reimbursement for stipends and teacher substitutes
      (5) State subsistence rates and allowances

(6) Transportation costs
(7) Printing and duplicating (up to 10%)

c) For Innovation Academy Grants
(1) Employment of grant coordinator (up to 50%)
(2) Contracted services (up to 25%)
(3) Hardware or software purchases related to the success of the grant program. For example, the purchase of devices and equipment to support the modeling and demonstration of best practices. (up to 25%)
(4) Professional development expenses, including related travel costs (up to 40%)
(5) Reimbursement for stipends and teacher substitutes
(6) State subsistence rates and allowances
(7) Transportation costs
(8) Printing and duplicating (up to 10%)

2) Funds received through this grant shall not supplant current funding streams.

DIGITAL LEARNING INITIATIVE (DLI): DIGITAL LITERACY SOLUTION (PRC 078)

PROGRAM REPORT CODE:	078
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-078-XXX
STATUTORY REFERENCE:	SL 2017-57, Section 7.23K SL2020-49 Part II

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Support the implementation and adoption of a K-8 digital literacy solution (curriculum and platform) in order to assist educators with the delivery of instruction as well as improve these critical digital literacy skills among students.
ELIGIBILITY:	LEAs, charter schools, and ISD schools, within economically distressed counties, that have executed local convenience contracts based on the K-8 digital literacy solution statewide Cooperative Purchasing Agreement. Economically distressed counties are those that are designated as Tier I by NC Commerce in the year of the allocation.
FORMULA:	Eligible public school units, which have opted into the program, shall be allotted funds based on the number of licenses requested at a unit cost equal to the statewide contract unit cost. In the event that the total allocation exceeds the fiscal year appropriation, all allocations shall be reduced on a pro rata basis.

SPECIAL PROVISION:

1) Funds shall only be used for software licenses from the vendor selected via the competitive procurement process.
2) Use of funds is subject to audit to ensure that purchased licenses are utilized by the public school unit. Unutilized purchased licenses are subject to a refund to the State.
3) Allocations that are not expended for licenses by November 30 of the fiscal year shall revert to DPI and utilized for implementation of the State's Digital Learning Plan.
4) Funds for charter schools shall be allotted in PRC 036 and for ISD in PRC 044.
5) Funds for the Renewal School System, if eligible, shall be allotted in PRC 037. Funds are not eligible for carryover .

DISADVANTAGED STUDENTS SUPPLEMENTAL FUNDING (PRC 024)

PROGRAM REPORT CODE:	024
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-024-XXX
STATUTORY REFERENCE:	SL 2017-57, Section 7.5

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	To address the capacity needs of local school administrative units to meet the needs of disadvantaged students.
ELIGIBILITY:	All LEAs with an approved plan are eligible for funding.
FORMULA:	Distribute resources based on a prescribed delivery option reduction of class size.

Step 1: Use the average statewide (K-12) teacher-to-student classroom teacher allotment for the Fundable Disadvantaged Population which is 1:21.

Step 2: The targeted allotment ratios for the Fundable Disadvantaged Population are:

- If low wealth % (per low wealth supplemental funding formula) is > 90%, one teacher per 19.9 students
- If low wealth % is > = 80% but < = 90%, one teacher per 19.4 students.
- If low wealth % is < 80%, one teacher per 19.1 students.
- If an LEA received DSSF funds in FY 2005-06, one teacher per 16 students. These 16 LEAs will not receive less funding than they received in FY 2006-07.

Step 3: Convert the teaching positions to dollars by using the state average teacher salary (including benefits).

SPECIAL PROVISION:

1) Funds received for Disadvantaged Student Supplemental funding shall be used, consistent with the policies and procedures adopted by the State Board of Education only to:
   a) Provide instructional positions or instructional support positions and/or professional development;
   b) Provide intensive in-school and/or after school remediation;

c) Purchase diagnostic software and progress-monitoring tools; and
d) Provide funds for teacher bonuses and supplements. The State Board of Education has established that a maximum of 35% of the funds may be used for this purpose.

2) **Non-supplant Requirement**: A local education agency receiving funds shall use the funds to supplement, not supplant, local current expense funds. The State Board of Education shall not allocate funds under this section to a county found to have used these funds to supplant local, per-student current expense funds. The SBE shall make a finding that a county has used these funds to supplant local current expense funds in the prior year, or the year for which the most recent data are available, if:
   a) The current expense appropriation per student of the county for the current year is less than ninety-five percent (95%) of the average of the local current expense appropriations per student for the three prior fiscal years; and
   b) The county cannot show:
      i) that it has remedied the deficiency in funding or
      ii) that extraordinary circumstances caused the county to supplant local current expense funds with funds allocated under this section.
3) **Pooling of Additional State Funding:** LEAs receiving funding should use, at a minimum, increases in their categorical allotments (such as low wealth supplemental funding, small county supplemental funding, at-risk, and improving student accountability funding) to pool resources to supplement the Disadvantaged Student Supplemental Funding to address teacher retention and student performance improvements.
4) If a local school administrative unit's wealth increases to a level that adversely affects the unit's DSSF allotment ratio, the DSSF allotment for that unit shall be maintained at the prior year level for one additional fiscal year.
5) Funds can be transferred without restrictions by submitting an ABC Transfer Form in the Allotment System.

DOLLARS FOR CERTIFIED PERSONNEL (PRC 010)

PROGRAM REPORT CODE: 010

UNIFORM CHART OF ACCOUNTS CODE: XXXX-010-XXX

STATUTORY REFERENCE:

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides a separate account into which LEAs may transfer funds to pay for certified personnel. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable
ELIGIBILITY:	Each LEA is entitled to transfer funds to this account.
FORMULA:	None

SPECIAL PROVISION:

Some transfers require approval in a School Improvement Plan

DRIVER TRAINING (PRC 012)

PROGRAM REPORT CODE:	012
UNIFORM CHART OF ACCOUNTS CODE:	5XX0-012-XXX
STATUTORY REFERENCE:	GS 115C, Article 14 GS 115C-105.25(b)(11a) GS 20-88.03(b)

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides funding for making available public education to all students on driver safety and training. Each LEA must serve all students enrolled in a public or private high school (including charter schools) or receiving instruction through a home school within the LEA boundaries that have not previously enrolled in the program.
ELIGIBILITY:	Each LEA is entitled to funding based on ninth grade ADM including private and federal schools.
FORMULA:	All available funds are distributed to LEAs based on dollars per total ninth grade allotted ADM; this includes private, federal and charter schools.

SPECIAL PROVISION:

1) No funds shall be transferred into or out of driver training.
2) All transactions are handled by the Department of Administration, Division of Auxiliary Services, and State Surplus Property.
   a. Car Models Prior to 1992: Funds from the sale of all car models prior to 1992 (models through 1991) are refunded to the Department of Public Instruction.
   b. Car Models beginning with 1992: Funds received from State Surplus Property for the sale of all car models after 1991 remain with the selling LEA. All proceeds should be used to support the LEA's driver education program.
3) No more than 10% of driver education funds may be used for computer expenditures.
4) Local Education Agencies (LEAs) can assess fees of up to sixty-five (65) to program participants to offset the costs of providing the training and instruction. If a local board of education charges a fee for participation in a driver education course, the local board shall provide a process for reduction or waiver of that fee for students unable to pay the fee due to economic hardship.
5) No State funds shall be used for driver education programs that do not comply with the State Board of Education Policy.

6) If an LEA does not comply with any reporting requirements imposed on the LEA for purposes of implementing the strategic plan established by the State Board of Education, DPI may withhold up to 5% of the State funds allocated for driver's education until the LEA reports the required information.
7) Of the funds appropriated, DPI may use up to 2% of those funds for the direct costs for the statewide administration of the program, including necessary positions.

INNOVATIVE SCHOOL DISTRICT (ISD) (PRC 044)

PROGRAM REPORT CODE:	044
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-044-XXX
STATUTORY REFERENCE:	GS 115C, Article 7A

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	The ISD is established by statute under the State Board of Education and the Superintendent of Public Instruction to manage and operate schools that have been selected as innovative schools. The State Board of Education is authorized to select, upon the recommendation of the ISD Superintendent, no more than five qualifying elementary schools to transfer to the ISD as innovative schools. The five qualifying schools selected for inclusion in the ISD should represent geographic diversity, including urban and rural schools. The State Board of Education shall select no more than one qualifying school per local school administrative unit, unless the local board of education consents The State Board of Education may contract with an Innovative School (IS) operator for each the innovative school.
ELIGIBILITY:	The ISD is eligible for the funds under this PRC.
FORMULA:	The IS operator shall select one of the allocation methods as the method to be used for the innovative school, as outlined in GS 115C-75.10.

SPECIAL PROVISION:

1) The State Board shall allow for annual adjustments to the amount allocated to the ISD based on the enrollment changes in the innovative schools in school years subsequent to the initial year of operation.
2) The ISD may seek, manage, and expend federal money and grants, State funding, and other funding with the same authority as a local school administrative unit, including decisions related to allocation of State funds among innovative schools. The ISD shall be considered a local school administrative unit for all federal funding purposes.
3) The ISD shall be allotted 34% of its Initial Allotment available to "draw down" after the General Assembly adjourns, based on the projected total ADM of the innovative schools provided by the ISD. Final allotments shall be recalculated based on the higher of current

year Month 1 ADM and prior year month 1 ADM and 100% of the allotment shall be made available to the ISD.

4) After first month ADM adjustments, the ISD may request additional funding if it has significant growth (20% or more) in months two through four. Requested ADM must be verified. The state contingency reserve will be used to fund any prorated increases within funds available.
5) In addition to the state funding based on dollars per ADM, the ISD may receive Children with Disabilities and Limited English Proficiency funding if the ISD qualifies. These special funds plus the dollars per ADM funding are distributed under PRC 044.
   An additional amount for each child attending the innovative school who is a child with disabilities. In the event a child with disabilities leaves the innovative school and enrolls in a public school during the first 60 school days in the school year, the innovative school shall return a pro rata amount of funds allocated for that child to the State Board, and the State Board shall reallocate those funds to the local school administrative unit in which the public school is located. In the event a child with disabilities enrolls in the innovative school during the first 60 school days in the school year, the State Board shall allocate to the innovative school the pro rata amount of additional funds for children with disabilities.

Revised September 28, 2020

INTERNATIONAL FACULTY EXCHANGE TEACHERS (IFE) (PRC 020)

PROGRAM REPORT CODE:	020
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-020-XXX
STATUTORY REFERENCE:	GS 115C-105.25(b)

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides a separate account into which LEAs may transfer teacher positions to cover a contract for a visiting international faculty member.
ELIGIBILITY:	Each LEA is entitled to request a conversion of positions to dollar equivalents.
FORMULA:	None.

SPECIAL PROVISION:

If an LEA plans to convert a position to contract for an International Faculty Exchange (IFE) member, that unit may request the conversion of positions to dollar equivalents. This applies only to IFE programs.

- To request the conversion, the LEA must submit the form entitled "Program Expansion Teacher Positions Request for Conversion to a Dollar Allotment" to Global Education in the Division of K-12 Standards, Curriculum and Instruction. If approved, funds must be used in accordance with the plan submitted and approved.
- Positions converted used to cover costs associated with bringing an International Faculty Exchange (IFE) to the LEA through the International Exchange Program will be converted at a rate of the statewide average salary plus benefits of a classroom teacher .
- These positions shall be used for teachers only.

INSTRUCTIONAL SUPPORT PERSONNEL – CERTIFIED (PRC 007)

PROGRAM REPORT CODE:	007
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-007-1XX
STATUTORY REFERENCE:	GS 115C-105.25(b) SL 1993-769, Section 19.24

TYPE:	Positions
TERM:	10 months: July 1 – June 30
PURPOSE:	Provides funding for salaries for certified instructional support personnel to implement locally designed initiatives that provide services to students who are at risk of school failure as well as the students' families. It is the intent of the General Assembly that the positions must be used first for counselors, then for social workers and other instructional support personnel that have a direct instructional relationship to students or teachers to help reduce violence in the public schools. They shall not be used as administrators, coordinators, supervisors, or directors.
ELIGIBILITY:	Each LEA is entitled to positions. The number of positions allotted is based on the formula listed below
FORMULA:	These positions are allotted on the basis of one position per calculated allotted ADM. For city LEAs with an ADM of less than 3,000, all fractions will be rounded up to the next whole position. The positions are then multiplied by the LEA's average salary plus benefits. After the first month of school, an LEA can request additional resources due to extraordinary student population growth. Allotments will be adjusted within available funds. All partial positions 0.25 and over are rounded up to the nearest whole position.

SPECIAL PROVISION:

1) Local boards of education may transfer any portion of a position allotment to dollar allotments only for contracted services, which are directly related to school nursing and school psychology. Transfers for this purpose should be requested in writing to the Division of School Business. Converting certified position allotments to dollars for the purpose of

hiring the same type position is not allowable. The following limitations apply to the conversion:

a) School Nurse:
   i) One nurse for every 3,000 ADM or at least one per county.

b) School Psychologist:
   i) One for every 2,000 ADM or at least one per county.
   ii) Certification that the local board was unable to employ certified school psychologists.
   iii) Contracted services are limited to initial evaluations, revaluations for exceptional children, assessments, consultations, and counseling.

c) Both Nurse and Psychologist:
   i) Prior approval from the Division of School Business.
   ii) Position (whole or half) will be converted at the statewide average salary level of an Instructional Support-Certified position, including benefits.
   iii) The dollar amount utilized for contracted services may not exceed the amount allocated to the local school system. The dollar allotment (salary, plus benefits) will be transferred to Instructional Support Personnel – Non-certified.

2) Each LEA is responsible for the identification of Instructional Support Personnel – Certified as teachers, librarians, school counselors, school psychologists, school nurses, and school social workers.
3) Upon written notification to the Division of School Business, certified support personnel positions may be transferred to dollars for non-certified personnel. All transfer requests must be received within the fiscal year that payment to the individual is made. There will be no prior year adjustments approved. If this option is selected, the resulting positions and dollars will be transferred to a separate allotment category entitled "Instructional Support Personnel – Non-certified" which is a dollar allotment.
4) The positions can be used for teachers to reduce class size in all grades without a State Board of Education (SBE) Waiver or a transfer of funds. Funds can be transferred for any purpose except where limited by the ABC Transfer Policy by submitting an ABC Transfer Form in the Allotment System. The conversion rate will be the A00 step on the Salary Schedule, plus benefits.
5) Any school which is eligible for a principal and contains grades K-12, with a total student population not sufficient to generate one instructional support position (ADM less than 150) will be allotted one instructional position, within funds available for Instructional Support. This does not include schools identified as alternative or special schools. The LEA must allocate additional positions provided under this provision to the school that generated the additional positions.

LEA FINANCED PURCHASE OF SCHOOL BUSES (PRC 120)

PROGRAM REPORT CODE:	120
UNIFORM CHART OF ACCOUNTS CODE:	6XXX-120-551
STATUTORY REFERENCE:	SL 2009-451, Section 7.5 GS 115C-528

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides funding for the state school bus replacement program.
ELIGIBILITY:	Each LEA is entitled to funding.
FORMULA:	1) Funds are allotted to replace vehicles based on age and mileage of the vehicles. 2) The initial allotment shall consist of one third of the total allotment. The remaining allotment shall be made in intervals of one third of the total allotment in subsequent years not to exceed three years. 3) DPI will issue checks in the amount of the allotted funds on the LEA's behalf for the installment payment.

SPECIAL PROVISION:

1) LEAs must purchase the buses only from vendors selected by the State Board of Education and on terms approved by the State Board of Education.
2) LEAs must use the funds only to make payments on a financing contract entered into pursuant to GS 115C-528 (see Formula #3 above). Funds cannot be transferred in or out of this PRC.

LIMITED ENGLISH PROFICIENCY (PRC 054)

PROGRAM REPORT CODE:	054
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-054-XXX
STATUTORY REFERENCE:	GS 115C-105.25(b)(10a) SL 2007-323, Section 7.9

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	To provide additional funding to LEAs/charter schools with students who have limited proficiency in English.
ELIGIBILITY:	Eligible LEAs/charter schools must have at least 20 students with limited English proficiency (based on a 3-year weighted average headcount), or at least 2 1/2% of the ADM of the LEA/charter school. Funding is provided for up to 10.6% of ADM.
FORMULA:	Calculate 3-Year Average Headcount • Most current years available weighted twice (50%) • Two previous years weighted once (25%) Base Allocation Each eligible LEA/charter school receives the minimum of 1 teacher assistant position. 1) 50% of the funds (after calculating the base) will be distributed based on the concentration of limited English proficient students within the LEA. 2) 50% of the funds (after calculating the base) will be distributed based on the weighted 3-year average headcount.

SPECIAL PROVISION:

1) The funds shall be used to supplement local current expense funds and shall not supplant local current expense funds.
2) Funds allotted for Limited English Proficiency must be expended only for classroom teachers, teacher assistants, tutors, textbooks, classroom materials / instructional supplies / equipment, transportation costs, and staff development needed to serve limited English proficient students.
3) No Funds shall be transferred out of this category.

LOW WEALTH COUNTIES SUPPLEMENTAL FUNDING (PRC 031)

PROGRAM REPORT CODE:	031
UNIFORM CHART OF ACCOUNTS CODE:	XXX0-031-XXX
STATUTORY REFERENCE:	SL 2017-57, Section 7.3

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	To provide supplemental funds in counties that do not have the ability to generate revenue to support public schools (per a legislated formula) at the state average level. The funding is to allow those counties to enhance the instructional program and student achievement.
ELIGIBILITY:	Eligible LEAs are those located in counties in which the calculated county wealth (per the legislated formula) is less than 100% of the state average wealth.
FORMULA:	All data used for this formula will be the most recent data as of May 15 of the preceding fiscal year of the allotment. The formula for this allotment is as follows:

1) Compare a county's ability to generate revenue (using three criteria) with the average ability to generate revenue of the other 99 counties in the state.
   a) Purpose: The overall wealth of a county is considered critical in determining if a county can generate the necessary revenue to support the LEA(s) located within the county. The formula attempts to project the county's ability to generate revenue as compared to other counties in North Carolina.
   b) Criteria:
      i) Anticipated Total County Revenue - This anticipated revenue is generated from the property value in the county plus the revenue a county actually receives from sources such as sales taxes and fines and forfeitures. The property value has been adjusted by a formula to take into consideration the year the property was last valued. This calculation is projected to be almost 95% of all the revenue available to a county for current expense.
      ii) Tax Base per Square Mile (Density) - This part of the formula compares the value of a county's property per square mile with the state average property value per square mile. This calculation attempts to recognize the "swamp land" (low to no value property) across the state and to compare the quantity of this property with all other counties.

iii) Per Capita Income – A three-year average of a county's per capita income is compared to the same average for the total state. The per capita income is a basic indicator of a county's residents' ability to pay taxes.

2) Determine if a county is eligible to receive funding.
   a) Purpose: The factors outlined in Step 1 are weighted to determine how a county compares to all other counties in the State.
   b) Criteria:
      i) 40% Anticipated Total County Revenue as a percentage of the State Average Anticipated State Revenue [see Step 1(b)(i)].
      ii) 10% Tax Base per Square Mile as a percentage of the State Average Tax Base per Square Mile [see Step 1(b)(ii)].
      iii) Combine the formula weights revenue/property as 50% of the calculation.
      iv) 50% A County's average per capita income as a percentage of the State average per capita income [see Step 1(b)(iii)].
   c) When the three percentages are totaled, the sum indicates the county's wealth as a percentage of the state's average wealth. If the total is less than 100%, a county is eligible to receive funding.
3) Determine if a county is meeting a minimum effort to generate revenue or fund public schools as compared to a state average effort.
   a) Purpose: Minimum effort is established to determine if a county is committing a comparable effort compared to other counties within the State. To receive total funding, a county must either tax their local property at the State average or contribute more local dollars to public schools than the State average local contribution.
   b) Criteria: A county is fundable at 100% of the calculated funding if at least one of the following conditions is met:
      i) The county's actual tax rate is adjusted by a formula to take into consideration the year the property was last valued. The revised tax rate is classified as the Effective Tax Rate. If the county's Effective Tax Rate is higher than the state Average Effective Tax Rate, the county is fundable at 100% of the funding as calculated in the formula.
      ii) If the county's appropriation per student is higher than what the county could provide given the county's ability to generate wealth and an average effort to fund public schools, then a county is eligible for 100% funding. To determine what a county could provide, the State average contribution is multiplied by the county's wealth percentage (total percentage in Step 2 above).
      iii) A county will be funded at a percentage of the calculated funding in the formula if the county is eligible for funding and does not meet the two criteria outlined above. A county's funding will be based on the percentage a county is contributing to public schools as compared to what the county could contribute based on the county's wealth and an average state effort.
4) Calculation of what a County (LEA) will be funded.
   a) Purpose: Once a county is determined to be Low Wealth, the next step is to calculate the amount of supplemental funds the county will receive.

b) Criteria:
    i) The difference between the county's Appropriation per student and State Average Local Appropriation (including supplemental taxes) per student is multiplied by the county's ADM for a total allotment per formula.
    ii) If the county does not meet the minimum effort as described in Step 3, their allotment is prorated based on the effort they are maintaining.
    iii) The total for all counties is prorated to the appropriated funds.

SPECIAL PROVISION:

1) Funds allotted for a Low Wealth Supplement must be expended only for:
    a) Instructional positions
    b) Substitutes
    c) Instructional support positions
    d) Teacher assistant positions
    e) Clerical positions
    f) Overtime pay
    g) Instructional equipment
    h) Instructional supplies and materials
    i) Staff development
    j) Fringe benefits
    k) Textbooks and digital resources
    l) Supplements for instructional personnel and instructional support personnel as defined in the Uniform Chart of Accounts.
2) These funds are to supplement, not supplant, local funds. Funds will not be allocated to any LEA found to have used these funds to supplant local per student current expense funds.
3) A county is considered to have used these funds to supplant if the current expense appropriation per student of the county for the current year is less than 95% of the average of the local current expense appropriations per student for the three prior fiscal years.
4) The State Board of Education will consider requests from LEAs to waive the non-supplant requirement as outlined in the legislated low wealth and small county supplemental funding formula only under the following conditions:
    a) The county can show:
        i) that it has remedied the deficiency in funding, or
        ii) that extraordinary circumstances caused the county to supplant local expense funds with funds allocated under this section.
    b) Examples include:
        i) Closing/merging schools within an LEA.
        ii) Loss of federal Impact Aid funds.
        iii) Significant change in the demand for county resources due to state/federal unfunded mandates, natural disaster, or other emergency.
5) Per SL 2017-197, Section 2.19, for the 2017-2019 fiscal biennium, a county containing a base of the Armed Forces of the United States that has an average daily membership of more than 17,000 students shall receive whichever is the higher amount in each fiscal year as

follows: either the same amount of supplemental funding the county received as a low-wealth county in the 2012-2013 fiscal year, or the amount of supplemental funding the county is eligible to receive as a low-wealth county pursuant to the formula. This provision also exempts the county from the non-supplant requirement.

6) Funds may be transferred with no restrictions by submitting ABC Transfer Form in the Allotment System.

EARLY GRADE READING PROFICIENCY (PRC 085)

PROGRAM REPORT CODE:	085
UNIFORM CHART OF ACCOUNTS CODE:	XXX0-085-XXX
STATUTORY REFERENCE:	GS 115C, Article 8, Part 1A

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Kindergarten, first, second, and third grade students shall be assessed with valid, reliable, formative, and diagnostic reading assessments made available to local school administrative units by the State Board of Education. Assessments shall yield data that can be used with the Education Value-Added Assessment System (EVAAS). Difficulty with reading development identified through administration of formative and diagnostic assessments shall be addressed with instructional supports and services. Formative and diagnostic assessments and resultant instructional supports and services shall address oral language, phonological and phonemic awareness, phonics, vocabulary, fluency, and comprehension using developmentally appropriate practices. These assessments may be administered by computer or other electronic device.
ELIGIBILITY:	All LEAs, charter schools, Lab schools and ISD, which serve grades K-3 students are entitled to funding.
FORMULA:	Excellent Public Schools Act funds certified in Fund Code 1800, except for those allotted through PRC 016 or used to provide the kindergarten entry assessment as required by 115C-83.5, shall be allotted based on ADM in K-3 classrooms.

SPECIAL PROVISION:

1) Funds may only be used for the purpose stated and may not be transferred out of the allotment category.
2) Available assessments are those approved by the State Board of Education to comply with meet the Read to Achieve mandate only for the 2020-21 school year.
3) Funds shall not be allotted until the State Board of Education approves a list

containing eligible vendors meeting specific criteria (i.e. Lexile Study, Formative diagnostic for grades K – 3, EVAAS compatibility).

4) The following units shall receive the allocation in the PRC indicated:
   a) Charter Schools is in PRC 036 – State Charter School
   b) Lab Schools in PRC 038 – "Z" Schools
   c) ISD in PRC 044 – Innovative School District
   d) Renewal School District in PRC 037 - Restart Schools/Renewal School District

NONINSTRUCTIONAL SUPPORT PERSONNEL (PRC 003)

PROGRAM REPORT CODE: 003

UNIFORM CHART OF ACCOUNTS CODE: XXXX-003-XXX

STATUTORY REFERENCE:

TYPE: Dollars

TERM: Varies, July 1 - June 30

PURPOSE: Provides funding for non-instructional support personnel and associated benefits. These funds may be used at the central office or at individual schools. The funds may be used for:

- Clerical Assistants
- Custodians
- Duty Free Period
- Liability Insurance
- Substitutes
- Textbook Commission Clerical Assistant

ELIGIBILITY: Each LEA is entitled to funding. The dollars allotted are based on the formula listed below.

FORMULA: Funds are allotted on the basis of dollars per allotted ADM. $6,000 for clerical assistants is allotted to each LEA with a member in the textbook commission.

SPECIAL PROVISION:

1) The LEA shall determine the assignment, the length of employment, and the pay level in accordance with the North Carolina Public School Personnel State Salary Schedule. Substitutes must be paid in accordance with General Information Section of the Allotment Policy Manual.
2) There is no limit on the number of individuals that can be employed as long as all employees are placed on the North Carolina Public School Personnel State Salary Schedule and the LEA does not exceed the total dollars.
3) By the end of the third payroll period, the average salary paid at the LEA must be at least 98% of the State-allotted amount for clerical assistants and custodians.
4) Funds may be transferred with no restrictions by submitting ABC Transfer Form.
5) Employment of Textbook Commission Clerical Assistants is at the discretion of the Textbook Commission member; however, the employment of clerical assistants must follow the guidelines that govern the employment of other personnel within the LEA. Members are

strongly advised **not** to hire members of their immediate family. The LEA that employs the clerical assistant codes their salary as an expense.

6) An increase in each LEA's allotment will be made on a monthly basis based upon the LEAs extended sick leave, personal leave, and other leave requiring deductions from the teacher's pay ($50).
7) A reserve of $250,000 has been established for LEAs who are in need of economic relief due to prolonged teacher absences resulting in an unpredictably large increase in substitute expenses. LEA requests for funds will be granted based on the criteria in this policy as long as funds are available.
   a) The LEA must send a written request to the Public Schools of North Carolina, School Allotments Section, stating:
      i) Why the additional funds are needed. (Provide details of the qualifying condition with supporting documentation.)
      ii) The amount needed. (Provide details of how this amount was determined.)
      iii) Why other sources of funds are not available.
   b) The LEA must have not transferred any funds out of non-instructional support during the fiscal year for which additional funds are requested.
   c) Request must be made by April 1 to allow time for processing and ensure expenditures are paid prior to June 30.
8) Public school units shall be reimbursed for the substitute cost of teachers who attend the Holocaust workshop provided by the NC Council on the Holocaust. The list of attendees is provided by the Council.
9) If the Governor, State Board Chairman, or State Superintendent of Public Instruction schedules an event (meeting) to invite teachers, funds will be allotted to cover the cost of substitutes while the teachers are out of the classroom.
10) Small Restructured High Schools – Schools restructuring into smaller learning communities with agreements with the New Schools Project, will receive funding equivalent to one additional clerical position. (SL 2009-451 Committee Report Page F 4, Item #29)
11) The Excellent Public Schools Act provided additional funding for the addition of 5 days within the existing school calendar. [SL 2012-142, Section 7A.6(a)]

PRINCIPAL AND TEACHER PERFORMANCE BONUSES (PRC 048)

PRINCIPAL PERFORMANCE BONUS

PROGRAM REPORT CODE:	048
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-048-XXX
STATUTORY REFERENCE:	SL 2018-5, Section 8.3 SL 2020-45 Section 5

TYPE:	Dollars
TERM:	July 1, 2018 - June 30, 2019
PURPOSE:	A bonus program that provides principals with performance-based bonuses.
ELIGIBILITY:	Each LEA is entitled to funding, based on the Education Value-Added Assessment System (EVAAS) student growth index score for the school.
FORMULA:	Funds shall be provided for principals who received a one-time bonus in 2019-20 fiscal year based on the school being in the top 50% of school growth, pursuant to Section 2.4 of SL 2019-247 and is employed as a principal in a public school as of October 1, 2020.

SPECIAL PROVISION:

1) Bonuses are payable no later than October 31.
2) Principals employed in a charter school, Lab school, or regional school are eligible to receive the bonus if they qualify.
3) A principal is not eligible to receive a bonus if he/she is no longer employed as a principal due to resignation, dismissal, reduction in force, death or retirement.
4) A principal is not eligible to receive a bonus if his/her last workday is prior October 1, of the year it is paid.
5) The compensation bonuses are not considered compensation for purposes of the Teachers' and State Employees' Retirement System.
6) It is the intent of the General Assembly that funds provided to LEAs pursuant to this section will supplement principal compensation and not supplant local funds.
7) Unused funds shall not be used for any purpose other than stipulated in the legislation. Excess funds allotted shall revert to the state.

RESTART SCHOOLS AND RENEWAL SCHOOL SYSTEMS (PRC 037)

RESTART SCHOOLS

PROGRAM REPORT CODE:	037
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-037-XXX
STATUTORY REFERENCE:	GS 115C-105.37B

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	To approve a local board of education's request to reform any school in its LEA which the State Board of Education has identified as one of the continually low-performing schools in North Carolina.
ELIGIBILITY:	LEAs which have applied for and been approved by the State Board of Education to convert continually low-performing schools to the legislated restart model.
FORMULA:	The restart model provides the same exemptions as a charter school and therefore, the following will apply to an LEA that has a State Board of Education approved Restart school. DPI will fund the LEA based on the legislated funding formulas and the allotted average daily membership (ADM) of the LEA.

SPECIAL PROVISION:

1) The LEA is required to transfer an amount equal to the State average per pupil allocation for the LEA for the ADM of the school into a restricted PRC. The average per pupil allocation will exclude transportation, exceptional children (EC), and limited English proficiency (LEP) funds.
2) The ADM will be the school's ADM per the Month 1 Principals Monthly Report.
3) The average per pupil allocation will include the guaranteed expenditures (PRC 009), however the LEA shall be allowed to expend for the Restart school in PRC 009.
4) The LEA is required to ensure that adequate services for exceptional children and limited English proficient students are provided. EC and LEP allotments shall not be transferred into this PRC. Program expenditures for students in Restart schools shall be accounted in PRC 032 and 054, respectively.
5) LEA transportation allotment may be used to transport students.
6) Other funds shall be provided consistent with charter schools. e.g., Summer camps, etc.
7) Restrictions on transfers:

a) Transfer restrictions outlined in the Allotment Policy Manual apply, unless exception noted in this document.
b) State position allotments will be converted at the LEA average salary for that position plus benefits.
c) Career Technical Education funds may be transferred if the school serves students in grades 8-12, up to a calculated cap.
d) Teacher assistant funding may be transferred into the restricted Restart PRC with no limit.
e) Transfers from PRC 056 transportation are not allowable.
f) LEAs are restricted to the required transfer and may not transfer funds in excess of the requirement. Funds in the restricted PRC shall only be used for the Restart school(s).
g) LEAs may use funds from other state allocations for the school(s).
h) Funds transferred into the Restart PRC shall not be transferred out.
i) The LEA shall make all transfer into the Restart PRC by November 30th. If the LEA has failed to transfer the appropriate amount, the School Allotments Section shall transfer any remaining balance from PRC 003 Non instructional support. If there are insufficient funds in PRC 003, to fulfill the requirement, the remaining balance shall be transferred from PRC 024 Disadvantaged Student Supplemental Funding.

RESTART SCHOOLS AND RENEWAL SCHOOL SYSTEMS (PRC 037)

RENEWAL SCHOOL SYSTEM

PROGRAM REPORT CODE:	037
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-037-XXX
STATUTORY REFERENCE:	SL 2018-32, Part VI

TYPE:	Dollars
TERM:	July 1 – June 30
PURPOSE:	A local board of an LEA which meets the legislated criteria may submit a plan to the State Board of Education to permit the LEA to decide all matters related to the operation of the schools under its control including the use of State funds, curriculum, and operating procedures unless prohibited in law. Upon the approval of the State Board of Education, the LEA shall be designated as a Renewal School District (RSS).
ELIGIBILITY:	The LEA that has 1) the greatest percentage of restart model schools, 2) received low wealth supplemental funding and 3) more than 10,000 in ADM.
FORMULA:	The State Board of Education shall allocate to an RSS the following:

1) A base amount equal to the average per pupil initial allocation for the LEA for the allotted ADM, consistent with the calculation for state funds for charter schools. The allocation for children with disabilities, children with limited English proficiency, and driver training shall be provided in addition to the base amount.
2) An additional amount for each child attending the RSS who is a child with disabilities. During the first 60 school days, if a child with disabilities who was included in the prior fiscal year April 1 child count leaves or returns to a RSS from or to a charter school, the pro-rata share of funds allocated for that child will be adjusted.
3) An additional amount for children with limited English proficiency and driver training attending the RSS, based on the formulas adopted by the State Board.
4) Other funding due to the RSS per law or State Board policy, that is not restricted in law.

SPECIAL PROVISION:

1) The RSS shall be allotted 100% of its Initial Allotment after the General Assembly adjourns, based on the allotted ADM.
2) The RSS per pupil initial allocation shall be adjusted for increases or decreases in ADM, consistent with the State Board policy for LEA Allotment adjustments. In the event of an

adjustment for ADM differences between allotted and actual, all initial allotment categories shall be adjusted on a per pupil basis.

3) A RSS shall be eligible to receive or apply for funds available to LEAs, if applicable.
4) Use of State funds shall be unrestricted unless there is a restriction imposed by federal law, the conditions of federal grants or as provided through any rules that the State Board adopts to ensure compliance with federal regulations.
5) State position allotments will be converted at the State average salary for that position plus benefits.

SCHOOL BUILDING ADMINISTRATION (PRC 005)

PROGRAM REPORT CODE:	005
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-005-XXX
STATUTORY REFERENCE:	GS 115C, Article 19 SL 1993-769, Section 19.24 SL 2011-145, Section 7.14(a)

TYPE:	Months of Employment (MOEs)
TERM:	10, 11, or 12 months, July 1 - June 30
PURPOSE:	Provides funding for salaries including benefits for principals and assistant principals
ELIGIBILITY:	Each LEA is entitled to months of employment. The months of employment allotted are based on the formulas listed below.
FORMULA:	Principal and Assistant Principal Allotments are consolidated to allow more flexibility at the local level. The allotments are calculated separately and then combined into one allotment.

PRINCIPALS:

Each school with 100 or more pupils in final ADM and/or seven or more full-time equivalent state allotted/paid teachers and instructional support personnel, unrounded, (based on prior year 6th pay period) is entitled to twelve months of employment for a principal, within funds available. In accordance with SL 2011-145, Section 7.14(a), a school that opens after July 1, 2011 with less than 100 students in final average daily membership is not entitled to 12 months of employment for a principal. Year-round schools, multi-track organizational patterns, and other sub-urbanization patterns developed within the existing framework of an established campus are not automatically entitled to additional months of employment. The total months are then multiplied by the LEA's average monthly salary (based on prior year 6th pay period salary adjusted for legislated increases and benefits).

If a school opens on or after November 1, a principal allotment will be prorated based on the date of the school opening, within available funds. New school openings will be verified based on current year 2nd month ADM. If the schools are not open, or if they do not qualify for a principal, their principal allotment will be reduced. If this ineligible school was allotted 4 additional principal months in the previous fiscal year for school planning, the 4 months will also be reduced from the current year's allotment.

ADM is based on the final ADM for the prior year as reported on the Principal Monthly Reports (PMR).

FTE information obtained from the 5th and 6th pay periods will be as reported. 202 adjustments shall not be considered.

ASSISTANT PRINCIPALS:

One month of employment per 98.53 allotted ADM rounded to the nearest whole month. The total months are then multiplied by the LEA's average monthly salary (based on prior year 6th pay period plus LI) plus benefits.

Fractions of months of employment are rounded up to the nearest month for small city LEAs with ADM less than 3,000 students.

SPECIAL PROVISION:

1) PRINCIPALS:
   a) If a school does not meet the conditions specified above, then a teaching position may be used as principal of that school. Teachers employed as principals of schools with less than seven but more than two teachers should be paid for ten months on the appropriate pay level for building principals as specified in the North Carolina Public School Personnel State Salary Schedule.
   b) In the event the closing or reorganization of schools in an LEA causes a reduction in the principal allotment, the allotment shall be held harmless the first and second fiscal years following the reduction of the principal allotment, provided that the reduction in the principal allotment continues in the second year.
   c) A teacher may be reassigned to fill in for a principal after the principal has been on sick leave for at least ten (10) days. The reassignment shall be allowed until the principal returns to work or exhausts sick leave.
   d) Funds may be transferred for any purpose, except where prohibited elsewhere in the ABC transfer policy, by submitting an ABC Transfer Form. The salary transferred will be based on the first step of the principal Base salary schedule. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
   e) The State Board of Education must approve waivers for placement of Principals on the salary schedule for Low-Performing schools.
   f) An LEA may submit in writing to the School Allotments Section a request for up to four additional principal months of employment for new schools (which will qualify for a principal allotment). This request needs to include the following information: school opening date, number of months requested, estimated ADM, estimated state allotted/paid teacher and instructional support FTEs, and the effective date that the principal begins the performance of administrative duties for the new school. This policy only applies to additional regular education schools opening within the district. It does not apply to alternative schools or schools that are replacing existing schools within the LEA. The allotment could be prorated based on the date that the request is received. The allotment of additional principal months will depend on the availability of funds.
   g) Small Restructured High Schools – Schools restructuring into smaller learning communities shall receive a principal position per new site.

h) Approved STEM (Science, Technology, Engineering and Math) schools shall receive a principal per site.

2) ASSISTANT PRINCIPALS:
   a) These positions shall be used only for non-teaching activities.
   b) LEAs may employ assistant principals for 10, 11, or 12 months. LEAs cannot exceed allotted months of employment.
   c) Assistant principal months may be allotted within funds available to an LEA if the sub-organizational structure of a school within that LEA creates a distinct separate operating entity within an existing school such as an exceptional children wing of a school with integrated/shared programs. The allotments must be requested and appropriated on an annual basis. The LEA must show a need for funding not already included in the regular ADM assistant principal allotment or exceptional children programs. The identification of separate grade spans within a school does not qualify for additional funding under this provision.
   d) Funds may be transferred for any purpose, except where prohibited elsewhere in the transfer policy, by submitting an approved ABC Transfer Form. Funds related to Assistant Principal Months of employment shall be transferred based on the first step of the Assistant Principal Salary Schedule. Funds may be transferred out. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
   e) Small Restructured High Schools –Schools within an existing school as approved will be reduced for Assistant Principal Months of Employment. (SL 2005-276, Section 7.52)

SCHOOL CONNECTIVITY (PRC 073)

PROGRAM REPORT CODE:	073
UNIFORM CHART OF ACCOUNTS CODE:	XXX0-073-XXX
STATUTORY REFERENCE:	SL 2006-66, Committee Report SL 2007-323, Section 7.28 SL 2015-241, Committee Report SL 2017-57, Section 7.23A

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Funds are appropriated in this act to support the enhancement of the technology infrastructure for public schools.
ELIGIBILITY:	Each NCREN connected LEA or Charter having multiple locations separated by a public-right-of-way is entitled to wide area network funding. Each charter school not connected to NCREN is entitled to funding to offset the costs of Internet Connectivity
FORMULA:	Each LEA or charter receives a percentage of the estimated wide area network or Internet costs after projected E-Rate discounts as determined and verified by DPI. Because the number of schools, locations, pricing, available funding and E-rate discounts may change each year, amounts and percentages may vary.

SPECIAL PROVISION:

1) These funds shall be used for broadband access, equipment, and support services that create, improve and sustain equity of access for instruction opportunities for public school students and educators.
2) The funds appropriated shall be used to implement a plan approved by the State Board of Education to enhance the technology infrastructure for public schools that supports teaching and learning in the classrooms.
3) Funds currently used for the services covered by these new funds shall not be supplanted by this additional funding and shall be used to support instructional technologies and local infrastructure in schools in support of the acquisition and delivery of instructional technology resources to the classroom.
4) Any refunds received for services paid with these technology funds shall return to the originating technology fund.
5) School Connectivity funding for Charter Schools is allotted in PRC 036.

SCHOOL RESOURCE OFFICER (PRC 039)

PROGRAM REPORT CODE:	039
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-039-XXX
STATUTORY REFERENCE:	SL 2018-5, Section 7.27(e)

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	This account provides funding to local administrative units, regional schools, and charter schools for school resource officers in elementary and middle.
ELIGIBILITY:	All LEAs, charter schools, and regional schools are eligible for grant funding. Applications will be judged on need-based considerations which include small-county, low wealth, and school crime rate factors.
FORMULA:	LEAs must submit Applications for funding. Allocations must be approved by the State Board of Education.

SPECIAL PROVISION:

1) State School Resource Officer funding for Middle Schools must be matched on the basis of two dollars ($2.00) in state funds for every one dollar ($1.00) in local funds.
2) School Resource Officer Funds for Charter Schools will be allotted in PRC 036. Funds for Regional Schools will be allotted in PRC 038.
3) Public school units may use these funds to employ school resource officers in elementary and middle schools, to train them, or both. Training shall be provided, in partnership with the public school unit, by a community college, a local law enforcement agency, or the North Carolina Justice Academy. Any training shall include instruction on research into the social and cognitive development of elementary school and middle school children.
4) Funds cannot be transferred in or out of this category.

SCHOOL TECHNOLOGY FUND (PRC 015)

PROGRAM REPORT CODE:	015
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-015-XXX
STATUTORY REFERENCE:	GS 115C, Article 8, Part 3A

TYPE:	Dollars
TERM:	July 1: carries over until spent
PURPOSE:	Provides funding to LEAs for the development and implementation of a local school technology plan
ELIGIBILITY:	Each LEA is entitled to funding.
FORMULA:	Funds for School Technology are distributed based on allotted ADM in grades K-12.

SPECIAL PROVISION:

1) Two or more LEAs may jointly expend funds to develop their individual local school technology plans for staff development or to implement their individual local school technology plans.
2) Funds must be expended in accordance with the LEA's School Technology Plan.
3) Monthly allotment adjustments will be made to each LEA based on interest received on their account from the Department of State Treasurer. These monthly adjustments are based on each LEA's average daily balance for the month in their School Technology Fund.
4) Any legislated One-time annual adjustment for fines and penalties will be made to each LEA and Charter School with an approved technology plan. Funds are allotted based on allotted ADM.
5) Per GS 115C-546.2, monthly allotment adjustments will be made to each LEA based on transfers from the School Building Fund once received from the Division of Safe and Healthy Schools Support, School Planning Section.
6) Funds cannot be transferred in or out of this category.

SMALL COUNTY SUPPLEMENTAL FUNDING (PRC 019)

PROGRAM REPORT CODE: 019

UNIFORM CHART OF ACCOUNTS CODE: XXX0-019-XXX

STATUTORY REFERENCE: SL 2018-5, Section 7.4

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: To provide additional funds to small school systems.

ELIGIBILITY: Each Eligible county school administrative unit shall receive a dollar allotment according to the following schedule over the next five-year period.

FORMULA:

Allotted ADM	Small County Allotment
0 – 600	$1,710,000
601 – 1,300	$1,820,000
1,301 – 1,700	$1,548,700
1,701 – 2,000	$1,600,000
2,001 – 2,300	$1,560,000
2,301 – 2,600	$1,470,000
2,601 – 2,800	$1,498,000
2,801 – 3,300	$1,548,000

SPECIAL PROVISION:

1) This is a Phase-Out Provision. If a local school administrative unit becomes ineligible for funding, funding for that unit shall be phased out over a five-year period. Funding for such local administrative units shall be reduced in equal increments in each of the five years after the local administrative unit becomes ineligible. Funding shall be eliminated in the fifth fiscal year after the local administrative unit becomes ineligible. Allotments for eligible local school administrative units shall not be reduced by more than (20%) of the amount received in the current fiscal year.
2) A local school administrative unit shall not become ineligible for funding if either the higher of the first two months total projected average daily membership for the current year or the higher of the first two months total prior year average daily membership would otherwise have made the unit eligible for funds under the schedule in subsection (a) of this section.
3) Uses of Funds:

a) Funds allotted for small school systems may be used for any type expenditure eligible from State fund allotments (excluding central office administration); however, based on N.C. GS 115C-408(b), these funds are not available for capital construction projects.
b) An amount not to exceed ten thousand dollars ($10,000) may be used to pay towards the plant operation contract cost charged by the Department of Public Instruction for services.
c) Local Boards of Education are encouraged to use at least 20% of the small county funds they receive to improve the academic performance of children who are performing at Level I or II on either reading or mathematics end-of grade tests in grades 3-8. Local boards of education shall report to the State Board of Education on an annual basis on funds used for this purpose, and the State Board shall report this information to the Joint Legislative Education Oversight Committee. (SB 200, Section 7.12 (f))
d) Each LEA will need to develop its own chart of accounts using PRC 019 with any valid purpose and object code in the Chart of Accounts.

4) These funds are to supplement, not supplant, local funds. Funds will not be allocated to any LEA found to have used these funds to supplant local per student current expense funds. A county is considered to have used these funds to supplant if the current expense appropriation per student of the county for the current year is less than 95% of the average of the local current expense appropriations per student for the three prior fiscal years.
5) The State Board of Education will consider requests from LEAs to waive the non-supplant requirement as outlined in the legislated low wealth and small county supplemental funding formula only under the following conditions:
   a) The county can show:
      i) that it has remedied the deficiency in funding, or
      ii) that extraordinary circumstances caused the county to supplant local expense funds with funds allocated under this section.
   b) Examples include:
      i) Closing/merging schools within an LEA.
      ii) Loss of federal Impact Aid funds.
      iii) Significant change in the demand for county resources due to state/federal unfunded mandates, natural disaster, or other emergency.
6) Transfer of funds is not required.

SUMMER READING CAMPS (PRC 016)

PROGRAM REPORT CODE:	016
UNIFORM CHART OF ACCOUNTS CODE:	XXX0-016-XXX
STATUTORY REFERENCE:	GS 115C, Article 8, Part 1A SL 2014-100, Section 8.7

TYPE:	Dollars
TERM:	July 1 – October 31
PURPOSE:	To provide additional educational programs outside of the instructional calendar to any 3rd grade student who does not demonstrate reading proficiency and any first or second grade student who demonstrates reading comprehension below grade level as identified through administration of formative and diagnostic assessments in accordance with GS 115C-83.6.
ELIGIBILITY:	Each LEA or charter school
FORMULA:	Each LEA and charter school shall receive: • A minimum of $10,725. • A dollar amount per allotted 1st -3rd grade student multiplied by the percentage of 1st - 3rd graders who do not demonstrate proficiency in reading. • The maximum funding is capped at $825 per not-proficient ADM.

SPECIAL PROVISION:

1) Summer Camps shall:
   a) Offer at least 72 hours of reading instruction to yield positive reading outcomes for participants;
   b) Be provided over no less than three weeks for students in schools using calendars other than year-round calendars.
   c) Be taught by compensated licensed teachers selected based on demonstrated student outcomes in reading proficiency or in improvement of difficulties with reading development; and
   d) Allow volunteer mentors to read with students at times other than during 72 hours of reading instruction.
2) Parents or guardians of first and second grade students demonstrating reading comprehension below grade level as identified through assessments administered pursuant to GS 115C-83.6, shall be encouraged to enroll their student in a reading camp provided by the local school administrative unit. Parents or guardians of the students demonstrating reading proficiency

shall make the final decision regarding the student's summer camp attendance.

3) Funding will carryover until Oct 31 of the subsequent fiscal year for expenditure liquidation.
4) LEAs and Charter Schools are encouraged to use other state and federal funding targeted at students at risk, in addition to the summer camp allotment. Such as, At Risk (PRC 069) and Disadvantaged Student Supplemental Funding (PRC 024).
5) No funds shall be transferred in or out of this category.

TEACHER ASSISTANTS (PRC 027)

PROGRAM REPORT CODE:	027
UNIFORM CHART OF ACCOUNTS CODE:	5XXX-027-XXX
STATUTORY REFERENCE:	GS 115C-105.25(b)(3a)

TYPE:	Dollars
TERM:	Varies, July 1 - June 30
PURPOSE:	Provides funding for salaries and benefits for regular and self-contained teacher assistants.
ELIGIBILITY:	Each LEA is entitled to funding based on ADM.
FORMULA:	Funds are allotted based on allotted ADM in grades K-3. Benefits are included.

SPECIAL PROVISION:

1) The LEA shall determine the length of employment and the pay level for teacher assistants in accordance with the North Carolina Public School Personnel State Salary Schedule.
2) No limit exists on the number of individuals that can be employed as long as all employees are placed at a minimum pay level of 56 in accordance with the North Carolina Public School Personnel State Salary Schedule, and the LEA does not exceed the total dollar allotment.
3) No ABC transfers out of this category. ABC transfers are allowable into this category by submitting ABC Transfer Form.
4) Teacher assistants may serve students in grades K-12. However, they must be instructional TAs as defined by object code 142 in the Uniform Chart of Accounts.
5) By the end of the third payroll period, the average salary paid at the LEA must be at least 98% of the State-allotted amount for teacher assistants.
6) LEAs which are legislated under the "Turning TAs in to Teachers Pilot" shall select up to 5 teacher assistants to receive $4,600 for each assistant, representing annual tuition. These funds are restricted to TA tuition reimbursement and shall revert if not expended for the program. (SL 2018-5, Section 7.21)

TEXTBOOKS AND DIGITAL RESOURCES (PRC 130/131)

PROGRAM REPORT CODE:	130/131
UNIFORM CHART OF ACCOUNTS CODE:	N/A
STATUTORY REFERENCE:	GS 115C, Article 8, Part 3 SL 2015-241, Section 8.18

TYPE:	Dollars
TERM:	July 1 - June 30, plus limited carryover
PURPOSE:	Provides funding for state-adopted textbooks and digital resources. LEAs should use their state textbook funds to provide, from the state-adopted list, textbooks for handicapped students.
ELIGIBILITY:	Each LEA is entitled to funding.
FORMULA:	Funds for Textbooks are distributed based on allotted ADM in grades K-12.

SPECIAL PROVISION:

1) Requests for reimbursement of local fund expenditures for textbooks for handicapped students should be submitted to the Division of Financial Services, Textbooks Section.
2) Textbook Warehouse funds in PRC 130 are eligible to carry over, calculated as follows:
   a) Prior Year June 30 textbook balance
   b) Plus: Current year allotment
   c) Less: Current year textbook expenses
   d) Equals: Funds eligible to carry over
3) The textbook and digital resources allotment shall only be used for textbooks and digital resources. (SL 2017-57). Funds may be transferred into PRC 131 for purchases outside of the State Textbook Warehouse. Any unexpended transferred funds in PRC 131 as of June 30 are not eligible for carryover. In order for these funds to be eligible for carryover, the LEA must transfer the unexpended balance back to PRC 130 on or before the last ABC transfer date.
4) Textbooks are defined under GS 115C-85 as "systematically organized material comprehensive enough to cover the primary objectives outlined in the standard course of study for a grade or course." Formats for textbooks may be print or non-print, including hardbound books, softbound books, activity-oriented programs, classroom kits, and technology-based programs that require the use of electronic equipment in order to be used in the learning process.
5) Local boards of education may:

a) Select, procure, and use textbooks that have not been adopted by the State Board of Education for use throughout the local school administrative unit for selected grade levels and courses; in addition to
b) An approved school improvement plans developed under GS 115C-105.22 that include provisions for using textbooks that have not been adopted by the State Board of education for selected grade levels and courses.

6) "Local Education Agencies (LEAs) shall use their State textbook funds to provide to the same extent as is provided to non-disabled students, textbooks for students with disabilities. LEAs also shall, at a minimum, provide teachers of children with disabilities with the same teachers' editions provided to teachers of non-disabled students." (SL 2006-69, Section 7)
7) All textbook contracts made under this subsection shall include a clause granting to the local board of education the license to produce Braille, large print, and audiocassette tape copies of the textbooks for use in the local school administrative unit.

TRANSPORTATION OF PUPILS (PRC 056)

PROGRAM REPORT CODE:	056
UNIFORM CHART OF ACCOUNTS CODE:	6XXX-056-XXX
STATUTORY REFERENCE:	Related to COVID SL2020-80 Section 2.2

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides funding for all transportation related expenses for "yellow bus" use for eligible school age (K-12) students for travel to and from school and between schools. Examples of these expenses are contract transportation, transportation personnel (other than Director, Supervisor, and Coordinator), bus drivers' salaries, benefits, fuel, and other costs as defined in the Uniform Chart of Accounts. This includes expenses for contract transportation when a local school district finds it impracticable to furnish transportation by yellow bus for eligible school age (K-12) students for travel to and from school and between schools.
ELIGIBILITY:	Each LEA is entitled to funding.
FORMULA:	1) Allotted based on a "budget rating" funding formula using the following factors: pupils transported; total eligible operating expenditures (local and state funds); number of buses operated. 2) The initial allotment shall consist of a portion of the projected planning allotment. 3) The final allotment will be allotted within available funds by December 1. This adjustment is derived from establishing a final budget rating calculated annually from the three key factors outlined in #1 above plus any other legislative adjustments.

SPECIAL PROVISION:

1) Funds can be transferred into and out of this category by submitting an ABC Transfer Form. There is no change in carryover provisions as outlined in policy. Transfers will impact efficiency ratings.
2) These funds may not supplant other state, federal and local programs use of the "yellow bus" that serve the instructional purpose of the school, such as Pre-K, Smart Start, Head Start, Remediation Programs, Summer School, NC State Fair, Special Olympics, NC Symphony and other instructional field trips. When allotted state transportation funds are used to

provide transportation services for these programs, the responsible program must reimburse this fund.

3) Allotted funds cannot be used for the maintenance of local vehicles and machinery such as - activity buses, staff vehicles, school maintenance trucks and vehicles, etc. When state funds are used to pay for this kind of activity, those state funds must be reimbursed from local (or other non-state) sources.
4) State Board of Education Policy states, "In order to be eligible to receive any mid-year transportation allotment resulting from increased fuel prices, an LEA must have a reduced idling policy in place at the beginning of the school year. The local policy must, at a minimum, prohibit all unnecessary school bus idling on school grounds and prohibit the warming up of buses longer than 5 minutes. As always, any increase in allotments will be subject to the availability of funds."
5) The Excellent Public Schools Act provided additional funding for the addition of 5 days within the existing school calendar. [SL 2012-142, Section 7A.6(a)]
6) SL 2020-80 Section 2.2 authorizes DPI to withhold up to $12,000,000 from the transportation allotment and allocate the funds, as necessary, for transportation expenses related to emergency school nutrition services provided between July 1, 2020 and the 1st instructional day of the 2020-21 school year. Eligible PSUs include all units participating in the National School Lunch Program, School Breakfast Program or Summer Food Service Program.

   - These funds shall be allocated based on an application basis showing a documented need by the PSU.
   - In the event that the request for supplemental funds exceeds the maximum allowable per legislation, all requests shall be reduced on a pro rata basis

   Any funds provided are restricted to the use of transportation related to emergency meals and may not be used for any other purpose. Unused funds shall be used to support transportation of pupils.
7) For the 2020-21 and 2021-22 school years, due to incomplete data to support full, accurate, funding formula calculations, LEAs will be allotted funding formula transportation funds, before adjustments for ADM, benefits, fuel, and others as legislated, in an amount no lower than the 2019-20 funding formula allotment.
8) Notwithstanding the purpose and other special provisions of PRC 056, PRC 056 funds may also be used to support yellow school bus operations for purposes which support students' nutrition or instruction in any state of disaster or local state of emergency declared under 166A of the General Statutes.

WAIVERS FOR UNAVAILABLE CATEGORIES (PRC 006)

PROGRAM REPORT CODE:	006
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-006-XXX
STATUTORY REFERENCE:	

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides a separate account into which LEAs may transfer funds to cover the purchases of items (not personnel) not found in the Chart of Accounts for other categories.
ELIGIBILITY:	Each LEA is entitled to transfer funds to this account.
FORMULA:	N/A

SPECIAL PROVISION:

If included in a school's Improvement Plan, funds may be transferred to this category by submitting an ABC Transfer Form to the School Allotments Section.

"Z" SCHOOLS (PRC 038)

REGIONAL SCHOOLS

PROGRAM REPORT CODE:	038
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-038-XXX
STATUTORY REFERENCE:	GS 115C, Article 16, Part 10

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Local boards of education are authorized to jointly establish a regional school to serve enrolled students in two or more local school administrative units that will expand student opportunities for educational success through high quality instructional programming. Regional schools may include partnerships with other education partners, including institutions of higher education and private businesses or organizations, and shall foster, encourage, and promote the development of knowledge and skills in career clusters of critical importance to the region. Except as otherwise provided in this Part, a regional school is exempt from statutes and rules applicable to a local board of education or local school administrative unit.
ELIGIBILITY:	The eligibility for this allotment is as follows:

Any two or more local boards of education may create a regional school as provided in this Part. In order to create a regional school, each local board of education shall adopt a resolution stating its intent to create the regional school, which shall include the following:

1) Name of the regional school.
2) Names of all other local boards of education known to that local board of education adopting resolutions to create the regional school.
3) Identification of one of the named local school administrative units to serve as the finance agent for the regional school.
4) Identification of one of the named local school administrative units to provide, to the extent practicable, school food services to the regional school, if needed.

The local board of education shall develop a plan to provide transportation to the students domiciled in the district.

Recognition of Regional School – Each local board of education that adopts a resolution as provided in this section shall file a copy of the resolution with the State Board of Education. Upon receipt of resolutions from all local boards of education identified in each resolution for a

named regional school, the State Board of Education shall approve the creation of the regional school.

FORMULA: The formula for this allotment is as follows:

1) The State Board of Education shall allocate to a regional school:
   a) An amount equal to the average per pupil allocation for average daily membership from the participating unit allotments for each child attending the regional school, except for the allocation for children with disabilities and for the allocation for children with limited English proficiency.
   b) An additional amount for each child attending the regional school who is a child with disabilities. In the event a child with disabilities enrolls in the regional school during the first 60 school days in the school year, the State Board shall allocate to the regional school the pro rata amount of additional funds for children with disabilities. In the event a child with disabilities leaves the regional school and enrolls in a public school during the first 60 school days in the school year, the regional school shall return a pro rata amount of funds allocated for that child to the State Board, and the State Board shall reallocate those funds to the local school administrative unit in which the public school is located.
   c) An additional amount for children with limited English proficiency attending the regional school, based on a formula adopted by the State Board.
2) The State Board shall allow for annual adjustments to the amount allocated to the regional school based on its enrollment growth in school years subsequent to the initial year of operation.
3) For each child who enrolls in the regional school, the participating unit in which the child resides shall transfer to the regional school an amount equal to the per pupil amount of all money appropriated to the local current expense fund for the participating unit for the fiscal year. The amount transferred under this subsection that consists of revenue derived from supplemental taxes shall be transferred only if the child enrolled in the regional school resides in that tax district.

SPECIAL PROVISION:

1) The local school administrative unit identified as the finance agent by resolution pursuant to GS 115C-238.71 shall be the finance agent for the Board and shall have all the rights, duties, and obligations for receipt, accounting, and dispersing funds for the board of directors, including all the rights, duties, and obligations specified in Article 31 of this Chapter, which powers shall be exercised by the identified local school administrative unit for and on behalf of the board of directors. The board of directors shall provide reasonable compensation to the local school administrative unit for this service.
2) No later than 10 days after the money is appropriated to the local current expense fund, each local board of education of a participating unit shall transfer to the board of directors the amount required under GS 115C-238.71(b) for each child enrolled in the school who resides in that participating unit. Once it has received funds from the local board of education, the board of directors shall be under no obligation to return the funds.

3) Transportation. – Participating units shall develop a plan to provide transportation to the students domiciled in the district.
4) Food Service. – The local school administrative unit identified by resolution shall provide, to the extent practicable, school food services to the regional school. For purposes of federal funding through the National School Lunch Program or other federally supported food service programs, the local school administrative unit identified by resolution shall be permitted to include eligible students enrolled in the regional school. Other participating units shall not include students enrolled in the regional school for purposes of federally supported food service programs.
5) The State Board shall allocate to a regional school, 12 months of employment for a principal position, if the school has final total average daily membership of 100 or more students. [GS 115C-238.70(a)]

“Z” SCHOOLS

LABORATORY SCHOOLS (LAB SCHOOLS)

PROGRAM REPORT CODE:	038
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-038-XXX
STATUTORY REFERENCE:	GS 116, Article 29A

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	The Board of Governors’ Subcommittee on Laboratory Schools shall approve constituent institutions to establish lab schools to serve public school students The Board of Governors shall select constituent institutions with quality high-quality educator preparation programs as demonstrated by the annual performance measures reported by the constituent institutions in accordance with GS 115C-269.35. The mission of a lab school shall be to improve student performance in LEAs with low-performing schools by providing an enhanced education program for students residing in those units and to provide exposure and training for teachers and principals to successfully address challenges existing in high-needs school settings. A lab school shall provide an opportunity for research, demonstration, student support, and expansion of the teaching experience and evaluation regarding management, teaching, and learning.
ELIGIBILITY:	Eligibility for this allotment is as follows:

Schools as approved by the Board of Governors’ Subcommittee on Lab Schools.

Recognition of a Lab School. – The Subcommittee shall file a copy of each resolution to approve a lab school with the Department of Public Instruction. Upon receipt of a resolution from the Subcommittee and upon the recommendation of the Superintendent of Public Instruction, the State Board of Education shall approve the creation of the lab school

The Board of Governors and the Department of Public Instruction shall be notified in the event of the dissolution or assumption of a lab school, including the identity of the entity assuming operation of the school.

The chancellor shall be the administrative head of a lab school approved by the Subcommittee.

Reporting – The chancellor shall comply with the reporting requirements established by the State Board of Education in the Uniform Education Reporting System.

Any child is eligible to attend the lab school who:

1) is residing in a local school administrative unit in which a lab school is located, and either:
   a) is enrolled in a low-performing school, as defined by GS 115C-105.37 at the time of the student's application, or
   b) did not meet expected growth in the prior school year based on specific criteria.
   c) is the sibling of a child who is eligible under (a) or (b)
   d) is the child of a lab school employee

If the maximum enrollment is not met, the lab school may enroll children who do not meet one of the criteria above, not to exceed 20% of total capacity.

FORMULA: The State Board of Education shall allocate to a lab school the following:

(1) An amount equal to the average per pupil allocation for average daily membership from the LEA allotments in which the school is located for each child attending the lab school, except for the allocation for children with disabilities, and for the allocation for children with limited English proficiency.
(2) An additional amount for each child attending the lab school who is a child with disabilities. In the event a child with disabilities enrolls in the lab school during the first 60 school days in the school year, the State Board shall allocate to the lab school the pro rata amount of additional funds for children with disabilities. In the event a child with disabilities leaves the lab school and enrolls in a public school during the first 60 school days in the school year, the lab school shall return a pro rata amount of funds allocated for that child to the State Board, and the State Board shall reallocate those funds to the local school administrative unit in which the public school is located.
(3) An additional amount for children with limited English proficiency attending the lab school, based on a formula adopted by the State Board.

SPECIAL PROVISION:

1) The State Board shall allow for annual adjustments to the amount allocated to the lab school based on its enrollment growth in school years subsequent to the initial year of operation.
2) If a student attends a lab school, the LEA in which the child resides shall transfer to the lab school an amount equal to the per pupil share of the local current expense fund of the LEA for the fiscal year. The per pupil share of the local current expense fund shall be transferred to the lab school within 30 days of the receipt of monies into the local current expense fund.

A Lab School shall be allotted 34% of its Initial Allotment available to "draw down" after the General Assembly adjourns, based on the projected ADM provided by the school. Lab schools with a two year history of accurate projections shall be funded at the higher of

1. 2020-21 actual month 1 ADM and

2. 2021 Phase II final ADM projection as reported in the CSADM system by the Lab school, not to exceed the allotted 2020-21 ADM

All other Lab schools shall be funded at the higher of

1. the actual month 1 ADM and
2. the prior year funded month 1 ADM

A Lab school is deemed to have a history of accurate projections if the projection provided by the charter school in the CSADM system Phase II final Projection was within 2% or 20 ADM of funded ADM in either 2019 or 2020, and the difference between the projection and funded ADM in both 2019 and 2020 did not exceed 10% of the projection.

For schools that were not open in 2019, the 2020 year will be the only year used for this assessment

3) 100% of the allotment shall be made available to the school.
4) A lab school can request additional funding after 1st month ADM adjustments if it has significant growth (20% or more) in months two through four. Requested ADM must be verified. The state contingency reserve will be used to fund the prorated increases within funds available.
5) In addition to the state funding based on dollars per ADM, a lab school may receive Children with Disabilities and Limited English Proficiency funding if they qualify. These special funds plus the dollars per ADM funding are distributed under PRC 038.
6) Upon request, the LEA in which the lab school is located shall provide student support services, food services through the National School Lunch Program, transportation services, In addition, upon request the LEA shall lease adequate facilities and maintenance, including use of or access to any existing buildings, parking areas, playgrounds, driveways required for ingress and egress, furniture, classroom space, a cafeteria or multipurpose room, moveable equipment, appliances, playground materials, including a library collection, instructional materials, and classroom and other technology equipment.

   This requirement shall not apply to or alter existing leases, contracts, or agreements in effect on July 1, 2020 without the consent of all parties to the lease, contract, or agreement.

   If a lease, contract, or agreement in effect on July 1, 2020 includes transportation services, the LEA providing those services shall provide a copy of the lease, contract, or agreement to DPI' Division of School Business by August 31st, and the per pupil share of the transportation allotment shall not be included in the base allocations for Lab school until the existing lease, contract, or agreement expires or is mutually modified by all parties.

2020-2021

ALLOTMENT POLICY MANUAL

CTE Formulas



PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS

www.dpi.nc.gov/districts-schools/district-operations/financial-and-business-services/allotments--funding-public-school-units

ADDRESS QUESTIONS RELATED TO STATE POLICIES TO THE FOLLOWING:

Allotments:	Division of School Business, School Allotments Section
Expenditures/ Salary:	Division of School Business School Reporting Section Expenditures: Salary:
Program/Budgeting:	Division of Career and Technical Education

The complete State agency directory can be found at www.dpi.nc.gov/about-dpi/education-directory.

CTE Formulas

CAREER TECHNICAL EDUCATION – STATE: MONTHS OF EMPLOYMENT (PRC 013)..... 114
CAREER TECHNICAL EDUCATION - STATE: PROGRAM SUPPORT FUNDS (PRC 014)..... 115
CTE GRADE EXPANSION PROGRAM (PRC 064)..... 116
CAREER TECHNICAL EDUCATION - STATE: EDUCATION AND WORKFORCE INNOVATION PROGRAM (PRC 079)..... 117
CAREER TECHNICAL EDUCATION - FEDERAL: PROGRAM IMPROVEMENT (PRC 017) 118
CAREER TECHNICAL EDUCATION - FEDERAL: CAPACITY BUILDING GRANTS (PRC 058) 120

CAREER TECHNICAL EDUCATION – STATE: MONTHS OF EMPLOYMENT (PRC 013)

PROGRAM REPORT CODE:	013
UNIFORM CHART OF ACCOUNTS CODE:	1-XXX-013-XXX
STATUTORY REFERENCE:	GS 115C, Article 10 SL 1993-769, Section 19.24

TYPE:	Months of Employment (MOEs)
TERM:	10, 11, or 12 months, July 1 - June 30
PURPOSE:	To develop more fully the academic, career and technical skills of secondary students who elect to enroll in Career Technical Education programs.
ELIGIBILITY:	Each LEA is entitled to Months of Employment.
FORMULA:	Each LEA will receive a base of 50 months. Remaining months will be allotted based on allotted ADM in grades 8-12. Months of employment are rounded to the nearest whole month. For city LEAs with less than 3,000 ADM, all fractions of a month are rounded up to the nearest whole month.

SPECIAL PROVISION:

1) Refer to Merged LEAs Section 01, Subsection E.
2) Months can be transferred to Career Technical Education Program Support (PRC 014). Submit an ABC Transfer Form to transfer funds. The dollar amount transferred will equal the average salary including benefits for Career Technical Education MOEs. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
3) Transfers out of Career and Technical Education (MOEs) to other PRCs are capped at the amount of MOE increase in the State Initial Allotment from the prior fiscal year. All transfers from PRC 013 to non-CTE areas (budgets other than PRC 014) shall be based on the first step of the “A” salary schedule.

CAREER TECHNICAL EDUCATION - STATE: PROGRAM SUPPORT FUNDS (PRC 014)

PROGRAM REPORT CODE:	014
UNIFORM CHART OF ACCOUNTS CODE:	1-XXX0-014-XXX
STATUTORY REFERENCE:	GS 115C, Article 10

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides funding to assist LEAs in expanding, improving, modernizing, and developing quality Career Technical education programs.
ELIGIBILITY:	Each LEA is entitled to funding based on ADM in grades 8-12
FORMULA:	Each LEA is eligible for a base amount of $10,000. Remaining funds are allotted based on allotted ADM in grades 8-12.

SPECIAL PROVISION:

1) Funds may be transferred out of Career and Technical Education (PRC 013) Months of Employment (MOEs) into (PRC 014) Program Support without limitation. Transfers out of Career Technical Education PRC 014 Program Support for other categories are allowable up to the increase from FY 17-18 Initial Allotment to FY 18-19 Initial Allotment.
2) Submit an ABC Transfer Form to transfer funds.
3) Of the funds provided to the Department of Public Instruction, the sum of ninety thousand, five hundred dollars ($90,500) shall be transferred to the Agricultural Education and FFA Program housed in the Department of Agricultural and Extension Education at North Carolina State University.
4) SL 2013-360, Section 8.28 establishes funds for CTE credentials. A portion of the funds are used to pay for statewide Certiport licenses, and the funds will be distributed to LEAs based on their FY 2017-2018 enrollment in courses that lead to a credential and the cost of each credential pro-rated to remain within budget.

CTE GRADE EXPANSION PROGRAM (PRC 064)

PROGRAM REPORT CODE:	064
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-064-XXX
STATUTORY REFERENCE:	GS 115C, Article 6C GS 115C, Article 10

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	A program which provides funding to encourage student learning and improvement. to expand career and technical education (CTE) programs by prioritizing the inclusion of students in sixth and seventh grade through grant awards provided to selected local school administrative units for up to seven years and consistent with GS 115C-64.17 Grant funds shall be used only for employing additional licensed personnel in career and technical education areas, career development coordination areas, and support service areas necessary for expanding the CTE program to sixth and seventh grade students.
ELIGIBILITY:	Each LEA is entitled to funding based on applications approved by the Education and Workforce Innovation Commission, DPI, and State Board of Education. Division of Career and Technical Education. Application must be approved prior to the LEA receiving the allotment.
FORMULA:	Of the total funds available for the program, allocation to LEAs will be based an established grant amount for each initiative that will be determined by EWIC.

SPECIAL PROVISION:

Of the funds available for the Program in each fiscal year, the Commission shall first allocate funds to applicants who received grant funds for the prior fiscal year for up to seven years. After funds are allocated to prior fiscal year grant recipients, any remaining funds may be used by the Commission to select new grant recipients.

CAREER TECHNICAL EDUCATION - STATE: EDUCATION AND WORKFORCE INNOVATION PROGRAM (PRC 079)

PROGRAM REPORT CODE: 079

UNIFORM CHART OF ACCOUNTS CODE: 1-XXX0-079-XXX

TYPE:	Dollars
TERM:	Up to 60 months
PURPOSE:	To foster innovation in education that will lead to more students graduating career and college ready.
ELIGIBILITY:	Each LEA, individual school, or regional partnership of two or more local school administrative units is entitled to funding based on applications approved by the Education and Workforce Innovation Commission. Application must be approved prior to the LEA/individual school/regional partnership of two or more local school administrative unit receiving the allotment.
FORMULA:	Of the total funds available for the Education and Workforce Innovation Program, al` grant amount for each initiative approved by the Education and Workforce Innovation Commission.

SPECIAL PROVISION:

1) Grant funds will be available for up to 60 months. All projects must end June 30.
2) Additional special provisions will be provided to LEA based on each initiative.

CAREER TECHNICAL EDUCATION - FEDERAL: PROGRAM IMPROVEMENT (PRC 017)

PROGRAM REPORT CODE:	017
UNIFORM CHART OF ACCOUNTS CODE:	3-XXX0-017-XXX
CFDA #:	84.048A

TYPE:	Dollars
TERM:	Up to 12 months
PURPOSE:	Provides funding to assist in developing the academic, career and technical skills of secondary and postsecondary students who elect to enroll in career and technical education programs.
ELIGIBILITY:	Each LEA/charter school is entitled to funding based on applications approved by the Division of Career and Technical Education. **Application must be approved prior to the LEA/charter school receiving the allotment.**
FORMULA:	Of the total funds available for State Aid, allocation to LEAs/charter schools will be based on the following: 1) Thirty percent (30%) will be allotted based on individuals ages 5-17 who resided in the school district served by the LEA. 2) Seventy percent (70%) will be allotted based on individuals ages 5-17 who reside in the school district served by the LEA from families with incomes below the poverty line.

SPECIAL PROVISION:

1) Any LEA or charter school earning less than $15,000 based on the formula must enter a consortium with other LEAs or be granted a waiver by the State Board of Education from the minimum grant requirement to be eligible for a grant. Once a waiver has been approved, it will remain in effect throughout the duration covered by the state plan.
2) The allocation for LEAs and charter schools will be reduced according to the formula listed above for new charter schools. A charter school must submit an application by the application deadline date and serve grades 7-12 in order to receive funding.
3) Grant funds will be available for up to 12 months. All projects must end June 30, with no liquidation period allowed beyond that date.
4) Each eligible LEA/charter school receiving funds must limit administrative cost to five percent (5%).
5) Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula by February.

6) Reallocation of reverted funds: Funding reverted will be redistributed to eligible LEAs/charter schools in the following fiscal year in combination with that fiscal year's initial allotment based on the formula or program needs.

CAREER TECHNICAL EDUCATION - FEDERAL: CAPACITY BUILDING GRANTS (PRC 058)

PROGRAM REPORT CODE:	058
UNIFORM CHART OF ACCOUNTS CODE:	XXX0-058-XXX
CFDA #:	84.048A

TYPE:	Dollars
TERM:	Up to 15 months
PURPOSE:	To facilitate the expansion and implementation of specific CTE initiatives in the local education agencies.
ELIGIBILITY:	Each LEA/charter school is entitled to funding based on applications approved by the Division of Career and Technical Education. Application must be approved prior to the LEA/charter school receiving the allotment.
FORMULA:	Of the total funds available for State Aid, allocation to LEAs/charter schools will be based an established grant amount for each initiative that will be determined by CTE Division.

SPECIAL PROVISION:

1) Grant funds will be available for up to 15 months. All projects must end September 30, with a liquidation period allowed beyond that date.
2) Additional special provisions will be provided to LEA based on each initiative.

2019-2022

ALLOTMENT POLICY MANUAL

Funds Related to Coronavirus/COVID-19



PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS

Allotments — Funding Public School Units | NC DPI

ADDRESS QUESTIONS RELATED TO POLICIES TO THE FOLLOWING:

Program/Budgeting

If you have questions concerning the material, contact the School Allotments Section at 984-236-2450.

Contents

CARES Act – K-12 Emergency Relief Fund – ESSER I (PRC 163) 4
CARES Act – ESSER I – Public School Unit Supplemental Funding (PRC 164) 6
CARES Act – ESSER I — Digital Curricula (PRC 165) 8
CARES Act – ESSER I – Learning Management System (PRC 166) 9
CARES Act – ESSER I — Exceptional Children Grants (PRC 167) 10
CARES Act – ESSER I – ICARES (PRC 168) 11
CARES Act -- GEER I – Specialized Instructional Support Personnel for COVID-19 Response (PRC 169) 13
CARES Act – GEER I – Supplemental Instructional Services (PRC 170) 15
CORONAVIRUS RELIEF FUND – Summer Learning (PRC121) 16
CORONAVIRUS RELIEF FUND – Instructional Support (PRC 122) 19
CORONAVIRUS RELIEF FUND – Nondigital Resources (PRC 123) 21
CORONAVIRUS RELIEF FUND – Student Computers and Devices (PRC 124) 23
CORONAVIRUS RELIEF FUND – School Nutrition (PRC 125) 25
CORONAVIRUS RELIEF FUND – Personnel Computers and Devices (PRC 126) 27
CORONAVIRUS RELIEF FUND – Mobile Wi-FI for School Buses (PRC 127) 29
CORONAVIRUS RELIEF FUND – Mobile Wi-FI for Homes & Communities (PRC 128) 31
CORONAVIRUS RELIEF FUND – Learning Management Platform (PRC 129) 33
CORONAVIRUS RELIEF FUND – Services for Exceptional Children (PRC 132) 35
CORONAVIRUS RELIEF FUND – Extended Learning and Integrated Student Support Grant (ELISS) (PRC133) 37
CORONAVIRUS RELIEF FUND – Low Wealth Supplemental Funds (PRC134) 40
CORONAVIRUS RELIEF FUND – Cybersecurity (PRC135) 42
CORONAVIRUS RELIEF FUND – COVID Direct Appropriations (PRC136) 45
CORONAVIRUS RELIEF FUND –Personal Protective Equipment (PPE) (PRC137) 48
CORONAVIRUS RELIEF FUND –Gaggle Safety Management (PRC138) 50
State COVID-19 Supplemental Funds (PRC154) 52
CRRSA – K-12 Emergency Relief Fund – ESSER II (PRC 171) 53
CRRSA – ESSER II – Public School Unit Supplemental Funding (PRC 172) 56
CRRSA – ESSER II – Supplemental Contracted Instructional Support Funding (PRC 173) 59
CRRSA – ESSER II - SCHOOL NUTRITION COVID Support (PRC 174) 60
CRRSA – ESSER II – Extended Learning and Integrated Student Support Grant (ELISS) (PRC 175) 61
CRRSA – ESSER II –Learning Loss Funding (PRC 176) 63
CRRSA – ESSER II – Summer Career Accelerator Program (PRC 177) 64
CRRSA -ESSER II— Competency-Based Assessment (PRC178) 65
ARP – ESSER III – K-12 Emergency Relief Fund (PRC 181) 66
ARP – ESSER III – Public School Unit Supplemental Funding (PRC 182) 70
ARP – ESSER III – Homeless I (PRC 183) 73
ARP – ESSER III – Homeless II (PRC 184) 74
ESSER III – ARP IDEA 611 Grants to States (PRC 185) 75
ESSER III – ARP IDEA Preschool Grants (PRC 186) 77
ESSER III – ARP IDEA Coordinated Early Intervening Services (CEIS) (PRC 187) 78

CARES ACT – K-12 EMERGENCY RELIEF FUND – ESSER I (PRC 163)

PROGRAM REPORT CODE:	163
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-163-XXX
CFDA #:	84.425D

TYPE:	Dollars
TERM:	Funds are available through September 30, 2022
PURPOSE:	The Elementary and Secondary School (K-12) Emergency Relief Fund, authorized by the Coronavirus Aid, Relief and Economic Security Act of 2020 (CARES Act), is intended to assist eligible public school units during the novel coronavirus pandemic.
ELIGIBILITY:	All LEAs, charter. lab, regional and innovative schools are eligible to receive funds under the Elementary and Secondary School Emergency Relief Fund. Units that seek funds must complete and submit to NCDPI a brief application form provided by NCDPI and the State Board of Education.
FORMULA:	Allocations to eligible units are made in proportion to the amount of funds such units received under Title I, Part A in the most recent fiscal year.

SPECIAL PROVISION:

1) No less than 90% of the total ESSER I funds provided to North Carolina shall be allocated as award as subawards to eligible units.
2) The State Board of Education may reserve up to 10% of the total ESSER I Fund.
   a) The State Board of Education may not reserve more than 1/2 of 1 percent of the K-12 Emergency Relief Fund for administrative costs undertaken by the State Board of Education and the Department of Public Instruction.
   b) Any other sums from the K-12 Emergency Relief Fund reserved by the State Board of Education shall be used to address emergency needs as determined by the State Board of Education to address issues responding to coronavirus, either through the use of additional grants or through contracts.
3) Units may use program funds in accordance with federal law for:
   a) Any activity authorized by the Elementary and Secondary Act of 1965 (ESEA), the Individuals with Disabilities Education Act (IDEA), the Adult Education and Family Literacy Act, the Carl D. Perkins Career and Technical Education Act of 2006, or Title VII, Subtitle B of the McKinney-Vento Homeless Assistance Act.

b) Coordination of preparedness and response efforts of LEAs or charter schools with State or local public health departments and other relevant agencies to improve coordinated responses in preventing, preparing for, and responding to coronavirus.
c) Providing principals and other school leaders with resources to address the needs of their individual schools.
d) Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of those students.
e) Develop and implementing procedures and systems to improve preparedness and response efforts.
f) Training and professional development for staff pertaining to sanitation and minimizing the spread of infectious diseases.
g) Purchasing sanitation and cleaning supplies for LEA or charter school facilities.
h) Planning for and coordinating long term closures, including for how to provide meals to eligible students, provide technology for online learning to all students, provide guidance for carrying requirements under IDEA, and ensuring that other services can continue consistent with applicable Federal, State, and local requirements.
i) Purchasing educational technology (including hardware, software, and connectivity) for students to aid in regular and substantive interaction between students and their classroom instructors (including low-income and disabled students), which may include assistive technology or adaptive equipment.
j) Providing mental health services and supports.
k) Planning and implementing summer learning and supplemental afterschool program activities, including providing classroom instruction or online learning during the summer months.
l) Other activities deemed necessary to maintain the operation and of and continuity of services in LEAs and charter schools, and continuing to employ existing staff of the LEA or charter school.

4) Any funds that have not been approved to be allotted within 1 year of receiving the funds from the Federal government, must be returned to the Federal government for reallocation to other States.
5) An LEA receiving K-12 Emergency Relief Funds must provide equitable services to students and teachers in non-public schools in the same manner as provided under Title I, Part A, as determined in consultation with representatives of non-public schools.
6) Units that receive funds are required to continue to pay their employees and contractors to the greatest extent practicable during the period of disruptions or closures related to coronavirus.
7) When developing budgets related to awards, units that receive funds are encouraged to consider future expenses they are likely to incur to address to respond to coronavirus, including future “re-entry” requirements when school facilities re-open as instructional settings, student mental health, sanitation, and supplemental educational services to students.
8) LEAs that receive funds are encouraged to utilize, when feasible and cost-effective, state-level convenience contracts to purchase commodities, such as electronic devices.

CARES ACT – ESSER I – PUBLIC SCHOOL UNIT SUPPLEMENTAL FUNDING (PRC 164)

PROGRAM REPORT CODE:	164
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-164-XXX
CFDA #:	84.425D

TYPE:	Dollars
TERM:	Funds are available through September 30, 2022
PURPOSE:	To provide supplemental funds to public school units receiving Elementary and Secondary School (K-12) Emergency Relief Fund (PRC163) funds. These funds are intended to assist eligible public school units during the novel coronavirus pandemic.
ELIGIBILITY:	All LEAs, charter, lab, regional and innovative schools.
FORMULA:	For PSUs that did not receive Title I, Part A funds in 2019-20, an allocation of $45 per 2019-20 funded ADM. For PSUs that received Title I, Part A in 2019-20, an allocation of $45 per 2019-20 funded ADM, less the 2020 planning allotment in PRC163. Any PSUs with a PRC 163 planning allotment greater than $45 per 2019-20 funded ADM shall not receive additional funding nor a reduction.

SPECIAL PROVISION:

1) Funds shall not be transferred in to or out of this allotment category.
2) The funding source for the allotment is the State Board of Education reserve from the CARES Act Education Stabilization Fund - K-12 Emergency Relief Fund.
3) Units may use program funds for:
    a. Any activity authorized by the Elementary and Secondary Act of 1965 (ESEA), the Individuals with Disabilities Education Act (IDEA), the Adult Education and Family Literacy Act, the Carl D. Perkins Career and Technical Education Act of 2006, or Title_VII, Subtitle B of the McKinney-Vento Homeless Assistance Act.
    b. Coordination of preparedness and response efforts of LEAs or charter schools with State or local public health departments and other relevant agencies to improve coordinated responses in preventing, preparing for, and responding to coronavirus.

c. Providing principals and other school leaders with resources to address the needs of their individual schools.
d. Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of those students.
e. Develop and implementing procedures and systems to improve preparedness and response efforts.
f. Training and professional development for staff pertaining to sanitation and minimizing the spread of infectious diseases.
g. Purchasing sanitation and cleaning supplies for LEA or charter school facilities.
h. Planning for and coordinating long term closures, including for how to provide meals to eligible students, provide technology for online learning to all students, provide guidance for carrying requirements under IDEA, and ensuring that other services can continue consistent with applicable Federal, State, and local requirements.
i. Purchasing educational technology (including hardware, software and connectivity) for students to aid in regular and substantive interaction between students and their classroom instructors (including low-income and disabled students), which may include assistive technology or adaptive equipment.
j. Providing mental health services and supports.
k. Planning and implementing summer learning and supplemental afterschool program activities, including providing classroom instruction or online learning during the summer months.
l. Other activities deemed necessary to maintain the operation and of and continuity of services in LEAs and charter schools, and continuing to employ existing staff of the LEA or charter school.

4) Units that receive funds are required to continue to pay their employees and contractors to the greatest extent practicable during any period of disruption or closure related to coronavirus.
5) When developing budgets related to awards, units that receive funds are encouraged to consider future expenses they are likely to incur to respond to the coronavirus pandemic, including future "re-entry" requirements when school facilities re-open as instructional settings, student mental health, sanitation, and supplemental educational services to students.
6) No adjustments shall be made for average daily membership in 2020-21.
7) Any public school unit without an approved application by April 9, 2021 will forfeit its allotment under this PRC. Any unallotted funds as of April 15, 2021 will be reallotted through ESSER I (PRC 163) to public school units with approved applications.

Updated April 8, 2021.

CARES ACT – ESSER I — DIGITAL CURRICULA (PRC 165)

PROGRAM REPORT CODE:	165
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-165-XXX
CFDA #:	84.425D

TYPE:	Dollars
TERM:	Funds are available through September 30, 2022
PURPOSE:	To provide funding for subscriptions to high quality, NC standards aligned digital curriculum packages.
ELIGIBILITY:	Local education agencies, charter schools, regional schools, lab schools & ISD
FORMULA:	Funds shall be allotted based on 2019-20 allotted ADM for LEAs and funded ADM for other eligible public school units.

SPECIAL PROVISIONS:

1) PSU's are recommended to reference pricing collected by the Friday Institute and to employ multi-PSU purchases. PSUs are encouraged to employ a strategy for ensuring high-quality, high-yield digital content purchases, including research, reference checks, demonstrations and/or an internal evaluation process.
2) Funds shall not be transferred into or out of this allotment category.
3) Funding source from the State Board of Education reserve of the CARES Act Education Stabilization Fund – K-12 Emergency Relief Fund.
4) Any funding not allotted as of April 15, 2021 will be allotted through ESSER I (PRC 163) to public school units with approved applications.

Updated April 8, 2021.

CARES ACT – ESSER I – LEARNING MANAGEMENT SYSTEM (PRC 166)

PROGRAM REPORT CODE:	166
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-166-XXX
CFDA #:	84.425D

TYPE:	Dollars
TERM:	Funds are available through September 30, 2022
PURPOSE:	To provide funding to public school units for learning management system licenses.
ELIGIBILITY:	Local education agencies, charter schools, regional schools, lab schools & ISD.
FORMULA:	Funds shall be allotted based on the public school unit's number of licenses at the current existing contract price.

SPECIAL PROVISIONS:

1) Funds shall only be used for the purchase of Canvas software licenses, and shall not be used for licenses that were funded under CRF PRC129.
2) Funds shall not be transferred in to or out of this allotment category.
3) Funding source from the State Board of Education reserve of the CARES Act Education Stabilization Fund - K-12 Emergency Relief Fund.
4) Unallotted funds shall be provided to NCDPI for professional development and NC Virtual Public School.
5) Eligible public school units may be funded for expansion licenses on request, within funds available.
6) Any funding not allotted as of April 15, 2021 will be allotted through ESSER I (PRC 163) to public school units with approved applications.

Updated April 8, 2021.

CARES ACT – ESSER I — EXCEPTIONAL CHILDREN GRANTS (PRC 167)

PROGRAM REPORT CODE:	167
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-167-XXX
CFDA #:	84.425D

TYPE:	Grant Dollars
TERM:	Funds are available through September 30, 2022
PURPOSE:	Grant to support extraordinary costs associated with providing future services and instructional support due to the impacts of COVID-19. for exceptional children who qualify for these services.
ELIGIBILITY:	Local education agencies, charter schools, regional schools, ISD
FORMULA:	Eligible public school units may apply for funds through the NC DPI Division of Exceptional Children. If the total approved grants exceed the funds appropriated, the following shall apply: a) Each public school unit shall be capped at a maximum award based on the 20 % of students identified with significant educational needs through the continuum of service delivery and adjusting for low wealth. b) All public school units shall be prorated equally based on the December 2020 Child count.

SPECIAL PROVISIONS:

5) Funds shall be used only for the implementation of the grant, as stated in the approved application.
6) Funds shall not be transferred in to or out of this allotment category.
7) The funding source for the allotment is the State Board of Education reserve from the CARES Act Education Stabilization Fund - K-12 Emergency Relief Fund.
8) Any funding not allotted by April 15, 2021 will be reallotted through ESSER I (PRC 163) to public school units with approved applications.

Updated April 8, 2021.

CARES ACT – ESSER I – ICARES (PRC 168)

PROGRAM REPORT CODE:	168
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-168-XXX
CFDA #:	84.425D

TYPE:	Grant Dollars
TERM:	Funds are available through September 30, 2022
PURPOSE:	To provide funding to districts, charters and labs to allow possible partnerships with community organizations to provide supervised care for Pre-K-8 students without at-home supervision available on remote learning days based on a developed process in response to COVID-19 impacts
ELIGIBILITY:	Local education agencies, Charters and Labs are eligible to apply to work in collaboration with nonprofit corporations
FORMULA:	Districts, Charters and Labs may apply for funds through the Federal Programs Division. Grant award amounts will range from $200,000 to $500,000 according to number of students identified to be served.

SPECIAL PROVISIONS:

1) Funds shall be used only for the implementation of the grant, as stated in the application. The Program is to fund high-quality, support service programs for at-risk students that will raise standards for student academic outcomes by focusing on the following:

   a) Use of an evidence-based model for academic support.

   b) Inclusion of rigorous, quantitative performance measures to confirm effectiveness of the program.

   c) Deployment of multiple tiered supports to address student barriers to achievement.

   d) Alignment with State performance measures, student academic goals, and the North Carolina Standard Course of Study.

   g) Expansion of student access to high-quality learning activities and academic support on remote learning days that strengthen student engagement and leverage community-based resources, which may include organizations that provide mentoring services, tutoring and child-care services.

2) Funds shall not be transferred in to or out of this allotment category. Eligible expenditures are those incurred through September 30, 2022.
3) Funding source from the State Board of Education reserve of the CARES Act Education Stabilization Fund - K-12 Emergency Relief Fund.
4) Funds may not be used for expenses that have been or will be reimbursed under any federal program.
5) Any funds not allotted as of April 15, 2021 will be reallotted through ESSER I (PRC 163) to public school units with approved applications.

Updated April 8, 2021.

CARES ACT -- GEER I – SPECIALIZED INSTRUCTIONAL SUPPORT PERSONNEL FOR COVID-19 RESPONSE (PRC 169)

PROGRAM REPORT CODE:	169
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-169-XXX
CFDA:	84.425C

TYPE:	Dollars
TERM:	Funds are available through September 30, 2022
PURPOSE:	To provide funding for employing or contracting with specialized instructional support personnel to provide physical and mental health support services for students in response to COVID-19, including remote and in-person services.
ELIGIBILITY:	LEAs, charter schools, lab schools, regional schools, ISD
FORMULA:	LEAs shall receive a base amount of funding equal to 50% of the average salary and benefits of a state funded instructional support position ($38,664) plus a dollar per ADM. Charter schools, lab schools, regional schools, and the ISD shall receive the higher of 12.5% of the average salary and benefits of a state funded instructional support position ($9,666) or a dollar per ADM.

SPECIAL PROVISIONS:

1) For this allotment, the term "specialized instructional support personnel" shall refer to school counselors, school nurses, school psychologists, school social workers, and "school nurse extenders," such as a licensed practical nurse (LPN) or certified nurse assistant (CNA) working under the direction of a registered nurse (RN), as defined by the NC Department of Health and Human Services.
2) Funds shall not be transferred into or out of this allotment category.
3) The 2019-20 allotted ADM shall be used for LEAs, the 2019-20 funded ADM shall be used for other eligible public school units. For PSUs without a 2019-20 funded ADM, the 2020-21 projected ADM shall be used.
4) Funds shall be used to employ or contract with fully and/or provisionally licensed specialized instructional support personnel to provide physical and mental health supports to students. Funds may be used to cover costs associated with delivering wellness programming and mental health training in accordance with the public school unit's

mental health plan to school level staff and personnel with direct contact with students and families.

5) Funds shall not supplant existing federal and state funds.
6) An LEA receiving funding from the Governor's Emergency Education Relief Fund must provide equitable services to students and teachers in non-public schools in the same manner as provided under Title I, Part A, as determined in consultation with representatives of non-public schools.

Revised: August 5th, 2021

CARES ACT – GEER I – SUPPLEMENTAL INSTRUCTIONAL SERVICES (PRC 170)

PROGRAM REPORT CODE:	170
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-170-XXX
CFDA:	84.425C

TYPE:	Dollars
TERM:	Funds are available through September 30, 2022
PURPOSE:	To provide funding for supplemental instructional services for support the academic needs of at-risk students, students in poverty and students with disabilities through additional in-school instructional support.
ELIGIBILITY:	LEAs, charter schools, lab schools, regional schools, ISD
FORMULA:	50% of the funds appropriated shall be allotted based on 2019-20 allotted ADM for LEAs and funded ADM for other public school units. 50% of the funds appropriated shall be allotted based on the students in poverty per the 2017 census for LEAs. Other public school units shall receive an average dollars per student in poverty.

SPECIAL PROVISIONS:

1) Funds shall be used for providing supplemental instructional services to at-risk students, students in poverty, and students with disabilities. Services may include employing or contracting with instructional personnel, such as certified teachers or teacher assistants; paying stipends for NC Education Corps members; providing tutoring services or after school programming; or purchasing instructional resources, curriculum materials, or devices. No more than 10 percent of allotted funds may be used for purchasing instructional resources, curriculum materials, or devices.
2) Funds shall not be transferred into or out of this allotment category.
3) Funds shall not supplant existing federal and state funds.
4) An LEA receiving funding from the Governor's Emergency Education Relief Fund must provide equitable services to students and teachers in non-public schools in the same manner as provided under Title I, Part A, as determined in consultation with representatives of non-public schools.

CORONAVIRUS RELIEF FUND – SUMMER LEARNING (PRC121)

PROGRAM REPORT CODE:	121
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-121-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(13)

TYPE:	Dollars
TERM:	Expires December 31, 2021
PURPOSE:	To provide a supplemental summer learning program, prior to August 17, 2020, for students whose learning has been negatively affected by the impacts of COVID-19
ELIGIBILITY:	Local education agencies, charter schools and innovative school district serving students in Kindergarten through 4th grade in the 2019-20 school year.
FORMULA:	Funds shall be allocated as follows:

$35,000,000 shall be allotted based on the projected number of students, who were in 2nd or 3rd grade during the 2019-20 school year, and not on track to meet year end expectations in reading.

$17,500,000 shall be allotted based on the projected number of students who were in Kindergarten, 1st grade or 4th grade during the 2019-20 school year, and not on track to meet year end expectations in reading.

$17,500,000 shall be allotted based on the projected number of students who were in Kindergarten, through 4th grade during the 2019-20 school year, and not on track to meet year end expectations in math.

Eligible public school units shall receive a minimum of $16,500 total allocation.

The funds shall be provided in one allocation and the eligible public school unit shall provide a summer learning program plan (Plan) to DPI on the use of the funds.

SPECIAL PROVISIONS:

1) All units receiving funding shall consult with 2019-2020 school year teachers of kindergarten through fourth grade students to develop a Plan that delivers targeted instruction to students participating in the summer learning program.

   Eligible public school units shall submit their Plan to DPI. The Plan shall include a budget providing the use of funds, broken down by
   a. 2nd & 3rd grade reading summer interventions, (a minimum of 50% of the allotment)
   b. Remediation during the school year (restricted to a maximum of 25% of (a) and
   c. Kindergarten, grade 1 and 4 reading and Kindergarten through 4th grade math.
   d. Certified personnel professional development in State approved literacy programs

   No funds shall be allotted to any eligible public school unit before receipt and acceptance of the Plan. Charter school's Plan shall be approved by the school's governing board of directors prior to submission to DPI.

2) Eligible expenditures for LEAs and ISD are those incurred from the date of submission of the Plan to DPI to December 31, 2021. Eligible expenditures for charter schools are those incurred from the date of charter school board approval of the Plan to December 31, 2021. Funds shall only be used for costs associated with the Plan,

3) Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.

4) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund

5) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).

   a. Necessary expenditures incurred due to the public health emergency mean expenditures used for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

   b. Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

6) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.

7) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

   a. The cost cannot be lawfully be funded using a line item, allotment, or allocation within that budget; or

   b. The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

8) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

   Funds may be used for 100% of payroll or benefits expenses for employees whose work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency. For employees who are not substantially dedicated to mitigating or responding to COVID-19 public health emergency, funds may be used for a prorata share of payroll or benefits reflecting the proportion of time the employee's work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020
Revised March 4, 2021

CORONAVIRUS RELIEF FUND – INSTRUCTIONAL SUPPORT (PRC 122)

PROGRAM REPORT CODE:	122
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-122-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(12)

TYPE:	Dollars
TERM:	March 1, 2020 to December 31, 2021
PURPOSE:	To provide funding for additional contracted physical and mental health support services for students in response to COVID-19, including remote and in-person physical and mental health support services.
ELIGIBILITY:	All public school units that are eligible to receive a portion of the instructional support appropriation.
FORMULA:	Funds shall be allocated in the same basis as the instructional support allotment. Charter, ISD and Lab schools shall receive a per pupil share.

SPECIAL PROVISIONS:

1) The term school health support personnel shall refer to school counselors, school nurses, school psychologists, and school social workers.
2) Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021

3) Eligible expenditures are those incurred from March 1 to December 31, 2021.

4) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund

5) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).
    a) Necessary expenditures incurred due to the public health emergency mean expenditures used for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order

to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

b) Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

6) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.

7) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

   a) The cost cannot be lawfully be funded using a line item, allotment, or allocation within that budget; or

   b) The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

8) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

Note: Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.

Revised December 3, 2020

Revised March 4, 2021

CORONAVIRUS RELIEF FUND – NONDIGITAL RESOURCES (PRC 123)

PROGRAM REPORT CODE:	123
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-123-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(15)

TYPE:	Dollars
TERM:	July 1, 2020 to December 31, 2021
PURPOSE:	To provide nondigital remote instruction resources to students with limited connectivity, in order to continue learning growth during the school closure period related to COVID-19
ELIGIBILITY:	Local education agencies, charter schools, regional schools, ISD & residential schools
FORMULA:	Funds appropriated shall be allotted based on 2019-20 allotted ADM for LEAs and funded ADM for other eligible public school units.

SPECIAL PROVISIONS:

1) Funds shall only be used for the purchase of nondigital instructional resources. Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.

2) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund.

3) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).

   a) Necessary expenditures incurred due to the public health emergency mean expenditures use for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

   b) Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

4) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

a) The cost cannot be lawfully funded using a line item, allotment, or allocation within that budget; or

b) The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

5) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

6) Funds may be used for 100% of payroll or benefits expenses for employees whose work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency. For employees who are not substantially dedicated to mitigating or responding to COVID-19 public health emergency, funds may be used for a prorata share of payroll or benefits reflecting the proportion of time the employee's work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency.

7) Eligible expenditures are those incurred from July 1 to December 31.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

Revised March 4, 2021

CORONAVIRUS RELIEF FUND – STUDENT COMPUTERS AND DEVICES (PRC 124)

PROGRAM REPORT CODE:	124
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-124-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(9)

TYPE:	Dollars
TERM:	March 1, 2020 to December 31, 2021
PURPOSE:	To provide funding for additional computers and other electronic devices for use by students in response to COVID-19
ELIGIBILITY:	Local education agencies, charter schools, regional schools, ISD that responded and stated a need in the survey conducted by DPI's Division of Digital Learning,
FORMULA:	50% of the funds appropriated shall be allotted based on 2019-20 allotted ADM for LEAs and funded ADM for other public school units. 50% of the funds appropriated shall be allotted based on the students in poverty per the 2017 census for LEAs. Other public school units shall receive an average dollars per student in poverty.

SPECIAL PROVISIONS:

1) Charter schools that have been approved to close before July 1, 2020 are not eligible for funds.
2) Funds shall only be used for the purchase of computers and other electronic devices, software management license and maintenance protection. Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.
3) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund.
4) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).
    a) Necessary expenditures incurred due to the public health emergency mean expenditures use for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

b) Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

5) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

   a) The cost cannot be lawfully funded using a line item, allotment, or allocation within that budget; or

   b) The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

6) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

7) Eligible expenditures are those incurred from March 1 to December 31, 2021.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

Revised March 4, 2021

CORONAVIRUS RELIEF FUND – SCHOOL NUTRITION (PRC 125)

PROGRAM REPORT CODE:	125
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-125-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(6) SL 2020-80 (6)

TYPE:	Dollars
TERM:	March 16, 2020 to December 31, 2021
PURPOSE:	To provide funding for school nutrition services provided in response to COVID-19 by public school units participating in the National School Lunch Program or School Breakfast Program
ELIGIBILITY:	All public school units that participate in the National School Lunch Program or School Breakfast Program from March 16 to the end of the school year.
FORMULA:	Funds shall be allocated based on the proportion of State total of federal reimbursements and eligible student meal receipts the public school unit received in February 2020.

SPECIAL PROVISIONS:

1) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.
2) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19), and for costs that are a substantially different use from any expected use of budgeted funds.
3) Funds may not be used for expenses that have been or will be reimbursed under any federal program.
4) Funds may be used for 100% of payroll or benefits expenses for employees whose work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency. For employees who are not substantially dedicated to mitigating or responding to COVID-19 public health emergency, funds may be used for a prorata share of payroll or benefits reflecting the proportion of time the employee's work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency.
5) Eligible expenditures are those incurred from March 16 to December 31 ,2021
6) This PRC should not be construed to create spending restrictions beyond those contemplated by state and federal law.

7) Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020
Revised March 4, 2021

CORONAVIRUS RELIEF FUND – PERSONNEL COMPUTERS AND DEVICES (PRC 126)

PROGRAM REPORT CODE:	126
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-126-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(10)

TYPE:	Dollars
TERM:	March 1, 2020 to December 31, 2021
PURPOSE:	To provide funding for additional computers and other electronic devices for use by school personnel in response to COVID-19.
ELIGIBILITY:	Local education agencies, charter schools, regional schools, ISD that responded and stated a need in the survey conducted by DPI's Division of Digital Learning,
FORMULA:	Funds appropriated shall be allotted based on 2019-20 allotted ADM for LEAs and funded ADM for other public school units.

SPECIAL PROVISIONS:

1) Charter schools that have been approved to close before July 1, 2020 are not eligible for funds.

2) Funds shall only be used for the purchase of computers and other electronic devices. Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.

3) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund.

4) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).

    a) Necessary expenditures incurred due to the public health emergency mean expenditures use for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

    b) Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

5) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:
    a) The cost cannot be lawfully funded using a line item, allotment, or allocation within that budget; or
    b) The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.
6) Funds may not be used for expenses that have been or will be reimbursed under any federal program.
7) Eligible expenditures are those incurred from March 1 to December 31, 2021.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

Revised March 4, 2021

CORONAVIRUS RELIEF FUND – MOBILE WI-FI FOR SCHOOL BUSES (PRC 127)

PROGRAM REPORT CODE:	127
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-127-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(7)

TYPE:	Dollars
TERM:	March 1, 2020 to December 31, 2021
PURPOSE:	To provide funds to improve Internet connectivity for students, in response to COVID-19, by installing extended reach mobile Wi-Fi gateway router devices in school buses
ELIGIBILITY:	Local education agencies, charter schools, regional schools, ISD
FORMULA:	Eligible public school units may apply for funds through the Digital Teaching and Learning Division. In the event that the total funds requested exceed the total funds available, priority shall be provided to public school units based on the estimated households without an internet subscription, per FCC data, in the county in which the public school unit is located.

SPECIAL PROVISIONS:

1) Funds shall be used only for the purchase of devices and not for subscription services.
2) Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.
3) Eligible expenditures are those incurred from March 1 to December 31, 2021.
4) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund
5) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).
    a) Necessary expenditures incurred due to the public health emergency mean expenditures used for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

b) Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

6) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.

7) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

   a) The cost cannot be lawfully be funded using a line item, allotment, or allocation within that budget; or

   b) The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

8) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

Revised March 4, 2021

CORONAVIRUS RELIEF FUND – MOBILE WI-FI FOR HOMES & COMMUNITIES (PRC 128)

PROGRAM REPORT CODE:	128
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-128-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(8) SL 2020-97 Section 1.2 (8)

TYPE:	Dollars
TERM:	March 1, 2020 to December 31, 2021
PURPOSE:	To provide funds to improve internet connectivity for students, in response to COVID-19, by providing community and home mobile Internet access points.
ELIGIBILITY:	Local education agencies, charter schools, regional schools, ISD which are not virtual.
FORMULA:	$11.000,000 allocated as follows: 50% of the funds appropriated shall be allotted based on 2019-20 allotted ADM for LEAs and funded ADM for other public school units. 50% of the funds appropriated shall be allotted based on the estimated households without an internet subscription, per FCC data, in the county in which the public school unit is located. $10,000,000 allocated based on expressed need through an application process administered through the Division of Digital Teaching and Learning. Any remaining funds shall be allocated based on 2019-20 allotted ADM for LEAs and funded ADM for other public school units

SPECIAL PROVISIONS:

1) Funds shall be used only for the purchase of devices and not for subscription services.
2) Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.
3) Eligible expenditures are those incurred from March 1 to December 31, 2021.
4) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund

5) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).

   a. Necessary expenditures incurred due to the public health emergency mean expenditures used for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

   b. Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

6) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.

7) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

   a. The cost cannot be lawfully be funded using a line item, allotment, or allocation within that budget; or

   b. The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

8) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised September 28, 2020
Revised December 3, 2020
Revised March 4, 2021

CORONAVIRUS RELIEF FUND – LEARNING MANAGEMENT PLATFORM (PRC 129)

PROGRAM REPORT CODE:	129
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-129-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(14)

TYPE:	Dollars
TERM:	March 1, 2020 to December 31, 2021
PURPOSE:	To provide funds to assist and support public school units in providing remote instruction in response to the impacts of COVID-19 by expanding the learning management platform provided by the Department of Public Instruction
ELIGIBILITY:	Local education agencies, charter schools, regional schools, ISD and any other public school unit.
ALLOCATION:	Eligible public school units may apply to the Digital Teaching and Learning Division in the Department of Public Instruction for funds for Canvas licenses. a) For units that do not currently have a contract for Canvas the award shall be a base plus a dollar per license requested, b) For units that have a current Canvas contract and request to expand the number of license, the award shall be a dollar per license requested If the total requests exceed the total appropriated amounts, the approved grant requests shall be adjusted as follows: 1. Fund the cost of new licenses only 2. Reduce on a pro rata basis the remaining approved grant requests to meet funds available

SPECIAL PROVISIONS:

1) Funds shall only be used for the purchase of Canvas software licenses and initial contract costs. Funds shall not be transferred in to or out of this allotment category. Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.
2) Eligible expenditures are those incurred from March 1 to December 31, 2021.

3) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund.
4) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).

    a. Necessary expenditures incurred due to the public health emergency mean expenditures used for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

    b. Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

5) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.
6) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

    a. The cost cannot be lawfully be funded using a line item, allotment, or allocation within that budget; or

    b. The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

7) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

Revised March 4, 2021

CORONAVIRUS RELIEF FUND – SERVICES FOR EXCEPTIONAL CHILDREN (PRC 132)

PROGRAM REPORT CODE:	132
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-132-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(16)

TYPE:	Dollars
TERM:	March 1, 2020 to December 31, 2021
PURPOSE:	Grant to support extraordinary costs associated with providing Extended School Year Services or future services, as appropriate, for exceptional children who qualify for these services due to the impacts of COVID-19
ELIGIBILITY:	All public school units
FORMULA:	Eligible public school units may apply for funds through the Division of Exceptional Children. If total approved grants exceed the funds appropriated, the following shall apply: a) Each public school unit shall be capped at a maximum award based on the % of students identified with significant educational needs through the continuum of service delivery. b) All public school units shall be prorated equally based on the April 2020 Child Count.

SPECIAL PROVISIONS:

1) Funds shall be used only for the implementation of the grant, as stated in the approved application.
2) Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.
3) Eligible expenditures are those incurred from March 1 to December 31, 2021.
4) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund

5) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).

    a) Necessary expenditures incurred due to the public health emergency mean expenditures used for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

    b) Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

6) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.

7) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

    a) The cost cannot be lawfully be funded using a line item, allotment, or allocation within that budget; or

    b) The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

8) Funds may be used for 100% of payroll or benefits expenses for employees whose work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency. For employees who are not substantially dedicated to mitigating or responding to COVID-19 public health emergency, funds may be used for a prorata share of payroll or benefits reflecting the proportion of time the employee's work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency.

9) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

Revised March 4, 2021

CORONAVIRUS RELIEF FUND – EXTENDED LEARNING AND INTEGRATED STUDENT SUPPORT GRANT (ELISS) (PRC133)

PROGRAM REPORT CODE:	133
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-133-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(18)

TYPE:	Dollars
TERM:	July 1, 2020 to December 30, 2020
PURPOSE:	Grant to fund new or existing eligible programs that provide high-quality, independently validated extended learning and integrated student support service programs for at-risk students whose learning has been negatively affected by COVID-19 impacts
ELIGIBILITY:	Programs operated by (i) nonprofit corporations and (ii) nonprofit corporations working in collaboration with local school administrative units
FORMULA:	Nonprofit corporations may apply for funds through the Federal Programs Division. A grant award shall not exceed $500,000

SPECIAL PROVISIONS:

1) Funds shall be used only for the implementation of the grant, as stated in the application.

The Program is to fund high-quality, independently validated extended learning and integrated student support service programs for at-risk students that raise standards for student academic outcomes by focusing on the following:

a) Use of an evidence-based model with a proven track record of success.
b) Inclusion of rigorous, quantitative performance measures to confirm effectiveness of the program.
c) Deployment of multiple tiered supports in schools to address student barriers to achievement, such as strategies to improve chronic absenteeism, anti-social behaviors, academic growth, and enhancement of parent and family engagement.
d) Alignment with State performance measures, student academic goals, and the North Carolina Standard Course of Study.
e) Prioritization in programs to integrate clear academic content, in particular, science, technology, engineering, and mathematics (STEM) learning opportunities or reading development and proficiency instruction.

f) Minimization of student class size when providing instruction or instructional supports and interventions.
g) Expansion of student access to high-quality learning activities and academic support that strengthen student engagement and leverage community-based resources, which may include organizations that provide mentoring services and private-sector employer involvement.
h) Utilization of digital content to expand learning time, when appropriate.

2) Funds shall be used only for the implementation of the grant, as stated in the approved application. Eligible expenditures are those incurred from July 1 to December 30, 2020.

3) Grant participants shall provide certification to the Department of Public Instruction that the grants received under the program shall be matched on the basis of three dollars ($3.00) in grant funds for every one dollar ($1.00) in non-grant funds. Matching funds shall not include other State funds. Matching funds may include in-kind contributions for up to fifty percent (50%) of the required match.

4) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund

5) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).

   a. Necessary expenditures incurred due to the public health emergency mean expenditures used for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

   b. Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

6) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.

7) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

   a. The cost cannot be lawfully be funded using a line item, allotment, or allocation within that budget; or

   b. The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

8) Funds may be used for 100% of payroll or benefits expenses for employees whose work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency. For employees who are not substantially dedicated to mitigating or responding to COVID-19 public health emergency, funds may be used for a prorata share of payroll or benefits reflecting the proportion of time the employee's work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency.

9) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

CORONAVIRUS RELIEF FUND – LOW WEALTH SUPPLEMENTAL FUNDS (PRC134)

PROGRAM REPORT CODE:	134
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-134-XXX
STATUTORY REFERENCE:	SL 2020-27 Section 4(a)

TYPE:	Dollars
TERM:	July 1, 2020 to December 31, 2021
PURPOSE:	Public schools in low-wealth counties are less likely to have the reserves necessary to provide for the staff development, digital resources, and other tools needed to facilitate new methods of instruction required by COVID-19 circumstances. These funds provide supplemental funding to assist them in effectively responding to the impacts of COVID-19.
ELIGIBILITY:	All public school units located in low wealth counties as determined by SL 2017-57, Section 7.3
FORMULA:	Funds shall be allocated based on the low wealth supplemental funding formula, per SL 2017-57, Section 7.3.

SPECIAL PROVISIONS:

1) Allowable expenditures are consistent with the allowable uses for low wealth supplemental funding, PRC031.
2) Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.
3) A public school unit, that is not a LEA, and is located in a LEA that is eligible for low wealth supplemental funding shall receive a per pupil equivalent dollar per ADM of that LEA.
4) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.
5) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19), and for costs that are a substantially different use from any expected use of budgeted funds.
6) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

7) Funds may be used for 100% of payroll or benefits expenses for employees whose work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency. For employees who are not substantially dedicated to mitigating or responding to COVID-19 public health emergency, funds may be used for a prorata share of payroll or benefits reflecting the proportion of time the employee's work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency. Eligible expenditures are those incurred from July 1 to December 31, 2021.
8) This PRC should not be construed to create spending restrictions beyond those contemplated by state and federal law.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

Revised March 4, 2021

CORONAVIRUS RELIEF FUND – CYBERSECURITY (PRC135)

PROGRAM REPORT CODE:	135
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-135-XXX
STATUTORY REFERENCE:	SL 2020-4 Section 3.3(11)

TYPE:	Dollars
TERM:	March 1, 2020 to December 31, 2021
PURPOSE:	(i) establish a statewide shared cybersecurity infrastructure to protect school business systems and minimize instructional disruption and (ii) for district cybersecurity monitoring and support in consultation with the School Connectivity Initiative. .
ELIGIBILITY:	Local education agencies, charter schools, regional schools, ISD.
FORMULA:	50% of the funds appropriated shall be allotted based on 2019-20 allotted ADM for LEAs and funded ADM for other public school units. 50% of the funds appropriated shall be allotted based on the students in poverty per the 2017 census for LEAs. Other public school units shall receive an average dollars per student in poverty. No eligible public school unit shall receive less than $2,000

SPECIAL PROVISIONS:

1) Funds shall be used only for the purchase of products and/or services to improve cybersecurity risk posture and response capabilities. Emphasis should be on school business systems and servers.
   Examples include:
   a) Advanced end-point threat protection, with an emphasis on protecting school business systems and servers.
   b) Improved business system backups, with an emphasis on encryption and/or immutable storage.
   c) Advanced email protection with emphasis on reducing phishing.
   d) Unified threat management to detect and mitigate threats.
   e) Advanced firewall protection.
   f) Antivirus/antimalware solutions.
   g) Intrusion Detection System (IDS) / Intrusion Prevention System (IPS).
   h) Data encryption solutions.
   i) Data Loss Prevention.

j) Risk and compliance management.
k) Reimbursement for above expenditures if incurred after March 1, 2020.

2) Public School Units shall request approval for expenditures from the School Connectivity Initiative team.

3) Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.

4) Eligible expenditures are those incurred from March 1 to December 31, 2021.

5) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund

6) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).

   a) Necessary expenditures incurred due to the public health emergency mean expenditures used for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

   b) Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

7) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.

8) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

   a) The cost cannot be lawfully be funded using a line item, allotment, or allocation within that budget; or

   b) The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

9) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020
Revised March 4, 2021

CORONAVIRUS RELIEF FUND – COVID DIRECT APPROPRIATIONS (PRC136)

PROGRAM REPORT CODE:	136
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-136-XXX
STATUTORY REFERENCE:	SL 2020-97 Section 1.9 (91) and (92) SL 2020-97 Sections 1.9 (89) SL 2020-97 Sections 1.9 (90) and 4.16

TYPE:	Dollars
TERM:	July 1, 2020 to December 31, 2021
PURPOSE:	To provide select PSUs and other entities funds for necessary expenditures incurred due to the public health emergency, as stated in legislation
ELIGIBILITY:	Public school units and entities identified in the statutory reference of this policy.
FORMULA:	Funds appropriated shall be allotted as follows:

A. Select LEAs for general COVID

a) $1,000,000 Alamance Burlington Schools

b) $500,000 allocated based on ADM to all PSUs in the following counties Bertie County, Camden County, Chowan County, Perquimans County, Tyrrell County, Washington County.

Allowable uses are school nutrition services, transportation services, technology, remote instruction materials and services, personal protective equipment that meets applicable federal standards and guidelines from the Centers for Disease Control and Prevention, temperature screening tools and other goods and services necessitated by the COVID-19 pandemic. In addition, Alamance-Burlington Schools may use the funds for Alamance-Burlington Connects Initiative.

B. Select Entity

$1,100,000 Communities in Schools of North Carolina, Inc.

Allowable uses

a. Personal protective equipment for staff that meets applicable federal standards and guidelines from the Centers for Disease Control and Prevention.

b. Assistance for students in kindergarten through twelfth grade with remote instruction, nutrition, family support, and mental health.

C. Select LEA for Smart School Bus Safety Pilot

$115,000

Legislation authorizes Mt Airy City Schools to participate in the Smart School Bus Safety pilot. Mt Airy shall notify DPI that they elect to participate in the program by October 15, 2020. If Mt Airy City Schools does not elect to participate, the Department may authorize one replacement local education agency.

Funds shall be used to establish the Smart School Bus Safety Pilot Program to transform and improve the transportation of public school students through technology in response to the COVID-19 pandemic.

SPECIAL PROVISIONS:

1) 2019-20 allotted ADM shall be used for LEAs and funded ADM in 2019-20 shall be used for other PSUs
2) Funds shall not be transferred in to or out of this allotment category.
3) Funds shall be used to contract with qualifying vendors to provide technology and services for school buses that meet the minimum requirements set in SL2020-97 Section 4.16 (c)
4) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund.
5) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).
    a. Necessary expenditures incurred due to the public health emergency mean expenditures use for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.
    b. Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.
6) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:
    a. The cost cannot be lawfully funded using a line item, allotment, or allocation within that budget; or
    b. The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

7) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

8) Funds may be used for 100% of payroll or benefits expenses for employees whose work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency. For employees who are not substantially dedicated to mitigating or responding to COVID-19 public health emergency, funds may be used for a prorata share of payroll or benefits reflecting the proportion of time the employee's work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency.

9) Eligible expenditures are those incurred from July 1 to December 31, 2021.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

Revised March 4, 2021

CORONAVIRUS RELIEF FUND –PERSONAL PROTECTIVE EQUIPMENT (PPE) (PRC137)

PROGRAM REPORT CODE:	137
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-137-XXX
STATUTORY REFERENCE:	SL 2020-97 Section 1.2 (63)

TYPE:	Dollars
TERM:	July 1, 2020 to December 31, 2021
PURPOSE:	To provide personal protective equipment (PPE) that meet applicable federal standards and guidelines from the Centers for Disease Control and Prevention. Funds may also be used to provide COVID-19 testing for public schools
ELIGIBILITY:	Local education agencies, charter schools, Lab schools, regional schools & ISD.
FORMULA:	Funds appropriated shall be allotted based on a weighted dollars per ADM, as follows:

PSUs operating in Phase B on September 1, 2020	3 x ADM
PSUs operating in Phase A/B on October 5, 2020	2.5 x ADM
PSUs operating in Phase A/B on October 26, 2020	2 x ADM
PSUs operating in Phase C	1 x ADM

2019-20 allotted ADM for LEAs and funded ADM for other eligible public school units

SPECIAL PROVISIONS:

1) The PSUs operating status shall be determined based on the documented decision of the PSU's governing body on or before October 15, 2020.

2) Funds may be used to purchase personal protective equipment, in alignment with Centers for Disease Control and Prevention and other applicable federal guidelines, including gloves, face masks, protective eyewear, face shields, protective barriers, and protective clothing (e.g. reusable or disposable gown, jacket, lab coat).
   The funds may also be used to purchase hand sanitizer, cleaning wipes, soap, and additional materials used to clean and disinfect and to upgrade HVAC systems with specialized filters and/or technology, etc. to prevent airflow that would spread COVID-19.
   Funds may also be used for COVID testing.

3) Funds shall not be transferred in to or out of this allotment category. Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.
4) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund.
5) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).

    a. Necessary expenditures incurred due to the public health emergency mean expenditures use for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

    b. Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

6) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

    a. The cost cannot be lawfully funded using a line item, allotment, or allocation within that budget; or

    b. The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

7) Funds may not be used for expenses that have been or will be reimbursed under any federal program.
8) Funds may be used for 100% of payroll or benefits expenses for employees whose work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency. For employees who are not substantially dedicated to mitigating or responding to COVID-19 public health emergency, funds may be used for a prorata share of payroll or benefits reflecting the proportion of time the employee's work duties are substantially dedicated to mitigating or responding to the COVID-19 public health emergency.
9) Eligible expenditures are those incurred from July 1 to December 31, 2021

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

Revised March 4, 2021

CORONAVIRUS RELIEF FUND –GAGGLE SAFETY MANAGEMENT (PRC138)

PROGRAM REPORT CODE:	**138**
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-138-XXX
STATUTORY REFERENCE:	SL 2020-97 Section 1.2(100)

TYPE:	Dollars
TERM:	July 1, 2020 to June 30, 2021
PURPOSE:	To provide funds to public school units for one or more Gaggle safety management products to enhance student safety while providing remote instruction in response to COVID-19.
ELIGIBILITY:	Public school units including residential schools
ALLOCATION:	Eligible public school units may apply to the Digital Teaching and Learning Division in the Department of Public Instruction for Gaggle's safety management licenses based on the PSU's Month 2, 2021 ADM in one or more grades.

Approved applications shall be funded based on the DPI convenience contract with Gaggle as follows:

O365 or Google Email and Drive	$2.50/ADM
GSM Microsoft Teams or Google Hangouts	$1.25/ADM
GSM Microsoft O365 or Google Drive ONLY	$1.25/ADM
Minimum cost of $500	

If the total approved requests exceed the total appropriated amounts, the approved requests shall be reduced on a pro rata basis to meet funds available.

SPECIAL PROVISIONS:

1) Funds shall only be used for the purchase of Gaggle monitoring subscription licenses and minimum contract costs.
2) Funds may be transferred between any of the following Coronavirus Relief Fund PRCs 121, 122 123, 124, 125, 126, 127, 128, 129, 132, 134, 135, 137 and 138. This provision expires February 9, 2021.

3) Eligible expenditures are those incurred from July 1 to June 30, 2021.

4) The licenses shall be for the period *November 1, 2020 to June 30, 2021*.

5) PSUs that currently have a contract for Gaggle safety management may not apply for current contracted licenses or services but may apply for an expansion of licenses or services.

6) Use of these funds shall be in accordance with Title V of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which authorizes the Coronavirus Relief Fund.

7) Funds may only be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID–19).

   a. Necessary expenditures incurred due to the public health emergency mean expenditures used for actions taken to respond to the public health emergency, including expenditures for responding directly to the emergency or incurred in order to respond to second-order effects of the emergency such as the impacts of stay-at-home orders, school closures, etc.

   b. Funds may not be used for revenue replacement or to otherwise fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute.

8) In accordance with the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Relief Fund Title V, these funds may not be used to directly account for revenue shortfalls related to the COVID-19 outbreak.

9) Funds may not be used for expenditures that were previously included in the unit's budget as of March 27, 2020. A cost meets this requirement if either:

   a. The cost cannot be lawfully be funded using a line item, allotment, or allocation within that budget; or

   b. The cost is for a substantially different use from any expected use of funds in such a line item, allotment, or allocation.

10) Funds may not be used for expenses that have been or will be reimbursed under any federal program.

Note: *Before expending Coronavirus Relief Fund monies, Public school units that receive funding are encouraged to review the most current applicable guidance from U.S. Department of the Treasury, the U. S. Department of Education, and the North Carolina Pandemic Recovery Office housed in the Office of State Budget and Management.*

Revised December 3, 2020

Revised March 4, 2021

STATE COVID-19 SUPPLEMENTAL FUNDS (PRC154)

PROGRAM REPORT CODE:	154
UNIFORM CHART OF ACCOUNTS CODE:	1-XXXX-154-XXX
STATUTORY REFERENCE:	Governor Cooper Executive Authority

TYPE:	Dollars
TERM:	March 1 – June 30, 2020
PURPOSE:	Provides supplemental funding to public schools for additional expenditures related to school nutrition, childcare, remote learning and sanitation incurred due to school building closure for COVID-19
ELIGIBILITY:	Each LEA, charter school, innovative school, regional school and lab school which does not provide virtual education as its primarily instructional delivery method.
FORMULA:	All available funds are distributed to LEAs and other public schools based on one of the following Fifty-percent of the funds are distributed based on ADM multiplied by a low wealth percentage and fifty percent of the funds are distributed based on allotted ADM

SPECIAL PROVISIONS:

1) No ABC transfers will be allowed out of this allotment. Transfers are allowed into the allotment.
2) Funds shall only be expended for school nutrition, school and community-based childcare, cleaning and sanitizing schools and buses, protective equipment, and remote learning.
3) Month 1 ADM is used for eligible public schools, that are not within a LEA.
4) Only Expenditures incurred from March 1 to June 30, 2020 are eligible. Funds shall revert at June 30, 2020. No funds prior to March 1 are reimbursable.
5) Charters are calculated using the attributes of the county in which the school is located.

CRRSA – K-12 EMERGENCY RELIEF FUND – ESSER II (PRC 171)

PROGRAM REPORT CODE:	171
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-171-XXX
CFDA #:	84.425D

TYPE:	Dollars
TERM:	Funds are available through September 30, 2023
PURPOSE:	The Elementary and Secondary School (K-12) Emergency Relief Fund, authorized by Section 313 of the Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act 2021 is intended to assist eligible public school units during and after the coronavirus pandemic.
ELIGIBILITY:	All LEAs, charter. lab, regional and innovative schools are eligible to receive funds under the Elementary and Secondary School Emergency Relief Fund. Units that seek funds must complete and submit to NCDPI an application and budget provided by NCDPI and the State Board of Education.
FORMULA:	Allocations to eligible units are made in proportion to the amount of funds such units received under Title I, Part A in the most recent fiscal year.

SPECIAL PROVISION:

1) No less than 90% of the total K-12 Emergency Relief Fund provided to North Carolina shall be allocated as award as subawards to eligible units.
2) The State Board of Education may reserve up to 10% of the total Supplemental K-12 Emergency Relief Fund.
   a) The State Board of Education may not reserve more than 1/2 of 1 percent of the Supplemental K-12 Emergency Relief Fund for administrative costs undertaken by the State Board of Education and the Department of Public Instruction.
   b) Any other sums from the Supplemental K-12 Emergency Relief Fund reserved by the State Board of Education shall be used to address emergency needs as determined by the State Board of Education to address issues responding to coronavirus, either through the use of additional grants or through contracts.
3) Units may use program funds in accordance with federal law for:
   a) Any activity authorized by the Elementary and Secondary Act of 1965 (ESEA), the Individuals with Disabilities Education Act (IDEA), the Adult Education and Family

Literacy Act, the Carl D. Perkins Career and Technical Education Act of 2006, or Title VII, Subtitle B of the McKinney-Vento Homeless Assistance Act.

b) Coordination of preparedness and response efforts of public school units with State or local public health departments and other relevant agencies to improve coordinated responses in preventing, preparing for, and responding to coronavirus.
c) Providing principals and other school leaders with resources to address the needs of their individual schools.
d) Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of those students.
e) Develop and implementing procedures and systems to improve preparedness and response efforts.
f) Training and professional development for staff pertaining to sanitation and minimizing the spread of infectious diseases.
g) Purchasing sanitation and cleaning supplies of school facilities.
h) Planning for, coordinating, and implementing activities during long term closures, including providing meals to eligible students, technology for online learning to all students, guidance for carrying requirements under IDEA, and ensuring that other services can continue consistent with applicable Federal, State, and local requirements.
i) Purchasing educational technology (including hardware, software and connectivity) for students to aid in regular and substantive interaction between students and their classroom instructors (including low-income and disabled students), which may include assistive technology or adaptive equipment.
j) Providing mental health services and supports.
k) Planning and implementing summer learning and supplemental afterschool program activities, including providing classroom instruction or online learning during the summer months, and addressing the needs of low-income students, children with disabilities, English learners, migrant students, students experiencing homelessness, and children in foster care.
l) Addressing learning loss among students, including low-income students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and children and youth in foster care, of the local educational agency, including by—
    (A) Administering and using high-quality assessments that are valid and reliable, to accurately assess students' academic progress and assist educators in meeting students' academic needs, including through differentiating instruction.
    (B) Implementing evidence-based activities to meet the comprehensive needs of students.
    (C) Providing information and assistance to parents and families on how they can effectively support students, including in a distance learning environment.
    (D) Tracking student attendance and improving student engagement in distance education.

m) School facility repairs and improvements to enable operation of schools to reduce risk of virus transmission and exposure to environmental health hazards, and to support student health needs.
n) Inspection, testing, maintenance, repair, replacement, and upgrade projects to improve the indoor air quality in school facilities, including mechanical and non-mechanical heating, ventilation, and air conditioning systems, filtering, purification and other air cleaning, fans, control systems, and window and door repair and replacement.
a) Other activities deemed necessary to maintain the operation and of and continuity of services in public school unit, and continuing to employ existing staff of the public school unit.

2) Any funds that have not been approved to be allotted within 1 year of receiving the funds from the Federal government, must be returned to the Federal government for reallocation to other States.
3) Units that receive funds are required to continue to pay their employees and contractors to the greatest extent practicable during the period of disruptions or closures related to coronavirus.
4) When developing budgets related to awards, units that receive funds are strongly encouraged to consider programs addressing learning loss of students and student support services.

Approved March 4, 2021

CRRSA – ESSER II – PUBLIC SCHOOL UNIT SUPPLEMENTAL FUNDING (PRC 172)

PROGRAM REPORT CODE:	172
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-172-XXX
CFDA #:	84.425D

TYPE:	Dollars
TERM:	Funds are available through September 30, 2023
PURPOSE:	To provide supplemental funds to public school units receiving ESSER II (PRC171) funds. These funds are intended to assist eligible public school units during the novel coronavirus pandemic.
ELIGIBILITY:	All LEAs, charter, lab, regional and innovative schools.
FORMULA:	For PSUs that did not receive Title I, Part A funds in 2020-21, an allocation of $180 per 2020-21 funded ADM. For PSUs that received Title I, Part A in 2020-21, an allocation of $180 per 2020-21 funded ADM, less the 2021 planning allotment in PRC171. Any PSUs with a PRC 171 planning allotment greater than $180 per 2020-21 funded ADM shall not receive additional funding nor a reduction.

SPECIAL PROVISION:

1) Funds shall not be transferred in to or out of this allotment category.
2) The funding source for the allotment is the State Board of Education reserve from the CRRSA Education Stabilization Fund – ESSER II.
3) Units may use program funds for:
    a. Any activity authorized by the Elementary and Secondary Act of 1965 (ESEA), the Individuals with Disabilities Education Act (IDEA), the Adult Education and Family Literacy Act, the Carl D. Perkins Career and Technical Education Act of 2006, or Title_VII, Subtitle B of the McKinney-Vento Homeless Assistance Act.
    b. Coordination of preparedness and response efforts of LEAs or charter schools with State or local public health departments and other relevant agencies to improve coordinated responses in preventing, preparing for, and responding to coronavirus.
    c. Providing principals and other school leaders with resources to address the needs of their individual schools.
    d. Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students

experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of those students.

e. Developing and implementing procedures and systems to improve preparedness and response efforts.
f. Training and professional development for staff pertaining to sanitation and minimizing the spread of infectious diseases.
g. Purchasing sanitation and cleaning supplies for LEA or charter school facilities.
h. Planning for and coordinating long term closures, including for how to provide meals to eligible students, provide technology for online learning to all students, provide guidance for carrying requirements under IDEA, and ensuring that other services can continue consistent with applicable Federal, State, and local requirements.
i. Purchasing educational technology (including hardware, software and connectivity) for students to aid in regular and substantive interaction between students and their classroom instructors (including low-income and disabled students), which may include assistive technology or adaptive equipment.
j. Providing mental health services and supports.
k. Planning and implementing summer learning and supplemental afterschool program activities, including providing classroom instruction or online learning during the summer months, and addressing the needs of low-income students, children with disabilities, English learners, migrant students, students experiencing homelessness, and children in foster care.
l. Addressing learning loss among students, including low-income students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and children and youth in foster care, of the local educational agency, including by—
    i. Administering and using high-quality assessments that are valid and reliable, to accurately assess students' academic progress and assist educators in meeting students' academic needs, including through differentiating instruction.
    ii. Implementing evidence-based activities to meet the comprehensive needs of students.
    iii. Providing information and assistance to parents and families on how they can effectively support students, including in a distance learning environment.
    iv. Tracking student attendance and improving student engagement in distance education.
m. School facility repairs and improvements to enable operation of schools to reduce risk of virus transmission and exposure to environmental health hazards, and to support student health needs.
n. Inspection, testing, maintenance, repair, replacement, and upgrade projects to improve the indoor air quality in school facilities, including mechanical and non-mechanical heating, ventilation, and air conditioning systems, filtering, purification and other air cleaning, fans, control systems, and window and door repair and replacement.

o. Other activities deemed necessary to maintain the operation and of and continuity of services in public school unit, and continuing to employ existing staff of the public school unit.

4) No adjustments shall be made for average daily membership in subsequent school years.
5) Any public school unit without an approved application by November 15, 2021 will forfeit its allotment under this PRC. Any unallotted funds as of November 30, 2021 will be reallotted through ESSER II (PRC 171) to public school units with approved applications.

Approved April 8, 2021

CRRSA – ESSER II – SUPPLEMENTAL CONTRACTED INSTRUCTIONAL SUPPORT FUNDING (PRC 173)

PROGRAM REPORT CODE:	173
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-173-XXX
CFDA #:	84.425D

TYPE:	Dollars
TERM:	Funds are available through September 30, 2023
PURPOSE:	To provide supplemental funds for contracted services for school health support personnel to provide additional physical and mental health support services for students in response to COVID-19, including remote and in-person physical and mental health support services.
ELIGIBILITY:	All LEAs, charter, lab, regional and innovative schools.
FORMULA:	Funds shall be allocated in the same basis as the instructional support allotment. Charter, ISD and Lab schools shall receive a per pupil share.

SPECIAL PROVISION:

1) The term "school health support personnel" shall refer to school counselors, school nurses, school psychologists, and school social workers.
2) Funds shall not be transferred into or out of this allotment category.
3) Funds shall be used to contract with fully and/or provisionally licensed specialized instructional support personnel to provide physical and mental health supports to students.
4) Any public school unit without an approved application as of November 15, 2021 will forfeit its allotment under this PRC. Any unallotted funds as of November 30, 2021 will be reallocated to public school units with approved applications through ESSER II (PRC 171).

Approved April 8, 2021

CRRSA – ESSER II - SCHOOL NUTRITION COVID SUPPORT (PRC 174)

PROGRAM REPORT CODE:	174
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-174-XXX
CFDA #	84.425D
STATUTORY REFERENCE:	SL 2021-3 Section 1.2(8)

TYPE:	Dollars
TERM:	Funds are available through September 30, 2023
PURPOSE:	To provide funding for local school nutrition programs approved to participate in the Federally assisted School Nutrition Programs administered by the Department to support the recruitment and retention of personnel who are/will be employed directly in the provision on School Nutrition services in response to COVID-19.
ELIGIBILITY:	Public School Units (PSU) whose School Food Authorities are approved to participate in the National School Lunch Program, which includes the School Breakfast Program and the Seamless Summer Option, as of August 31, 2021.
FORMULA:	Funds shall be allocated based on the Average Daily Membership of each PSU less the unexpended balance of CRF School Nutrition funds as of July 31, 2021.

SPECIAL PROVISIONS:

1) PSUs must submit a local plan defining the recruitment and retention initiatives within the requirements defined in the application; the plan must be submitted no later than December 15, 2021.
2) Funds may not be used for expenses that have been or will be reimbursed under any Federal program.
3) Funds may only be used for the purpose of non-recurring bonus payments to retain employees, whose regular compensation is supported by the school nutrition fund, and to make bonus payments to recruit new employees who are not currently employed by any PSU.
4) Any PSU without an approved application as of December 15, 2021, will forfeit its allotment under this PRC. Any unallotted funds as of December 31, 2021, will be reallocated to public school units with approved applications through ESSER II (PRC 171).

Approved September 2, 2021

CRRSA – ESSER II – EXTENDED LEARNING AND INTEGRATED STUDENT SUPPORT GRANT (ELISS) (PRC 175)

PROGRAM REPORT CODE:	175
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-175-XXX
CFDA #:	84.425D

TYPE:	Dollars
TERM:	Funds are available through September 30, 2023
PURPOSE:	Grant to fund new or existing eligible programs that provide high-quality, independently validated extended learning and integrated student support service programs for at-risk students whose learning has been negatively affected by COVID-19 impacts
ELIGIBILITY:	Grants shall be used to award funds for new or existing eligible programs for at-risk students operated by (1) nonprofit corporations and (2) nonprofit corporations working in collaboration with local school administrative units.
FORMULA:	Grant participants are eligible to receive grants in an amount of up to five hundred thousand dollars ($500,000) each year. Nonprofit corporations may apply for funds through the Federal Programs Monitoring and Support Division. All grant awards must be approved by the State Board of Education.

SPECIAL PROVISION:

1) The programs funded shall raise standards for student academic outcomes by focusing on the following:
    a. Use of an evidence-based model with a proven track-record of success.
    b. Inclusion of rigorous, quantitative performance measures to confirm effectiveness of the programs.
    c. Deployment of multiple tiered supports in schools to address to address student barriers to achievement, such as strategies to improve chronic absenteeism, antisocial behaviors, academic growth, and enhancement of parent and family engagement.
    d. Alignment with State performance measures, student academic goals, and the North Carolina Standard Course of Study.

e. Prioritization in programs to integrate clear academic content, in particular, science technology, engineering, and mathematics (STEM) learning opportunities or reading development and proficiency instruction.
f. Minimization of student class size when providing instruction or instructional supports and interventions.
g. Expansion of student access to high-quality learning activities and academic supports that strengthen student engagement and leverage community-based resources, which may include organizations that provide mentoring services and private-sector employer involvement.
h. Utilization of digital content to expand learning time, when appropriate.

2) Programs should focus on serving:
    a. At-risk students not performing at grade level as demonstrated by statewide assessments or not on track to meet year-end expectations, as demonstrated by existing indicators, including teacher identification.
    b. Students at risk of dropout; and
    c. Students at risk of school displacement due to suspension or expulsion as a result of antisocial behaviors.
3) Grant participants must provide certification to the Department of Public Instruction that the grants received under the program shall be matched on the basis of three dollars ($3.00) in grant funds for every one dollar ($1.00) in nongrant funds. Matching cannot include State funds. Matching funds may include in-kind contributions for up to fifty percent (50%) of the required match.
4) Priority consideration shall be given to application demonstrating models that focus services and programs in schools that are identified as low-performing, as well as to a nonprofit corporation working in partnership with a local school administrative unit resulting matching utilizing federal funds under Title I, Part A (PRC 050) or Title IV of the Higher Education Act of 1965, as amended, and other federal or local funds.
5) Grant recipients shall report to the Department of Public Instruction for the year in which grant funds were expended on the progress of the program, including alignment with State academic standards, data collection for reporting student progress, the source and amount of matching funds, and other measures. Grant recipient must also submit a final report on key performance data, including statewide test results, attendance rates, graduation rates and promotion rates, and financial sustainability of the program.
6) Grant funds may not be used to supplant extended learning and integrated student support programs funded from other state or federal sources.

Approved April 8, 2021

CRRSA – ESSER II –LEARNING LOSS FUNDING (PRC 176)

PROGRAM REPORT CODE:	176
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-176-XXX
CFDA #:	84.425D

TYPE:	Dollars
TERM:	Funds are available through September 30, 2023
PURPOSE:	To provide funds for in-person K-12 Summer Bridge Programs to address learning loss and provide enrichment activities in the summer.
ELIGIBILITY:	PSUs eligible for ESSER II - PRC 171 and/or ESSER II Supplemental Funds - PRC 172 as of July 1, 2021.
FORMULA:	The allotments shall be calculated as dollars per K-12 average daily membership (ADM). The dollars per ADM shall be determined based on the percentage of ESSER I - PRC 163 and ESSER I Supplemental funds- PRC 164 unexpended as of July 31, 2021. If the PSU was not eligible for PRC 163 or PRC 164 the PSU shall be allotted at the 32.00-100% tier. The dollars per ADM shall be as follows: 0.00% – 5.99% of the funds unexpended $X 6.00% - 31.99% of the funds unexpended $X-$2 32.00% - 100% of the funds unexpended $X-$4

SPECIAL PROVISION:

1. Funds shall not be transferred into or out of this allotment category.
2. PSUs shall prioritize enrollment in the program to students who are at risk, as defined in State Board of Education policy ALTP-002, and/or students identified as having been disproportionately impacted by the COVID-19 pandemic.
3. PSUs shall submit a program plan in accordance with, and including the required elements within the Summer Bridge Program Guidance document to the Office of Learning Recovery and Acceleration by December 15th.
4. Any public school unit without an approved application as of December 15, 2021 will forfeit its allotment under this PRC. Any unallotted funds as of December 31, 2021 will be reallocated to public school units with an approved application.

Approved September 2, 2021

CRRSA – ESSER II – SUMMER CAREER ACCELERATOR PROGRAM (PRC 177)

PROGRAM REPORT CODE:	177
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-177-XXX
CFDA #:	84.425D

TYPE: Dollars

TERM: Funds are available through September 30, 2023

PURPOSE: To provide funding for Summer Career Accelerator programs for students in grades 6-12 to address COVID_19-related learning loss.

ELIGIBILITY: PSUs eligible for ESSER II – PRC 171 and/or ESSER II Supplemental Funds – PRC 172 as of July 1, 2021.

FORMULA:

The allotments shall be calculated as dollars per 6-12 average daily membership (ADM). The dollars per ADM shall be determined based on the percentage of ESSER I - PRC 163 and ESSER I Supplemental Funds- PRC 164 unexpended as of July 31, 2021. If the PSU was not eligible for PRC 163 or PRC 164 the PSU shall be allotted at the 32.0-100% tier.

The dollars per ADM shall be as follows:

0.0% – 5.99% of the funds unexpended $X
6.0% - 31.99% of the funds unexpended $X-$2
32.0% - 100% of the funds unexpended $X-$4

SPECIAL PROVISION:

1. Funds shall not be transferred into or out of this allotment category.
2. PSUs shall prioritize enrollment in the program to students who are at risk, as defined in State Board of Education policy ALTP-002, and/or students identified as having been disproportionately impacted by the COVID-19 pandemic.
3. PSUs shall submit a program plan in accordance with, and including the elements within, the Summer Career Accelerator Program Guidance document to the Office of Learning Recovery and Acceleration by December 15th.
4. Any public school unit without an approved application as of December 15, 2021 will forfeit its allotment under this PRC. Any unallotted funds as of December 31, 2021 will be reallocated to public school units with an approved application.

Approved September 2, 2021

CRRSA -ESSER II— COMPETENCY-BASED ASSESSMENT (PRC178)

PROGRAM REPORT CODE:	178
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-178-XXX
CFDA #:	84.425D

TYPE:	Dollars
TERM:	Funds are available through September 30, 2023
PURPOSE:	Provide funds for a single competency-based assessment (CBE) per grade and subject for students in grades kindergarten through eight that may be taken at the beginning of the program and at the conclusion of the program.
ELIGIBILITY:	Local education agencies
FORMULA:	Funds shall be allotted based on 2020-21 allotted K-8 ADM for LEAs

SPECIAL PROVISIONS:

1) Funding is provided for licenses for the State approved competency based assessment tool, I-Ready. LEAs may only use an alternative CBE with approval from the Department of Public Instruction, Office of Learning Recovery.
2) Funds shall not be transferred into or out of this allotment category.
3) Funding source from the State reserve of the CSSR Act Education Stabilization Fund - K-12 Emergency Relief Fund to meet the requirements of SL2021-3 Section 1.7.
4) Any public school unit without an approved application as of September 30, 2021 will forfeit its allotment under this PRC.

Approved April 19, 2021

Updated September 2, 2021

ARP – ESSER III – K-12 EMERGENCY RELIEF FUND (PRC 181)

PROGRAM REPORT CODE:	PRC 181
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-181-XXX
CFDA #:	84.425U

TYPE:	Dollars
TERM:	Funds are available through September 30, 2024
PURPOSE:	The Elementary and Secondary School (K-12) Emergency Relief Fund, authorized by Section 2001 of the American Rescue Plan Act (ARPA) of 2021 is intended to assist eligible public school units during and after the coronavirus pandemic.
ELIGIBILITY:	Public school units including LEAs, charter. lab, regional and innovative schools are eligible to receive funds under the Elementary and Secondary School Emergency Relief Fund. Units that seek funds must complete and submit to NCDPI an application and budget provided by NCDPI and the State Board of Education.
FORMULA:	Allocations to eligible units are made in proportion to the amount of funds such units received under Title I, Part A in the most recent fiscal year.

SPECIAL PROVISION:

1) No less than 90% of the total K-12 Emergency Relief Fund provided to North Carolina shall be allocated as award as subawards to eligible units.
2) Units must reserve not less than 20 percent of such funds to address learning loss through the implementation of evidence-based interventions, such as summer learning or summer enrichment, extended day, comprehensive afterschool programs, or extended school year programs, and ensure that such interventions respond to students' academic, social, and emotional needs and address the disproportionate impact of coronavirus on disadvantaged students.
3) Units may use program funds in accordance with federal law for:
   a) Any activity authorized by the Elementary and Secondary Act of 1965 (ESEA), the Individuals with Disabilities Education Act (IDEA), the Adult Education and Family Literacy Act, the Carl D. Perkins Career and Technical Education Act of 2006, or Title VII, Subtitle B of the McKinney-Vento Homeless Assistance Act.
   b) Coordination of preparedness and response efforts of public school units with State or local public health departments and other relevant agencies to improve coordinated responses in preventing, preparing for, and responding to coronavirus.

c) Providing principals and other school leaders with resources to address the needs of their individual schools.
d) Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of those students.
e) Develop and implementing procedures and systems to improve preparedness and response efforts.
f) Training and professional development for staff pertaining to sanitation and minimizing the spread of infectious diseases.
g) Purchasing sanitation and cleaning supplies of school district facilities, including building operated by such agency.
h) Planning for, coordinating and implementing activities during long term closures, including providing meals to eligible students, technology for online learning to all students, guidance for carrying requirements under IDEA, and ensuring that other services can continue consistent with applicable Federal, State, and local requirements.
i) Purchasing educational technology (including hardware, software and connectivity) for students to aid in regular and substantive interaction between students and their classroom instructors (including low-income and disabled students), which may include assistive technology or adaptive equipment.
j) Providing mental health services and supports.
k) Planning and implementing summer learning and supplemental afterschool program activities, including providing classroom instruction or online learning during the summer months, and addressing the needs of low-income students, children with disabilities, English learners, migrant students, students experiencing homelessness, and children in foster care.
l) Addressing learning loss among students, including low-income students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and children and youth in foster care, of the local educational agency, including by—
    (A) Administering and using high-quality assessments that are valid and reliable, to accurately assess students' academic progress and assist educators in meeting students' academic needs, including through differentiating instruction.
    (B) Implementing evidence-based activities to meet the comprehensive needs of students.
    (C) Providing information and assistance to parents and families on how they can effectively support students, including in a distance learning environment.
    (D) Tracking student attendance and improving student engagement in distance education.

m) School facility repairs and improvements to enable operation of schools to reduce risk of virus transmission and exposure to environmental health hazards, and to support student health needs.
n) Inspection, testing, maintenance, repair, replacement, and upgrade projects to improve the indoor air quality in school facilities, including mechanical and non-mechanical heating, ventilation, and air conditioning systems, filtering, purification and other air cleaning, fans, control systems, and window and door repair and replacement.
o) Developing strategies and implementing public health protocols including, to the greatest extent practicable, policies in line with guidance from the Centers for Disease Control and Prevention for the reopening and operation of school facilities to effectively maintain the health and safety of students, educators, and other staff.
a) Other activities deemed necessary to maintain the operation and of and continuity of services in public school unit, and continuing to employ existing staff of the public school unit.

4) Any funds that have not been approved to be allotted within 1 year of receiving the funds from the Federal government, must be returned to the Federal government for reallocation to other States.

5) Units that receive funds are required to continue to pay their employees and contractors to the greatest extent practicable during the period of disruptions or closures related to coronavirus.
6) A public school unit receiving funds must develop and make publicly available on the unit's website, not later than 30 days after receiving the funds, a plan for the safe return to in-person instruction and continuity of services.
7) PSU Maintenance of Equity for High-Poverty Schools – As a condition of receiving ESSER III funds, a public school unit shall not, in fiscal years 2022 or 2023:
   a) Reduce per-pupil funding (from combined State and local funding) for any high-poverty schools served by the PSU by an amount that exceeds the total reduction in funding for the PSU (from combined State and local funding) for all schools served by the unit in such fiscal year, divided by the number of children enrolled in all schools served by the unit in such fiscal year; or
   b) Reduce per-pupil, full-time equivalent staff in any high-poverty school by an amount that exceeds the total reduction in full-time equivalent staff in all schools served by such unit divided by the number of children enrolled in all schools served by the unit in such fiscal year.

   A "high-poverty school" is a school that is in the highest quartile of schools served by a PSU based on the percentage of economically disadvantaged students served, as determined by the State. In making a determination regarding the definition of economically disadvantaged students for the purposes of identifying a high-poverty school, the State must use a measure of poverty established by the Secretary of Education and apply such measure consistently to all schools in the State.

c) PSU Maintenance of Equity for High-Poverty Schools requirements shall not apply to school districts with less than 1,000 students; charter schools, regional schools, lab schools, or innovative schools; or a public school unit that demonstrates an exceptional or uncontrollable circumstances, such as unpredictable changes in student enrollment or precipitous decline in financial resources, as determined by the US Secretary of Education.

8) The State Board of Education may reserve up to 10% of the total Supplemental K-12 Emergency Relief Fund.
   a) The State Board of Education may not reserve more than 1/2 of 1 percent of the Supplemental K-12 Emergency Relief Fund for administrative costs undertaken by the State Board of Education and the Department of Public Instruction.
   b) The State Board of Education must reserve not less than 5% of the State's ESSER III award to carry out, directly or through grants or contracts, activities to address learning loss by supporting the implementation of evidence-based interventions, such as summer learning or summer enrichment, extended day, comprehensive afterschool programs, or extended school year programs, and ensure that such programs respond to students' academic, social, and emotional needs and address the disproportionate impact of the coronavirus pandemic on disadvantaged students.
   c) The State Board of Education must reserve not less than 1% of the State's ESSER III award to carry out, directly or through grants or contracts, the implementation of evidence-based comprehensive afterschool programs, and ensure such programs respond to students' academic, social, and emotional needs and address the disproportionate impact of the coronavirus pandemic on disadvantaged students.
   d) The State Board of Education must reserve not less than 1% of the State's ESSER III award to carry out, directly or through grants or contracts the implementation of evidence-based summer programs, and ensure such programs respond to students' academic, social, and emotional needs and address the disproportionate impact of the coronavirus pandemic on disadvantaged students.

Approved April 8, 2021

ARP – ESSER III – PUBLIC SCHOOL UNIT SUPPLEMENTAL FUNDING (PRC 182)

PROGRAM REPORT CODE:	182
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-182-XXX
CFDA #:	84.425U

TYPE:	Dollars
TERM:	Funds are available through September 30, 2024
PURPOSE:	To provide supplemental funds to public school units receiving ESSER III (PRC181) funds. These funds are intended to assist eligible public school units during the novel coronavirus pandemic.
ELIGIBILITY:	All LEAs, charter, lab, regional and innovative schools.
FORMULA:	For PSUs that did not receive Title I, Part A funds in 2020-21, an allocation of $400 per 2020-21 funded ADM. For PSUs that received Title I, Part A in 2020-21, an allocation of $400 per 2020-21 funded ADM, less the 2021 planning allotment in PRC181. Any PSUs with a PRC 181 planning allotment greater than $400 per 2020-21 funded ADM shall not receive additional funding nor a reduction.

SPECIAL PROVISION:

1) Funds shall not be transferred in to or out of this allotment category.
2) The funding source for the allotment is the State Board of Education reserve from the American Rescue Plan Act (ARP) Elementary and Secondary School Emergency Relief Fund – ESSER III.
3) Units may use program funds for:
    a. Any activity authorized by the Elementary and Secondary Act of 1965 (ESEA), the Individuals with Disabilities Education Act (IDEA), the Adult Education and Family Literacy Act, the Carl D. Perkins Career and Technical Education Act of 2006, or Title VII, Subtitle B of the McKinney-Vento Homeless Assistance Act.
    b. Coordination of preparedness and response efforts of LEAs or charter schools with State or local public health departments and other relevant agencies to improve coordinated responses in preventing, preparing for, and responding to coronavirus.
    c. Providing principals and other school leaders with resources to address the needs of their individual schools.

d. Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of those students.
e. Developing and implementing procedures and systems to improve preparedness and response efforts.
f. Training and professional development for staff pertaining to sanitation and minimizing the spread of infectious diseases.
g. Purchasing sanitation and cleaning supplies for LEA or charter school facilities.
h. Planning for and coordinating long term closures, including for how to provide meals to eligible students, provide technology for online learning to all students, provide guidance for carrying requirements under IDEA, and ensuring that other services can continue consistent with applicable Federal, State, and local requirements.
i. Purchasing educational technology (including hardware, software and connectivity) for students to aid in regular and substantive interaction between students and their classroom instructors (including low-income and disabled students), which may include assistive technology or adaptive equipment.
j. Providing mental health services and supports.
k. Planning and implementing summer learning and supplemental afterschool program activities, including providing classroom instruction or online learning during the summer months, and addressing the needs of low-income students, children with disabilities, English learners, migrant students, students experiencing homelessness, and children in foster care.
l. Addressing learning loss among students, including low-income students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and children and youth in foster care, of the local educational agency, including by—
    i. Administering and using high-quality assessments that are valid and reliable, to accurately assess students' academic progress and assist educators in meeting students' academic needs, including through differentiating instruction.
    ii. Implementing evidence-based activities to meet the comprehensive needs of students.
    iii. Providing information and assistance to parents and families on how they can effectively support students, including in a distance learning environment.
    iv. Tracking student attendance and improving student engagement in distance education.
m. School facility repairs and improvements to enable operation of schools to reduce risk of virus transmission and exposure to environmental health hazards, and to support student health needs.
n. Inspection, testing, maintenance, repair, replacement, and upgrade projects to improve the indoor air quality in school facilities, including mechanical and non-mechanical heating, ventilation, and air conditioning systems, filtering,

purification and other air cleaning, fans, control systems, and window and door repair and replacement.

o. Other activities deemed necessary to maintain the operation and of and continuity of services in public school unit, and continuing to employ existing staff of the public school unit.

4) No adjustments shall be made for average daily membership in subsequent school years.
5) Any public school unit without an approved application by February 28, 2022 will forfeit its allotment under this PRC. Any unallotted funds as of March 10, 2022 will be reallotted through ESSER III (PRC 181) to public school units with approved applications.

Approved September 2, 2021

ARP – ESSER III – HOMELESS I (PRC 183)

PROGRAM REPORT CODE:	183
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-183-XXX
CFDA #:	84.425W

TYPE:	Dollars
TERM:	Funds are available until September 30, 2024
PURPOSE:	Funds are provided to address the urgent needs of homeless children and youth stemming from the impacts of the novel coronavirus pandemic – including academic, social, emotional, and mental health needs.
ELIGIBILITY:	LEAs including charter schools which have identified 50 or more homeless students, may submit an application. LEAs including charter schools, which have identified less than 50 homeless students, may submit an application as a consortium with LEAs whose total number of identified homeless students is greater than 50. **State Board of Education approval is required before allocation of funds**.
FORMULA:	N/A

SPECIAL PROVISION:

1) Of the funds received by NC DPI, up to 25% may be reserved for State activities; a minimum of 75% of the funds must be distributed through subawards to eligible entities.
2) Funds may only be used for expenditures that are allowable under the McKinney-Vento Homeless Education Program (PRC 026).
3) When planning for the use of funds, eligible entities should consider any extraordinary or emergency assistance needed to enable homeless children and youth to attend school and participate fully in school activities in light of the COVID-19 pandemic's impacts.

Approved June 3, 2021

ARP – ESSER III – HOMELESS II (PRC 184)

PROGRAM REPORT CODE:	184
UNIFORM CHART OF ACCOUNTS CODE:	3-XXXX-184-XXX
CFDA #:	84.425W

TYPE:	Dollars
TERM:	Funds are available until September 30, 2024
PURPOSE:	Funds are provided to address the urgent needs of homeless children and youth stemming from the impacts of the novel coronavirus pandemic – including academic, social, emotional, and mental health needs.
ELIGIBILITY:	Public school units eligible to receive funds are all LEAs, charter schools, lab schools, regional schools, and the Innovative School District.
FORMULA:	50% of program funds will be allotted based in proportion to the amount of Title I, Part A (PRC 050) funds received by each LEA/charter school/regional school/lab school/ISD in the most recent fiscal year. 50% of program funds will be allotted in proportion to the number of homeless children and youth identified by each eligible public school unit relative to all public school units in the State, using the greater of the number of homeless children and youth identified by each public school unit in either the 2018-2019 or 2019-2020 school year.

SPECIAL PROVISION:

1) To receive funding under the ESSER III – ARP Homeless II program, an eligible public school unit must have an allotment of $5,000 based on both elements of the program formula combined. Eligible public school units with an allotment of less than $5,000 may join a consortia with other public school units in which the sum of its members allotments is greater than $5,000.
2) Of the funds received by NC DPI, a minimum of 75% of the funds must be distributed through subawards to eligible public school units.
3) Funds may only be used for expenditures that are allowable under the McKinney-Vento Homeless Education Program (PRC 026).
4) When applying for the funds, eligible public school units should consider any extraordinary or emergency assistance needed to enable homeless children and youth to attend school and participate fully in school activities in light of the COVID-19 pandemic's impacts.

Approved September 2, 2021

ESSER III – ARP IDEA 611 GRANTS TO STATES (PRC 185)

PROGRAM REPORT CODE:	185
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-185-XXX
CFDA #:	84.027X

TYPE:	Dollars
TERM:	Up to 27 Months
PURPOSE:	Provides funding to initiate, expand, and continue special education and related services to children with disabilities ages 3 through 21.
ELIGIBILITY:	Each LEA/charter school/state operated program must establish their eligibility under section 613 of the IDEA. A plan must be submitted that provides assurances that the LEA/charter school/state-operated program meets each of the conditions in section 613(a) of the IDEA. Funds are available to provide services for eligible children with disabilities currently served and who subsequently enroll or are identified during the year, or to conduct child find activities if no children with disabilities are currently served by the LEA/charter school/state-operated program. **Grant Application with established eligibility must be approved prior to the LEA/charter schools/state-operated program receiving the allotment.**
FORMULA:	Funds will be distributed as part of the allotment process for IDEA – Section 611 Grants to States. For details on the formula, see the Allotment Policy for IDEA – Section 611 Grants to States (PRC 060).

SPECIAL PROVISION:

1) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools/state operated programs for 27 months, provided a timely project application is submitted each year.

2) Each LEA/charter school/state operated program must maintain documentation that it has spent the same minimum amount (on the average) for the education of its children with

disabilities as the LEA/charter school/state operated program has spent for all the children in the LEA/charter school/state operated program taken as a whole before any IDEA VI-B funds are used for the education of children with disabilities.

3) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget based on the results of a Hearing

4) Reallocation of funds – Any funding not allotted will be reallocated in accordance with the program formula.

5) The Individuals with Disabilities Education Improvement Act (2004) IDEA requires LEAs /Charters determined to have significant disproportionality as described under 300.646(b) (2) to reserve the maximum amount of funds (15%) under section 613(f) of the Act to provide comprehensive coordinated early intervening services to serve children in the LEA/Charter, particularly, but not exclusively, children in those groups that are significantly disproportionate under 300.646(a) of the IDEA regulation. The IDEA also requires the LEA to review and, if appropriate, revise policies, practices, and procedures as describe under 300.646(b)(1) of the IDEA regulations.

6) LEAs may request permission for "permissive use" of up to and including 15% of their total IDEA 2004 allocation (PRC 049 and 060) for Coordinated Early Intervening Services from the Exceptional Children Division. The amounts approved by the Exceptional Children Division are subtracted from the LEAs PRC 060 current allotment by the School Business Services – School Allotments Section before funds are allocated.

7) During the first 60 school days, if a child with disabilities who was included in the prior fiscal year December 1 child counts leaves or returns to an LEA from a charter school or leaves or returns to a charter school from a charter school, the share of funds allocated for that child will be adjusted. In order to initiate the transfer of funds, the LEA or charter school receiving the child must enter and submit all children received in the NC DPI Child Count Transfer System by the 10th of the month after the child transferred.

Approved August 5, 2021

ESSER III – ARP IDEA PRESCHOOL GRANTS (PRC 186)

PROGRAM REPORT CODE:	186
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-186-XXX
CFDA #:	84.173X

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	Provides funds to initiate and expand preschool special education and related services programs for children with disabilities ages 3-5.
ELIGIBILITY:	Each LEA that has established its eligibility under section 619 of IDEA is eligible for funds to provide services for eligible children with disabilities ages 3-5. A grant application must be submitted and approved prior to the LEA receiving the allotment. **A grant application must be submitted and approved prior to the LEA receiving the allotment.**
FORMULA:	Funds will be distributed as part of the allotment process for IDEA – Section 619 Preschool Grants. For details on the formula, see the Allotment Policy for IDEA – Section 619 Preschool Grants to States (PRC 060).

SPECIAL PROVISION:

1) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months provided a project is submitted each year.
2) Funds must be used to supplement state, local and other federal funds and not to supplant those funds.
3) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's budget.
4) Reallocation of funds – Any funding not allotted will reallocated to PSUs with approved applications in accordance with the program formula.
5) Positions paid with these funds are subject to time and effort requirements.

Approved August 5, 2021

ESSER III – ARP IDEA COORDINATED EARLY INTERVENING SERVICES (CEIS) (PRC 187)

PROGRAM REPORT CODE:	187
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-187-XXX
CFDA #:	84.027X/84.173X

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	CEIS is used to develop and implement coordinated, early intervening services. IDEA federal regulations mandates 15% of an LEA, charter school, or state-operated program's total annual ARP IDEA allocation (PRCs 185 and 186) is set-aside for any LEA, charter school or state-operated program with significant disproportionality based on race and ethnicity with respect to the identification of children with disabilities including particular impairment; the placement in particular educational settings of children; and the incidence, duration and types of disciplinary actions, including suspension and expulsions. This legislation also requires states to monitor the expenditure of CEIS funds. Services provided for nondisabled students for CEIS should be purchased through Program Report Code (PRC) 187.
ELIGIBILITY:	All LEAs, charter schools, state-operated programs mandated to use 15% of their total ARP IDEA funds (PRC 185 and 186) and LEAs, charter schools and state-operated programs electing to use up to and including 15% of their total ARP IDEA funds (PRCs 185 and 186) permissively for CEIS are eligible. For permissive use of funds, the funds may be used for students in kindergarten through grade 12 (with particular emphasis on students in kindergarten through grade 3) who have not been identified as needing special education or related services.
FORMULA:	The formula for mandated CEIS is 15% of the LEA, charter school or state-operated program's current year total ARP IDEA allotments (PRCs 185 and 186) transferred to PRC 187. The formula for permissive CEIS is up to and including 15% of the LEA, charter school or state-operated program's current year total IDEA allotment (PRCs 185 and 186) transferred to PRC 187

SPECIAL PROVISION:

1) For non-mandatory CEIS LEAs, funds can be reverted (between July 1 and January 15 of the initial fiscal year of the allocation) from PRC 187 to PRC 185 and/or PRC 186. Funds may only be expended according to the approved budget.
2) ARP IDEA expenditures for CEIS should be from PRC 187.
3) CEIS expenditures must be based on scientifically research based programs selected by the LEA, charter school or state-operated program for students in general education at risk of placement in special education. The 15% provided for these programs is federally mandated for any LEA, charter school or state-operated with significant disproportionality by disability, or race-ethnicity, but this does not prohibit other LEAs, charter schools or state-operated programs from using up to and including 15% of their total ARP IDEA funds for Coordinated Early Intervening Services.
4) Funds are specific and all unused funds not encumbered by June 30th are carried over to the next year.
5) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months.
6) The 15% of total ARP IDEA funds for Coordinated Early Intervening Services follows the same liquidation period as the remaining ARP IDEA funds allotted through PRCs 185 and 186.
7) Exceptional Children Division will, after review of necessary data, assign technical assistance to LEAs not following state and federal guidelines regarding the use of these federal funds.
8) Positions paid with these funds are subject to time and effort requirements.

Approved August 5, 2021

SMALL, RURAL SCHOOLS ACHIEVEMENT PROGRAM (SRSA) FLEXIBILITY – TITLE II, PART A (PRC 091)

PROGRAM REPORT CODE:	091
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-091-XXX
CFDA #:	84.367A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	To give small, rural school districts more flexibility so that allocations under the Title II, Part A program (PRC 103) can be utilized to more effectively raise students' academic achievement.
ELIGIBILITY:	An LEA/charter school is eligible to utilize SRSA Flexibility if: a) The total number of students in average daily membership at all of the schools served by the local education agency is fewer than 600; or b) Each county in which a school served by the local educational agency is located has a total population density of fewer than 10 persons per square mile; and c) All of the schools served by the local educational agency are designated with a locale code of 41, 42, or 43, as determined by the U.S. Secretary of Education. **Eligibility is subject to final determination by the U.S. Department of Education.** **A Title II, Part A (PRC 103) application must be approved by NC DPI prior to the LEA or charter school receiving the allotment**.
FORMULA:	Public School Units that receive funding under the Small, Rural Schools Achievement (SRSA) Program from the U.S. Department of Education may elect to have its Title II, Part A (PRC 103) funds allotted through PRC 091 in order to take advantage of SRSA Flexibility under the Elementary and Secondary Education Act (ESEA).

SPECIAL PROVISION:

1) An LEA/charter school that is eligible for SRSA Flexibility must notify NC DPI's Federal Programs Monitoring and Support Division of its intent to utilize such flexibility during the annual consolidated application process. Notification of intent to utilize SRSA Flexibility must be made each fiscal year in which an LEA/charter school wishes to take advantage of such flexibility.
2) Through SRSA Flexibility, Title II, Part A funds may be used for allowable expenditures under any of the following programs:
   a) Title I, Part A -- Improving Basic Programs (PRC 050)
   b) Title II, Part A – Supporting Effective Instruction (PRC 103)
   c) Title II, Part A – English Language Acquisition (PRC 103)
   d) Title III, Part A – English Language Acquisition (Significant Increase) (PRC 111)
   e) Title IV, Part A – Student Support and Academic Enrichment Grant (PRC 108)
   f) Title IV, Part B -- 21st Century Community Learning Centers (PRC 110)
3) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
4) If an LEA or charter school receives an SRSA Program grant from the U.S. Department of Education in one fiscal year and not the next, carryover funds will be unallotted under PRC 091 and allotted back into PRC 103. The LEA or Charter must receive approval of their carryover budget in the original PRC to be able to spend the carryover funds.

Approved September 2, 2021

SMALL, RURAL SCHOOLS ACHIEVEMENT PROGRAM (SRSA) FLEXIBILITY – TITLE IV, PART A (PRC 116)

PROGRAM REPORT CODE:	116
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-116-XXX
CFDA #:	84.424A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	To give small, rural school districts more flexibility so that allocations under the Title IV, Part A program (PRC 108) can be utilized to more effectively raise students' academic achievement.
ELIGIBILITY:	An LEA/charter school is eligible to utilize SRSA Flexibility if: d) The total number of students in average daily membership at all of the schools served by the local education agency is fewer than 600; or e) Each county in which a school served by the local educational agency is located has a total population density of fewer than 10 persons per square mile; and f) All of the schools served by the local educational agency are designated with a locale code of 41, 42, or 43, as determined by the U.S. Secretary of Education. **Eligibility is subject to final determination by the U.S. Department of Education.** **A Title IV, Part A (PRC 108) application must be approved by NC DPI prior to the LEA or charter school receiving the allotment**.
FORMULA:	Public School Units that receive funding under the Small, Rural Schools Achievement (SRSA) Program from the U.S. Department of Education may elect to have its Title IV, Part A (PRC 108) funds allotted through PRC TBD in order to take advantage of SRSA Flexibility under Elementary and Secondary Education Act (ESEA).

SPECIAL PROVISION:

5) An LEA/charter school that is eligible for SRSA Flexibility must notify NC DPI's Federal Programs Monitoring and Support Division of its intent to utilize such flexibility during the

annual consolidated application process. Notification of intent to utilize SRSA Flexibility must be made each fiscal year in which an LEA/charter school wishes to take advantage of such flexibility.

6) Through SRSA Flexibility, Title IV, Part A funds may be used for allowable expenditures under any of the following programs:
   a) Title I, Part A -- Improving Basic Programs (PRC 050)
   b) Title II, Part A – Supporting Effective Instruction (PRC 103)
   c) Title II, Part A – English Language Acquisition (PRC 103)
   d) Title III, Part A – English Language Acquisition (Significant Increase) (PRC 111)
   e) Title IV, Part A – Student Support and Academic Enrichment Grant (PRC 108)
   f) Title IV, Part B -- 21st Century Community Learning Centers (PRC 110)
7) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
8) If an LEA or charter school receives an SRSA Program grant from the U.S. Department of Education in one fiscal year and not the next, carryover funds will be unallotted under PRC TBD and allotted back into PRC 108. The LEA or Charter must receive approval of their carryover budget in the original PRC to be able to spend the carryover funds.

Approved September 2, 2021

ALLOTMENT POLICY MANUAL

Federal Grant Programs

PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS



ADDRESS QUESTIONS RELATED TO POLICIES TO THE FOLLOWING:

Financial

- Division of School Business, School Allotments Section
    - Allotments (984) 236-2450

Program/Budgeting

Contact information for federal grants can be found on the Financial and Business Services website at

https://www.dpi.nc.gov/districts-schools/district-operations/financial-and-business-services/allotments-funding-public-school-units

Federal Formulas

ESEA TITLE I, PART A – BASIC GRANT (PRC 050) 6
ESEA TITLE I, PART A – CONCENTRATION GRANTS (PRC 050) 9
ESEA TITLE I, PART A - EDUCATION FINANCE INCENTIVE GRANT (PRC 050) 12
ESEA TITLE I, PART A - TARGETED GRANTS (PRC 050) 14
ESEA TITLE I, PART A - SCHOOL IMPROVEMENT (FORMULA) – 1003(a) FUNDS (PRC 105) ... 17
ESEA TITLE I, PART A – SCHOOL IMPROVEMENT (COMPETITIVE) – 1003(a) FUNDS 19
ESEA TITLE I - SCHOOL IMPROVEMENT – 1003(g) FUNDS (PRC 117) 21
ESEA TITLE I, Part C - MIGRANT EDUCATION PROGRAM (PRC 051) 23
ESEA TITLE I, Part D - NEGLECTED & DELINQUENT (STATE AGENCY PROGRAMS) (PRC 047) 25
ESEA TITLE II, PART A – SUPPORTING EFFECTIVE INSTRUCTION (PRC 103) 26
ESEA TITLE III, PART A – ENGLISH LANGUAGE ACQUISITION (PRC 104) 28
ESEA TITLE III, PART A – ENGLISH LANGUAGE ACQUISITION (SIGNIFICANT INCREASE) (PRC 111) 30
ESEA TITLE IV, PART A – STUDENT SUPPORT AND ACADEMIC ENRICHMENT GRANTS (PRC 108) 32
TITLE IV, PART B - 21ST CENTURY COMMUNITY LEARNING CENTERS (PRC 110) 34
ESEA TITLE IV, PART C -- NC ADVANCING CHARTER COLLABORATION AND EXCELLENCE FOR STUDENT SUCCESS (ACCESS) SUBGRANT PROGRAM (PRC 160) 36
ESEA TITLE V, PART B – RURAL AND LOW-INCOME SCHOOLS (RLIS) (PRC 109) 37
MATH AND SCIENCE PARTNERSHIP (PRC 112) 39
MCKINNEY – VENTO HOMELESS ASSISTANCE ACT (PRC 026) 40
IDEA – SECTION 611 GRANTS TO STATES (PRC 060) 43
IDEA - CHILDREN WITH DISABILITIES – RISK POOL (PRC 114) 46
IDEA – COORDINATED EARLY INTERVENING SERVICES (CEIS) (PRC 070) 48
IDEA– SECTION 619 PRESCHOOL GRANTS (PRC 049) 50
IDEA SPECIAL NEEDS TARGETED ASSISTANCE (PRC 118) 52
IDEA PRESCHOOL TARGETED ASSISTANCE (PRC 119) 53
IDEA– SPECIAL EDUCATION STATE IMPROVEMENT GRANT (PRC 082) 54
CAREER AND TECHNICAL EDUCATION - PROGRAM IMPROVEMENT (PRC 017) 57
PROJECT SERV – HURRICANE FLORENCE RECOVERY (PRC 161) 60
HURRICANE RELIEF – HURRICANE DORIAN RECOVERY (PRC 162) 62
SCHOOL MENTAL HEALTH SUPPORT (PRC 102) 64
SEXUAL RISK AVOIDANCE EDUCATION PROGRAM (SRAE) (PRC 101) 65
CHILD NUTRITION EQUIPMENT (NSLP) (PRC 053) 66

Elementary and Secondary Education Programs

PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS



ESEA TITLE I, PART A – BASIC GRANT (PRC 050)

PROGRAM REPORT CODE:	050
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-050-XXX
CFDA #:	84.010A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	Provides funding to supplement and provide special help to educationally deprived children from low-income families.
ELIGIBILITY:	Eligibility is as follows:

Each LEA/charter school is entitled to funding based on the sum of the children (formula children) in the following populations:

1) Number of children in poverty, ages five through seventeen, as identified in the most current census data.
2) Number of neglected children.
3) Number of children in foster homes.

Since there is no census poverty data available for charter schools, the following method for converting the charter school free lunch count to a poverty count has been authorized by the U.S. Department of Education.

1) A ratio is developed which defines the proportion of the total state free lunch count to the state total census poverty count.
2) The above ratio is then applied to the individual charter school free lunch count to establish a census poverty count for that charter school.

An LEA/charter school is eligible for "Basic" funding, if the number of formula children counted for allocation purposes is at least 10 and greater than two percent of the LEA's/charter school's total population, ages 5 to 17 years.

In addition to the allocation based on the formula children identified above, an LEA/charter school is entitled to funding based on the number of delinquent children.

Application must be approved prior to the LEA receiving the allotment.

FORMULA:	Formula is as follows:

The U.S. Department of Education determines the allotment of funds to each LEA. Funds for Title I Basic grant-eligible charter schools are distributed as follows:

1) A ratio is developed which defines the proportion of formula children in all eligible charter schools to all formula children in the state.
2) The total state allocation is then reduced by the percentage determined in step 1.
3) The amount of the reduction is distributed to eligible charter schools on the basis of their individual proportion of formula children enrolled.
4) The allocation to each LEA/charter school is then reduced by the reserve for school improvement and state administration.

HOLD HARMLESS:

1) An LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2) An LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3) An LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.

SPECIAL PROVISION:

1) Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. **Carryover funds are subject to applicable carryover percentage limitations.**
2) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.
3) If an LEA/charter school receives an allocation greater than $50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved.

4) An LEA may request in writing to the Section Chief for Federal Program Monitoring, Federal Program Monitoring and Support Division for a waiver of the carryover percentage to be granted.
    a) Absent approved flexibility from the U.S. Department of Education, DPI may only grant a waiver once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
    b) If flexibility is approved by the U.S. Department of Education, DPI may grant a waiver more than once every three years, provided that the request is reasonable and necessary or if supplemental appropriations become available.
5) In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
6) An LEA/charter school may request in writing to the Section Chief of Federal Program Monitoring, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
7) If an LEA or charter elects to waive their allotment or if an application cannot be approved by the application deadline, the funding amount for which that LEA or charter was eligible will be reallocated as follows:
    a) Eligibility Guidelines:
        i) The LEA/Charter must be eligible for and approved to receive Title I funds in the current year.
    b) Re-Allocation Formula:
        i) Additional funds available will be allocated to LEAs/Charter schools approved to receive Title I funds for the current year based on a percent to total of their current year allocation.
8) No funding may be utilized beyond the expiration of the period of availability of the specific award from which funds were allotted.

ESEA TITLE I, PART A – CONCENTRATION GRANTS (PRC 050)

PROGRAM REPORT CODE:	050
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-050-XXX
CFDA #:	84.010A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	Provides funding to supplement LEAs with especially high concentrations of children from low-income families.
ELIGIBILITY:	To be eligible for "Concentration" funding, the number of formula children in an LEA/charter school must exceed six thousand, five hundred (6,500) OR exceed fifteen percent of the LEA's/charter school's total population, ages 5 to 17 years. **Application must be approved prior to the LEA/charter school receiving the allotment.** See ESEA Title I – Basic Eligibility for discussion on "formula" children.
FORMULA:	See Title I Basic.

HOLD HARMLESS:

1) An LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2) An LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3) An LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

If sufficient funds are appropriated, the amounts shall be paid to all LEAs that receive Concentration grant funds for the preceding fiscal year, regardless of whether the LEA meets minimum eligibility criteria for that fiscal year. LEA not meeting eligibility requirements for Title I – Concentration shall be paid its hold harmless amount for (4) four consecutive years.

SPECIAL PROVISION:

1) Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. **Carryover funds are subject to applicable carryover percentage limitations.**

2) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.

3) If an LEA/charter school receives an allocation greater than $50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved.

4) An LEA may request in writing to the Section Chief for Federal Program Monitoring, Federal Program Monitoring and Support Division for a waiver of the carryover percentage to be granted.

    a) Absent approved flexibility from the U.S. Department of Education, DPI may only grant a waiver once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.

    b) If flexibility is approved by the U.S. Department of Education, DPI may grant a waiver more than once every three years, provided that the request is reasonable and necessary or if supplemental appropriations become available.

5) In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.

6) An LEA/charter school may request in writing to the Section Chief of Federal Program Monitoring, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.

7) If an LEA or charter elects to waive their allotment or if an application cannot be approved by the application deadline, the funding amount for which that LEA or charter was eligible will be reallocated as follows:

    a) Eligibility Guidelines:

        i) The LEA/Charter must be eligible for and approved to receive Title I funds in the current year.

b) Re-Allocation Formula:

    i) Additional funds available will be allocated to LEAs/Charter schools approved to receive Title I funds for the current year based on a percent to total of their current year allocation.

8) No funding may be utilized beyond the expiration of the period of availability of the specific award from which funds were allotted.

ESEA TITLE I, PART A - EDUCATION FINANCE INCENTIVE GRANT (PRC 050)

PROGRAM REPORT CODE:	050
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-050-XXX
CFDA #:	84.010A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	Provides funding to supplement LEAs/charter schools with an especially high concentration of children from low-income families.
ELIGIBILITY:	An LEA/charter school is eligible for "Education Finance Incentive" funding if the number of formula children is at least 10 and at least 5% of the LEA's/charter school's total population ages 5 to 17 years. **Application must be approved prior to the LEA/charter school receiving the allotment.**
FORMULA:	Funds will be distributed to LEAs/charter schools based on the weighted child count. The U.S. Department of Education determines each LEA's allotment. Funds are allotted as part of the Title I Basic Grant.

HOLD HARMLESS:

1) An LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2) An LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3) An LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.

SPECIAL PROVISION:

1) Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by

allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. **Carryover funds are subject to applicable carryover percentage limitations.**

2) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.
3) If an LEA/charter school receives an allocation greater than $50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved.
4) An LEA may request in writing to the Section Chief for Federal Program Monitoring, Federal Program Monitoring and Support Division for a waiver of the carryover percentage to be granted.
    a) Absent approved flexibility from the U.S. Department of Education, DPI may only grant a waiver once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
    b) If flexibility is approved by the U.S. Department of Education, DPI may grant a waiver more than once every three years, provided that the request is reasonable and necessary or if supplemental appropriations become available.
5) In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
6) An LEA/charter school may request in writing to the Section Chief of Federal Program Monitoring, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
7) If an LEA or charter elects to waive their allotment or if an application cannot be approved by the application deadline, the funding amount for which that LEA or charter was eligible will be reallocated as follows:
    a) Eligibility Guidelines:
        i) The LEA/Charter must be eligible for and approved to receive Title I funds in the current year.
    b) Re-Allocation Formula:
        i) Additional funds available will be allocated to LEAs/Charter schools approved to receive Title I funds for the current year based on a percent to total of their current year allocation.
8) No funding may be utilized beyond the expiration of the period of availability of the specific award from which funds were allotted.

ESEA TITLE I, PART A - TARGETED GRANTS (PRC 050)

PROGRAM REPORT CODE:	050
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-050-XXX
CFDA #:	84.010A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	Provides funding to supplement LEAs with an especially high concentration of children from low-income families.
ELIGIBILITY:	An LEA/charter school is eligible for "Targeted funding", if the number of formula children is at least 10 and at least 5% of the LEA's/charter school's total population ages 5 to 17 years. **Application must be approved prior to the LEA/charter school receiving the allotment**
FORMULA:	Funds will be distributed to LEAs/charter schools based on the weighted child count. The U.S. Department of Education determines the allotment of funds to each LEA. Funds are allotted as part of the Title I Basic Grant.

HOLD HARMLESS:

1) An LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2) An LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3) An LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.

SPECIAL PROVISION:

1) Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. **Carryover funds are subject to applicable carryover percentage limitations.**

2) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.

3) If an LEA/charter school receives an allocation greater than $50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved.

4) An LEA may request in writing to the Section Chief for Federal Program Monitoring, Federal Program Monitoring and Support Division for a waiver of the carryover percentage to be granted.

    a) Absent approved flexibility from the U.S. Department of Education, DPI may only grant a waiver once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.

    b) If flexibility is approved by the U.S. Department of Education, DPI may grant a waiver more than once every three years, provided that the request is reasonable and necessary or if supplemental appropriations become available.

5) In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.

6) An LEA/charter school may request in writing to the Section Chief of Federal Program Monitoring, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.

7) If an LEA or charter elects to waive their allotment or if an application cannot be approved by the application deadline, the funding amount for which that LEA or charter was eligible will be reallocated as follows:

    a) Eligibility Guidelines:

        i) The LEA/Charter must be eligible for and approved to receive Title I funds in the current year.

    b) Re-Allocation Formula:

        i) Additional funds available will be allocated to LEAs/Charter schools approved to receive Title I funds for the current year based on a percent to total of their current year allocation.

8) No funding may be utilized beyond the expiration of the period of availability of the specific award from which funds were allotted.

ESEA TITLE I, PART A - SCHOOL IMPROVEMENT (FORMULA) – 1003(A) FUNDS (PRC 105)

PROGRAM REPORT CODE:	105
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-105-XXX
CFDA #:	84.010A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	To provide assistance for schools, which have been identified as schools in need of Comprehensive Support and Improvement (CSI) under the State's federally approved plan for Every Student Succeeds Act (ESSA)
ELIGIBILITY:	To be eligible to receive these funds, a public school unit (PSU), including the Innovative School District (ISD), charter, lab, and regional schools, must have one or more schools identified under the federally approved definition for Comprehensive Support and Improvement-Low Performance (CSI-LP) or Comprehensive Support and Improvement – Graduation Rate (CSI-LG). **Applications must be approved prior to the PSU receiving the allotment.**
FORMULA:	Funds will be allotted based on approval of an application for School Improvement (PRC 105) funds submitted by the LEA or charter school on behalf of schools participating as CSI schools. Beginning with the 2021-2022 school year, each identified public school within an LEA or charter school will receive a base amount of $75,000. The remainder of the funds will be allocated on a per pupil basis and will be calculated using the prior year best of 1 of 2 Actual ADM as follows:

Designation	**ADM Range**
CSI-LP	Total ADM of School
CSI-LG	Total ADM Grades 9-12

School Type	**Per Child Amount**
Innovative School District	2.0 x ADM
LEA	1.0 x ADM
Charter School	1.0 X ADM

SPECIAL PROVISION:

1) Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months. Funds are potentially available to PSUs for 27 months provided there are schools identified as CSI schools and a budget is submitted each year.
2) Program Administrators will notify the School Allotment Section of any LEA/charter school that has not submitted an application for funding after 90 days from the date the application is made available. After this notification, funds carried forward will be reduced from the LEAs/charter school's budget.
3) Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
4) Unallotted funds will be reallocated to participating LEAs and charter schools in accordance with the formula outlined above.
5) If one or more schools exit CSI status, the PSU may reallocate unexpended carryover funds, based on need, to any other schools in the PSU that remain in CSI status.
6) If all schools in the PSU exit CSI status, unexpended fund balances as of September 30th will revert to the State for reallocation to PSUs in accordance with Section 1003 and Section 1126 of the Elementary and Secondary Education Act.

ESEA TITLE I, PART A – SCHOOL IMPROVEMENT (COMPETITIVE) – 1003(A) FUNDS

PROGRAM REPORT CODE: 115
UNIFORM CHAT OF ACCOUNTS CODE: XXXX-115-XXX
CFDA#: 84.010A
PR/AWARD #:

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To carry out the State Educational Agency's statewide system of technical assistance and support for Local Education Agencies / Charter Schools / Innovative Project Schools, specifically for schools identified as schools in need of Comprehensive Support and Improvement (CSI) under the State's federally approved plan for the Every Student Succeeds Act (ESSA). These funds are additional resources to improve student achievement and ultimately to assist these schools with exiting the identification and status of CSI.

Competitive School Improvement funds, authorized under section 1003(a) of Title I of the Elementary and Secondary Education Act of 1965 (ESEA) as amended by the Every Student Succeeds Act (ESSA), are funds reserved from the State Educational Agencies (SEA's) Title I, Part A funds to make competitive subgrants available to schools identified as CSI that demonstrate the greatest need for the funds and the strongest commitment to use the funds to provide adequate resources in order to substantially raise the achievement of students so as to enable the schools to make adequate yearly progress and exit improvement status.

ELIGIBILITY: To be eligible to apply for and receive these funds, an organization must have one or more schools identified under the federally approved definition for CSI schools. Funding will be made based on a competitive application process.

FORMULA: Total funding to organizations is subject to receipt of approved allocations from the U.S. Department of Education.

Funds will be allotted based on approval of an application for School Improvement Competitive funds (PRC-115) submitted by the organization on behalf of schools identified as CSI.

Each awarded subgrant recipient will receive a proportion of the 3% from

Title I, Part A competitive funds set aside annually for school improvement activities targeting schools identified as CSI.

SPECIAL PROVISIONS:

1) Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months. Funds are potentially available to organizations for 27 months provided there are schools identified as CSI schools and a budget is submitted each year.

2) The SEA will determine whether to renew an organization's grant if one or more schools within the organization are not meeting the goals identified for the interventions an organization is implementing, student achievement outcomes, and / or other factors determined by the SEA. Pending renewal, these subgrants to CSI schools would be for a period of 3 years.

ESEA TITLE I - SCHOOL IMPROVEMENT – 1003(G) FUNDS (PRC 117)

PROGRAM REPORT CODE:	117
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-117-XXX
CFDA #:	84.377A
PR/AWARD #:	S377A090034A

TYPE:	Dollars
TERM:	Potentially up to 63 months
PURPOSE:	School Improvement Grants (SIGs), authorized under section 1003(g) of Title I of the Elementary and Secondary Education Act of 1965 (ESEA), are grants to state educational agencies (SEAs) that SEAs use to make competitive subgrants to local educational agencies (LEAs) that demonstrate the greatest need for the funds and the strongest commitment to use the funds to provide adequate resources in order to substantially raise the achievement of students in their lowest-performing schools. SIG funds under section 1003(g) of the ESEA are used to improve student achievement in title I schools identified for improvement, corrective action, or restructuring so as to enable those schools to make adequate yearly progress (AYP) and exit improvement status. The Assistant Secretary for Elementary and Secondary Education adopts final requirements for the School Improvement Grants (SIG) program, authorized under section 1003(g) of title I of the Elementary and Secondary Education Act of 1965, as amended (ESEA). These final requirements make changes to the current SIG program requirements and implement language in the Consolidated Appropriations Act, 2014, that allows local educational agencies (LEAs) to implement additional interventions, provides flexibility for rural LEAs, and extends the grant period from three to five years. SIG funds must operate under the Final Requirements, published in the Federal Register in February 2015 through the period of availability of those funds.

ELIGIBILITY:	To be eligible to receive these funds, an LEA/charter school must have one or more schools identified as priority. Grants will be made based on a competitive process. The actual recipients to be funded are selected on the basis of criteria specified in the School Improvement Grant Application and Federal regulations.
FORMULA:	Total funding to LEAs/charter schools is subject to receipt of approved allocations from the U.S. Department of Education.

SPECIAL PROVISION:

1) Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings amendment extends the grant period to 63 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 63 months provided a budget is submitted each year and the LEA/Charter annual grant renewal application is approved. The LEA budget for each year may not exceed the number of schools it commits to serve multiplied by $2,000,000.
2) The SEA will determine whether to renew an LEA's SIG grant if one or more schools within the LEA are not meeting the goals identified for the interventions an LEA is implementing, student achievement outcomes, and/or leading indicators as described in the Assurances.

ESEA TITLE I, PART C - MIGRANT EDUCATION PROGRAM (PRC 051)

PROGRAM REPORT CODE:	051
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-051-XXX
CFDA #:	84.011A

TYPE:	Dollars
TERM:	27 months
PURPOSE:	Provides funding for programs meeting the special educational needs of migratory children of migrant agricultural workers and fishers.
ELIGIBILITY:	Each LEA with an eligible population of students is entitled to funding. **Application must be approved prior to the LEA receiving the allotment.**
FORMULA:	Formula is as follows:

Every LEA that reports any Migratory Children for the purpose of establishing a Migrant Education Program will receive a minimum of the dollar equivalent to ½ of a teacher position converted at the statewide average salary for classroom teachers. A minimum of approximately 50 students is recommended for establishing a new program. Minimum funding for ongoing programs will also be the dollar equivalent to ½ of a teacher position converted at the statewide average salary for classroom teachers.

Funding is weighted based on the table below:

Variable Factors	**Weight**
Headcount (A.1)	5.0
Summer Headcount (A.2)	2.0
Participants in Program (B.1)	1.5
Priority for Service (Moved in Between 9/1 & 8/31 ***and are also failing to meet state standards)***	1.0
Out-of-School Youth Served (Served OS)	1.0
Number of LEP who are also Migrant	2.0

Supplemental to this portion of the funding formula, an amount of $100,000 will be reserved for an additional allotment to LEAs based on a percent to total of the LEAs' total dollars per average daily membership (state, federal and local) based on the most recent data available. This formula will be reversed in that the LEA with the lowest total dollars per ADM will receive the largest allotment.

SPECIAL PROVISION:

1) Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year.
2) Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs / charter schools based on the current formula or program needs by February.
3) The administrative cap on Migrant funds is 20%.

ESEA TITLE I, PART D - NEGLECTED & DELINQUENT (STATE AGENCY PROGRAMS) (PRC 047)

PROGRAM REPORT CODE:	047
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-047-XXX
CFDA #:	80.013A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	Provides funding to State agencies for the educational needs of children in institutions for neglected or delinquent, in adult correctional institutions, or attending community day programs.
ELIGIBILITY:	Eligible State agencies are agencies that are responsible for providing free public education to children in neglected and delinquent institutions, in adult correctional institutions, and enrolled in community day programs.
FORMULA:	Funding for eligible State agencies is determined by the U.S. Department of Education and is based on the federal formula which uses the child count of neglected and delinquent children and the State's average per pupil expenditures.

SPECIAL PROVISION:

1) Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months.

ESEA TITLE II, PART A – SUPPORTING EFFECTIVE INSTRUCTION (PRC 103)

PROGRAM REPORT CODE:	103
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-103-XXX
CFDA #:	84.367A

TYPE:	Dollars
TERM:	Up to 27 Months
PURPOSE:	Provides funding to help increase the academic achievement of all students by ensuring that all teachers are highly qualified to teach.
ELIGIBILITY:	LEAs, charter schools, and Private Schools are eligible to apply for funding. Private Schools will be required to collaborate with LEAs when applying. **Application must be approved prior to the LEA/charter school receiving the allotment.**
FORMULA:	Allocations to LEAs are based on the following: 1) Twenty percent (20%) will be distributed based on relative population of children ages 5-17. 2) Eighty percent (80%) will be distributed based on the relative population of children ages 5-17 from families with incomes below the poverty line.

SPECIAL PROVISION:

1) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
2) There is no limit on the amount that an LEA/charter school may spend for administrative costs. However, all costs are subject to requirements in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements (Uniform Guidance) to include the requirement that all costs must be necessary, reasonable, and allocable to the program.
3) Program Administrators will notify the School Allotments Section of any LEA/charter school that has not submitted an application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.

4) Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.

ESEA TITLE III, PART A – ENGLISH LANGUAGE ACQUISITION (PRC 104)

PROGRAM REPORT CODE:	104
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-104-XXX
CFDA #:	84.365A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	To help ensure that children who are English Learners (ELs), including immigrant children and youth, attain English proficiency, develop high levels of academic attainment in English and meet the same State academic content and student achievement standards of non-EL children, and to assist LEAs/charter schools in building their capacity to establish, implement, and sustain language instructional educational programs and programs of English language development for EL children.
ELIGIBILITY:	LEAs/charter schools having a sufficient number of English Learner students are eligible for funding. **The PRC 104 portion of the Title III Application in the NCCIP must be approved in order for the LEA/charter school to receive funding. Applications are due in June of each year**
FORMULA:	Funds are allocated on the basis of an annual headcount of the LEA's/charter school's LEP students, including immigrant students and youth. Each eligible PSU receives an amount in the same proportion that the population of English learners in schools served by the eligible unit bears to the population of English learners in schools served by all eligible units in the State.

SPECIAL PROVISION:

1) Any LEA/charter school earning less than $10,000 based on the formula must enter a consortium with other LEAs/charter schools. **For any new consortia created as of 2006: No more than five LEAs are allowed in one consortium. Contact program administrators for the state consortium guidelines, as well as consortium guidance from the US Dept. of Education**.
2) If an eligible LEA/charter school is a member of a consortium receiving an award under PRC 104, the LEA/charter school's PRC 104 award shall be allotted to the fiscal agent for the consortium and the sum total of awarded funds shall be used to benefit all of the members of the consortium.

3) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
4) Applications not submitted by November 30 will be subject to reversion. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget.
5) Funds must be used to supplement and not supplant existing resources. ESEA section 3115 states, in *(g) Supplement, Not Supplant- Federal funds made available under this subpart shall be used so as to supplement the level of Federal, State, and local public funds that, in the absence of such availability, would have been expended for programs for limited English proficient children and immigrant children and youth and in no case to supplant such Federal, State, and local public funds*.
6) Administration expenditures are limited to 2% of total expenditures.
7) One-day or short-term workshops and conferences are not permitted unless the activity is a part of an established comprehensive professional development program for an individual teacher.
8) Reallocation of funds: Funding for current year projects not allocated will be redistributed to eligible units based on the current formula or program needs as determined by grant requirements by February of each year.

ESEA TITLE III, PART A – ENGLISH LANGUAGE ACQUISITION (SIGNIFICANT INCREASE) (PRC 111)

PROGRAM REPORT CODE:	111
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-111-XXX
CFDA #:	84.365A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	To provide funds for enhanced instructional opportunities for immigrant children and youth designed to assist them in achieving in elementary and secondary schools, including activities designed to assist parents in becoming active participants in the education of their children and activities designed to support personnel to provide services specifically to immigrant children and youth. Also provides funds for additional basic instructional services that are directly attributable to the presence of eligible immigrant children and youth.
ELIGIBILITY:	LEAs/charter schools having a significant increase in the percentage of immigrant students enrolled in the current fiscal year as compared to the average of the two preceding fiscal years. **The PRC 111 portion of the Title III Application in the NCCIP must be approved in order for the LEA/charter school to receive funding. Applications are due in June of each year.**
FORMULA:	Funds are allocated on the basis of an annual headcount of the LEA/charter schools immigrant students.

SPECIAL PROVISION:

1) If an eligible LEA/charter school is a member of a consortium receiving an award under (PRC 104) and also receives an award under Title III– Significant Increase (PRC 111), the significant increase funds shall be allotted to the specific LEA. The LEA recipient may choose to expend PRC 111 funds only for their LEA OR to combine their awarded funds to benefit all the members of the consortium. The LEA and/or consortium Title III Application(s) must reflect how the funds will be expended.
2) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are

potentially available to LEAs/charter schools for 27 months, provided a project is submitted each year.

3) LEA must be able to show how PRC 111 funding provides enhanced instructional opportunities for immigrant children and youth.
4) Applications not submitted by November 30, will be subject to reversion. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget.
5) Reallocation of funds: Funding for current year projects not allocated will be redistributed to eligible units based on the current formula or program needs as determined by grant requirements by February.
6) Funds must be used to supplement not supplant existing Federal, State, and local resources. ESEA section 3115 states, in *(g) Supplement, Not Supplant- Federal funds made available under this subpart shall be used so as to supplement the level of Federal, State, and local public funds that, in the absence of such availability, would have been expended for programs for limited English proficient children and immigrant children and youth and in no case to supplant such Federal, State, and local public funds*.

ESEA TITLE IV, PART A – STUDENT SUPPORT AND ACADEMIC ENRICHMENT GRANTS (PRC 108)

PROGRAM REPORT CODE:	108
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-108-XXX
CFDA #:	84.424A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	To improve student's academic achievement by increasing the capacity of States, local educational agencies, schools and local communities to 1) provide all students with access to a well-rounded education; 2) improve school conditions for student learning; and 3) improve the use of technology in order to improve the academic achievement and digital literacy of all students.
ELIGIBILITY:	An LEA/charter school is eligible for SSAE funds if the LEA/charter school was eligible for Title I, Part A funds in the preceding fiscal year. **Application must be approved prior to the LEA/ charter school receiving the allotment.**
FORMULA:	Allocations to eligible LEAs/charter schools are made on the basis of their relative shares of funds under Title I, Part A for the preceding fiscal year. No LEA may receive an allocation that is less than $10,000. If funds are insufficient to provide the $10,000 minimum allocation to each eligible LEA (i.e., each LEA that received Title I, Part A funds for the preceding fiscal year), the SEA must provide each eligible LEA with an allocation in an amount that is ratably reduced below $10,000, consistent with section 4105(b).

SPECIAL PROVISION:

1) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
2) Program Administrators will notify the School Allotments Section of any LEA/charter school that has not submitted an application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.

3) Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.

TITLE IV, PART B - 21ST CENTURY COMMUNITY LEARNING CENTERS (PRC 110)

PROGRAM REPORT CODE:	110
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-110-XXX
CFDA #:	84.287C

TYPE:	Dollars
TERM:	Up to 15 Months
PURPOSE:	The purpose of the 21st Century Community Learning Centers (21st CCLC) program is to establish or expand community learning centers that provide academic enrichment opportunities during non-school hours for children, particularly students who attend high-poverty and low-performing schools. The program helps students meet State and local student standards in core academic subjects, such as reading and math; offers students a broad array of enrichment activities that can complement their regular academic programs; and offers literacy and other educational services to the families of participating children.
ELIGIBILITY:	LEAs, charter and private schools, and public or private organizations are eligible to apply for funding. Grants will be made based on a competitive process, with recipients to be funded and selected on the basis of criteria specified in the Request for Proposal (RFP). **State Board of Education approval is required before allocation of funds**.
FORMULA:	N/A

SPECIAL PROVISION:

1) Grants will be awarded for three years. Funding for each of the three years will be subject to the availability of funds and will be based on evidence of compliance with the Request for Proposal (RFP), state and federal guidance, and program progress and performance.
2) Each grantee can be awarded funds for the academic year starting on July 1st and ending on September 30th. Unspent funds remaining after September 30th of each year will revert without a liquidation period.
3) The minimum amount of an allotment to eligible entities is $50,000, and the maximum amount is not to exceed $300,000.00 unless the program administrators, with State Board approval, deem another amount as necessary to operate a program.
4) Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

SUMMER MINI-GRANTS:

1) If funds remain available after approving all current year projects, DPI will award summer mini-grants to establish or expand community learning centers that operate during out-of-school (OTS) hours. Summer mini-grants will be one-time awards to cover summer programming for a single calendar year and will be non-recurring.
2) The minimum amount of an allotment to eligible entities is $50,000, and the maximum amount is not to exceed $300,000.
3) Funds for summer mini- grants may be used for allowable program expenditures beginning on May 10th and ending on September 1st. September 1st is the deadline for obligation of summer mini-grant funds to ensure reimbursements are received prior to September 30th. Funds remaining after September 30th will revert without a liquidation period.
4) Summer mini-grant funds may only be used for allowable costs for the 21st Century Community Learning Centers Program.

ESEA TITLE IV, PART C -- NC ADVANCING CHARTER COLLABORATION AND EXCELLENCE FOR STUDENT SUCCESS (ACCESS) SUBGRANT PROGRAM (PRC 160)

PROGRAM REPORT CODE:	160
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-160-XXX
CFDA #:	84.282A

TYPE:	Dollars
TERM:	Up to 60 months, 10/01/2018 –09/29/2023
PURPOSE:	North Carolina's approved federal Charter Schools Program (CSP) grant created the NC ACCESS Program to create competitive school-level subgrants to increase the number of educationally disadvantaged students attending high-quality charter schools and expand the number of high-quality charter schools available to educationally disadvantaged students
ELIGIBILITY:	Charter schools that meet the eligibility criteria outlined in North Carolina's state CSP application may apply. **Those approved by the State Board of Education are awarded funding**
FORMULA:	N/A.

SPECIAL PROVISION:

1) All expended funds shall be allowable under Section 4303(h) of Every Student Succeeds Act.
2) Per the approved state CSP grant award, subgrants for each category shall not exceed:
   a) Planning and Implementation: $400,000
   b) Implementation Only: $250,000
   c) Expansion: $600,000
   d) Replication: $600,000
3) Funds received through this grant shall not supplant current funding streams.

ESEA TITLE V, PART B – RURAL AND LOW-INCOME SCHOOLS (RLIS) (PRC 109)

PROGRAM REPORT CODE:	109
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-109-XXX
CFDA #:	84.358

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	To provide additional resources for rural and low-income schools that might otherwise receive formula allocations in amounts too small to be effective in meeting their intended purpose
ELIGIBILITY:	All of the following conditions must be true for an LEA/charter school to receive funding: 1) 20% or more of the children ages 5 to 17 served by the LEA/charter school are from families with incomes below the poverty line. 2) All schools in the LEA/charter school must be designated as school locale code 32, 33, 41, 42, or 43 (school locale codes 32, 33, 41, 42, or 43 have less than 25,000 people); and 3) The LEA/charter school is eligible to receive a grant from the Small, Rural Schools Act (SRSA) if it is eligible for a RLIS grant. 4) Eligibility is subject to a final determination by the U.S. Department of Education. **Application must be approved prior to the LEA/charter school receiving the allotment.**
FORMULA:	Allocations to eligible LEAs/charter schools are made on the basis of ADM.

SPECIAL PROVISION:

1) Use of funds: Grant funds shall be used for any of the following:
   a) Title I, Part A Improving the Academic Achievement of the Disadvantaged (PRC 050)
   b) Title II, Part A Supporting Effective Instruction (PRC 103)
   c) Title III English Learner (PRC 104)
   d) Title IV Part A Student Support and Academic Enrichment Grants
   e) Parental Involvement Activities
2) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are

potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.

3) Program Administrators will notify the School Allotments Section of any LEA/charter school that has not submitted an application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
4) Reallocation of current year funds: Funding for the current year that is not allotted will be distributed to eligible PSUs based on the current formula or program needs by February.

MATH AND SCIENCE PARTNERSHIP (PRC 112)

PROGRAM REPORT CODE:	112
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-112-XXX
CFDA #:	84.366B

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	The Mathematics and Science Partnership (MSP) program is intended to increase the academic achievement of students in mathematics and science by enhancing the content knowledge and teaching skills of classroom teachers. Partnerships between high-need school districts and the science, technology, engineering, and mathematics (STEM) faculty in institutions of higher education are at the core of these improvement efforts. Other partners may include state education agencies, public charter schools or other public schools, businesses, and nonprofit or for-profit organizations concerned with mathematics and science education.
ELIGIBILITY:	LEAs, Charter and Private Schools, or any public or private organization are eligible to apply for funding. Grants will be made based on a competitive process. The actual recipient to be funded will be selected on the basis of criteria specified in the Request for Proposal (RFP) and Federal regulations. **State Board of Education approval is required before the allocation of funds to selected LEAs/charter schools.**
FORMULA:	N/A

SPECIAL PROVISION:

1) Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

MCKINNEY – VENTO HOMELESS ASSISTANCE ACT (PRC 026)

PROGRAM REPORT CODE:	026
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-026-XXX
CFDA #:	84.196A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	To provide funds to develop services to meet the educational and related needs of homeless students (e.g. tutoring, counseling, enrollment, attendance, staff development, parent training, etc.).
ELIGIBILITY:	LEAs including charter schools which have identified 50 or more homeless students, may submit an application. LEAs including charter schools, which have identified less than 50 homeless students, may submit an application as a consortium with LEAs whose total number of identified homeless students is greater than 50. **State Board of Education approval is required before allocation of funds to selected LEAs/charter schools**.
FORMULA:	N/A

SPECIAL PROVISION:

1) Priority must be given to homeless students. After the educational and related needs of homeless students are met, other at-risk children are eligible to receive services.
2) Each project is awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year.
3) Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
4) The sub-grant award is available for three years beginning with the school year following approval.

SUMMER MINI-GRANTS:

1) Summer mini-grants are awarded by DPI to establish or expand homeless education programs as stipulated in the McKinney-Vento Act.
2) The minimum amount of an allotment to eligible entities is $2,000 and the maximum amount is not to exceed $25,000.
3) Funds for summer mini- grants may be used for allowable program expenditures beginning on May 10th and ending on September 30th with a liquidation date of December 30th.
4) Summer mini-grant funds may only be used for allowable costs for McKinney-Vento Homeless Education Program implementation.

Special Education Programs

PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS



IDEA – SECTION 611 GRANTS TO STATES (PRC 060)

PROGRAM REPORT CODE:	060
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-060-XXX
CFDA #:	84.027A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	Provides funding to initiate, expand, and continue special education and related services to children with disabilities ages 3 through 21.
ELIGIBILITY:	Each LEA/charter school/state operated program must establish their eligibility under section 613 of the IDEA. A plan must be submitted that provides assurances that the LEA/charter school/state-operated program meets each of the conditions in section 613(a) of the IDEA. Funds are available to provide services for eligible children with disabilities currently served and who subsequently enroll or are identified during the year, or to conduct child find activities if no children with disabilities are currently served by the LEA/charter school/state-operated program. **Grant application with established eligibility must be approved prior to the LEA/charter school/state-operated program receiving the allotment.**
FORMULA:	Formula is as follows:

1) Base payments:
   a) Each LEA shall receive a base amount equal to a proportional share of 75% of the FY 1999-2000 IDEA Title VI-B grant. The LEA's portion is calculated based on the December 1998 child count.
2) Base payment adjustments for any fiscal year after the base year fiscal year are adjusted:
   a) If a new LEA or charter school is created, the LEA's base allocation shall be divided among the new LEA or charter school and affected LEA based on the relative numbers of children with disabilities ages 3 through 21 contained in the most current December 1st child count.
   b) If one or more LEAs are combined into a single new LEA, the base allocations of the merged LEAs shall be combined.
   c) If the LEA's geographic boundaries or administrative responsibility for providing services to children with disabilities ages 3 through 21 are changed, the base allocations of affected LEAs shall be redistributed among affected LEAs. The base will then be based on the relative number of children with disabilities from ages 3 through 21 contained in the most current December 1st child count.
3) Funds remaining after distribution of the base shall be allocated as follows:

a) Eighty-five percent (85%) of remaining funds shall be allotted to those agencies on the basis of ADM of children enrolled in public and private elementary and secondary schools within each agency's jurisdiction.
b) Fifteen percent (15%) of remaining funds shall be allotted to those agencies in accordance with their relative numbers of children living in poverty, as determined by the December 1st free lunch count.

4) Set Aside and Risk Pool:
   a) If the actual amount set aside for State administration is over $850,000 and the State will use funds from its award to support a high-risk pool, the maximum amount the state may set aside is 10% of the grant award. North Carolina supports a Risk Pool and Out-of-District Placement Program. These funds may also be used for state level activities in the description of the Use of Funds under Part B of the Individuals with Disabilities Education Act – 20 U. S C. 1411(e)(5); 34CFR, Section 300.171.

SPECIAL PROVISION:

1) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools/state operated programs for 27 months, provided a timely project application is submitted each year.
2) Each LEA/charter school/state operated program must maintain documentation that it has spent the same minimum amount (on the average) for the education of its children with disabilities as the LEA/charter school/state operated program has spent for all the children in the LEA/charter school/state operated program taken as a whole before any IDEA VI-B funds are used for the education of children with disabilities.
3) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget based on the results of a Hearing.
4) Reallocation of funds – Any funding not allotted will be reallocated in accordance with the program formula.
5) The Individuals with Disabilities Education Improvement Act (2004) IDEA requires LEAs /Charters determined to have significant disproportionality as described under 300.646(b) (2) to reserve the maximum amount of funds (15%) under section 613(f) of the Act to provide comprehensive coordinated early intervening services to serve children in the LEA/Charter, particularly, but not exclusively, children in those groups that are significantly disproportionate under 300.646(a) of the IDEA regulation. The IDEA also requires the LEA to review and, if appropriate, revise policies, practices, and procedures as describe under 300.646(b)(1) of the IDEA regulations.
6) Funds are transferred under two conditions: (1) LEAs with significant disproportionality will have 15% of their current year total IDEA allocation (PRC 049 and 060) transferred to Program Report Code 070 – Coordinated Early Intervening Services – IDEA 2004. This fund transfer directive comes from the Exceptional Children Division annually of all LEAs

with significant disproportionality. (2) LEAs may request permission for "permissive use" of up to and including 15% of their total IDEA 2004 allocation (PRC 049 and 060) for Coordinated Early Intervening Services from the Exceptional Children Division. The amounts approved by the Exceptional Children Division are subtracted from the LEAs PRC 060 current allotment by the School Business Services – School Allotments Section before funds are allocated.

7) During the first 60 school days, if a child with disabilities who was included in the prior fiscal year December 1 child counts leaves or returns to an LEA from a charter school or leaves or returns to a charter school from a charter school, the share of funds allocated for that child will be adjusted. In order to initiate the transfer of funds, the LEA or charter school receiving the child must enter and submit all children received in the NC DPI Child Count Transfer System by the 10th of the month after the child transferred.

IDEA - CHILDREN WITH DISABILITIES – RISK POOL (PRC 114)

PROGRAM REPORT CODE:	114
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-114-XXX
CFDA #:	84.027A

TYPE:	Dollars
TERM:	July 1 - June 30
PURPOSE:	Provides IDEA, Title VI, Part B funds to "high need" students with disabilities served in local education agencies (LEAs). These funds are to be used for the student's special education and related service needs.
ELIGIBILITY:	A reserve has been established for LEAs with "high needs" students with disabilities. The costs of the educational services must impact the resources of the LEA serving the student. The allocation is based on an approved budget submitted by the LEA. The LEA must address the following information before eligibility can be determined for funding: 1) Address the financial impact on the LEA. 2) Address number of children over the 12.75% cap, receipt of local funding, the receipt of low wealth funds. 3) Have other special funding applications been submitted for the student? Note that the child can receive funds from only one special funding source. 4) Ensure that the cost of the student is greater or equal to three times the average per pupil expenditure. 5) Address the additional special education and related services needed for each student. 6) There is no "carry-over" provision. Funds must be spent in the school year allocated. 7) A Summary list of LEA/charter school allotments will be provided semi-annually to the State Board of Education.
FORMULA:	State aid and federal IDEA 611 per child allotments are subtracted from the costs of special education and related services on an approved application. The remaining funds are allocated to the LEA/charter school.

SPECIAL PROVISION:

1) Funds cannot be transferred into or out of this category.
2) Funds may only be expended according to the approved budget.
3) An application must be submitted annually for each student with disabilities to determine eligibility.

4) Special consideration will be given to those LEAs with counts over and those which receive no local funding.
5) Funds are child-specific and all unused funds must be returned. Any unexpended funds that revert to DPI will be reallocated through PRC 060 as supplemental allotments to PSUs with approved applications.
6) All withdrawals must be reported to DPI.
7) Indirect cost cannot be charged to this program.
8) Positions paid with these funds are subject to time and effort requirements.
9) Additional information and application located at: ccip.schools.nc.gov.

IDEA – COORDINATED EARLY INTERVENING SERVICES (CEIS) (PRC 070)

PROGRAM REPORT CODE:	070
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-070-XXX
CFDA #:	84.027

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	CEIS is used to develop and implement coordinated, early intervening services. IDEA federal regulations mandates 15% of an LEA, charter school, or state-operated program's total annual IDEA allocation (PRC 049 and 060) is set-aside for any LEA, charter school or state-operated program with significant disproportionality based on race and ethnicity with respect to the identification of children with disabilities including particular impairment; the placement in particular educational settings of children; and the incidence, duration and types of disciplinary actions, including suspension and expulsions. **This legislation also requires states to monitor the expenditure of CEIS funds. Services provided for non-disabled students for CEIS should be purchased through Program Report Code (PRC) 070.**
ELIGIBILITY:	All LEAs, charter schools, state-operated programs mandated to use 15% of their total IDEA funds (PRC 049 and 060) and LEAs, charter schools and state-operated programs electing to use up to and including 15% of their total IDEA funds (PRC 049 and 060) permissively for CEIS are eligible. For permissive use of funds, the funds may be used for students in kindergarten through grade 12 (with particular emphasis on students in kindergarten through grade 3) who have not been identified as needing special education or related services.
FORMULA:	The formula for mandated CEIS is 15% of the LEA, charter school or state-operated program's current year total IDEA allotment (PRC 049, 060) transferred to PRC 070. The formula for permissive CEIS is up to and including 15% of the LEA, charter school or state-operated program's current year total IDEA allotment (PRC 049 and 060) transferred to PRC 070.

SPECIAL PROVISION:

1) For non-mandatory CEIS LEAs, funds can be reverted (between July 1 and January 15 of the initial fiscal year of the allocation) from PRC 070 to PRC 060. Funds may only be expended according to the approved budget.
2) Expenditures for Coordinated Early Intervening Services should be from PRC 070.
3) Coordinated Early Intervening Service expenditures must be based on scientifically research-based programs selected by the LEA, charter school or state-operated program for students in general education at risk of placement in special education. The 15% provided for these programs is federally mandated for any LEA, charter school or state-operated with significant disproportionality by disability, or race-ethnicity, but this does not prohibit other LEAs, charter schools or state-operated programs from using up to and including 15% of their total IDEA funds for Coordinated Early Intervening Services.
4) Funds are specific and all unused funds not encumbered by June 30th are carried over to next year. Although calculated on the total IDEA allocation (PRC 049 and 060), the 15% for Coordinated Early Intervening Services is taken from the initial PRC 060 allocation, thus reducing the initial allocation annually. The 15% for Coordinated Early Intervening Services cannot be based on carry-over funds from prior year initial allotments. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months, provided a timely project application is submitted each year. The 15% of total IDEA funds for Coordinated Early Intervening Services follows the same liquidation period. Federal regulations require 15% be set-aside annually for all mandated CEIS.
5) Exceptional Children Division will, after review of necessary data, assign technical assistance to LEAs not following state and federal guidelines regarding the use of these federal funds.
6) Positions paid with these funds are subject to time and effort requirements.

IDEA– SECTION 619 PRESCHOOL GRANTS (PRC 049)

PROGRAM REPORT CODE:	049
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-049-XXX
CFDA #:	84.173A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	Provides funds to initiate and expand preschool special education and related services programs for children with disabilities ages 3-5.
ELIGIBILITY:	Each LEA that has established its eligibility under section 619 of IDEA is eligible for funds to provide services for eligible children with disabilities ages 3-5. **A grant application must be submitted and approved prior to the LEA receiving the allotment.**
FORMULA:	Formula is as follows:

1) Base payments:
   a) Each LEA shall receive a base amount equal to a proportional share of 75% of the FY 1997-98 IDEA Title VI-B Preschool grant. The LEA's portion is calculated based on the December 1996 child count.
2) Base payment adjustments: For any fiscal year after the base year fiscal year:
   a) If a new LEA is created, the LEA's base allocation shall be divided among the new LEA and the affected LEA based on the relative numbers of children with disabilities ages 3 through 5 contained in the most current December 1 child count.
   b) If one or more LEAs are combined into a single new LEA, the base allocations of the merged LEAs shall be combined.
   c) If two or more LEAs with geographical boundaries or administrative responsibilities for providing services to children ages 3 through 5 changes, the base allocations of the affected LEAs shall be redistributed among affected LEAs. This redistribution is based on the relative numbers of children with disabilities ages 3 through 5 contained in the most current December 1st Child Count.
3) Funds remaining after distribution of the base shall be allocated as follows:
   a) Eighty-five percent (85%) of remaining funds shall be allotted on the basis of ADM of children enrolled in public and private elementary and secondary schools within each agency's jurisdiction.
   b) Fifteen percent (15%) of the remaining funds shall be allotted in accordance with the relative numbers of children living in poverty, as determined by the December 1st free lunch count.

SPECIAL PROVISION:

1) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months provided a project is submitted each year.
2) Funds must be used to supplement state, local and other federal funds and not to supplant those funds.
3) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's budget.
4) Reallocation of funds – Any funding not allotted will reallocated to PSUs with approved applications in accordance with the program formula.
5) Positions paid with these funds are subject to time and effort requirements.

IDEA SPECIAL NEEDS TARGETED ASSISTANCE (PRC 118)

PROGRAM REPORT CODE:	118
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-118-XXX
CFDA #:	84.027A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	The Individuals with Disabilities Education Act 2004 provides funds to local education agencies, charter schools and state-operated programs for specific areas of need for students with disabilities. These targeted areas include the establishment and coordination of reading/writing training, Positive Behavior Interventions and Support coordinators and training, related services support, autism and low incidence support and training.
ELIGIBILITY:	All LEA/charter school/state-operated programs are eligible based on specific targeted assistance approved by the Exceptional Children Division. Initial application for competitive awards must be approved by the State Board of Education prior to the LEA receiving the allotment. A summary list of LEA allotments for non-competitive awards will be provided to the State Board of Education semi-annually.
FORMULA:	These funds are allocated based on state level assessment of local needs, approved requests to operate academic and behavioral programs, and the costs of approved state level initiatives.

SPECIAL PROVISION:

1) Each LEA, charter school and state-operated program can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools/state-operated programs for 27 months.
2) Each LEA/charter school/state-operated program must maintain documentation of funds expended annually to support the approved special needs targeted assistance and report the expenditures to the EC Division through the Combined Expenditure Report.
3) Funds are specific and all unused funds not encumbered by June 30th are carried over to the next year.
4) Current year awards for which a PSU is eligible will be reduced by the amount of funds carried over by that PSU from the previous fiscal year.
5) Positions paid with these funds are subject to time and effort requirements.

IDEA PRESCHOOL TARGETED ASSISTANCE (PRC 119)

PROGRAM REPORT CODE:	119
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-119-XXX
CFDA #:	84.173A

TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	The Individuals with Disabilities Education Act 2004 provides funds to local education agencies specific areas of need for students with disabilities. These targeted areas include professional development and support around activities to improve Child Find programs, early childhood transitions, early childhood outcomes, and improving preschool LRE opportunities for handicapped preschoolers.
ELIGIBILITY:	LEAs are eligible based on specific targeted assistance approved by the Office of Early Learning. Initial application for competitive awards must be approved by the State Board of Education prior to the LEA receiving the allotment. A summary list of LEA allotments for non-competitive awards will be provided to the State Board of Education semi-annually.
FORMULA:	These funds are allocated based on state level assessment of local needs, approved requests to operate best practice programs, and the costs of approved state level initiatives.

SPECIAL PROVISION:

1) Each LEA can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months.
2) Each LEA must maintain documentation of funds expended annually to support the approved special needs targeted assistance.
3) Positions paid with these funds are subject to time and effort requirements.
4) Any funds not allotted by DPI during the previous year will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
5) Funds are specific, and all unused funds not encumbered by June 30th are carried over to the next year.
6) Current year awards for which a PSU is eligible will be reduced by the amount of funds carried over by that PSU from the previous fiscal year.

IDEA– SPECIAL EDUCATION STATE IMPROVEMENT GRANT (PRC 082)

PROGRAM REPORT CODE:	082
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-082-XXX
CFDA #:	84.323A

TYPE:	Dollars
TERM:	60 months in a recurring five-year cycle
PURPOSE:	The NC State Improvement Project (State Personnel Development Grant) provides personnel development and program support services to significantly improve the performance and success of students with disabilities state-operated programs, traditional and charter local education agencies (LEAs) in North Carolina. Funds support the implementation of researched-based practices in reading, mathematics, co-teaching, adolescent literacy, leadership development and coaching support through the establishment of best practices centers, demonstration, and network partnership implementation. The four major goals established to accomplish the purpose of the NC State Improvement Project (NC SIP) are: 1) NC SIP staff will increase their capacity to provide leadership, professional development, coaching, and supports to participating districts, teachers, and families on leadership and effective reading math, and content literacy instruction. 2) District and building administrators will have the skills to develop, implement, and evaluate district plans that support the improvement of core content instruction and achievement of students with disabilities in their districts. 3) Teachers and administrators will have the skills to effectively implement research-based reading, math, adolescent literacy and co-teaching instructional practices for students with disabilities in the K-12 classroom, which will lead to increased student engagement, student generalization of skills, academic achievement, and family engagement. 4) 4. Pre-service teachers and in-service administrators enrolled in partnering IHEs, will have the capacity to effectively implement and support research-based reading, math adolescent literacy, and co-teaching for students with disabilities.

ELIGIBILITY:	Each state-operated program, traditional and charter LEA is provided an opportunity to submit an application to be a NC State Improvement Project (SIP) Best Practice, Demonstration, or Network site. Initial funding must be approved by the State Board of Education prior to the state operated program, traditional and charter and LEA receiving.
FORMULA:	Funds are allocated annually to state operated programs, traditional and charter LEAs selected through the competitive application progress. The amount of funds allocated is based on state level assessment of local needs, for selected and approved centers and sites.

SPECIAL PROVISION:

1) Funds may not be transferred out of this PRC.
2) Funds are allocated after September 30 of each year. This grant cycle will end September 30, 2021.
3) Each state operated program, traditional and charter LEA selected to participated in NC State Improvement Project must maintain and submit documentation of funds expended bi-annually to support the implementation of their SIP implementation plan.
4) Funds are specific and all unused funds not encumbered by September 30th are carried over to next year. In years 1-4 of the grant, selected LEAs have 27 months to spend the funding each year. In year 5 (final year of the grant), participating LEAs will have 12 months with a 90-day liquidation to use the grant funds
5) Current year awards for which a PSU is eligible will be reduced by the amount of funds carried over by that PSU from the previous fiscal year.
6) Guidelines for spending follow: 90% of funds allotted are to budgeted and spent for professional development; 10% of funds allotted are to be budgeted and spent for materials to support the professional development.

Career and Technical Education Programs

PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS

CAREER AND TECHNICAL EDUCATION - PROGRAM IMPROVEMENT (PRC 017)

PROGRAM REPORT CODE:	017
UNIFORM CHART OF ACCOUNTS CODE:	XXX0-017-XXX
CFDA #:	84.048A

TYPE:	Dollars
TERM:	Up to 12 months
PURPOSE:	Provides funding to assist in developing the academic, career and technical skills of secondary and postsecondary students who elect to enroll in career and technical education programs.
ELIGIBILITY:	Each LEA/charter school is entitled to funding based on applications approved by the Division of Career and Technical Education. **Application must be approved prior to the LEA/charter school receiving the allotment.**
FORMULA:	Of the total funds available for State Aid, allocation to LEAs/charter schools will be based on the following: 1) Thirty percent (30%) will be allotted based on individuals ages 5-17 who resided in the school district served by the LEA. 2) Seventy percent (70%) will be allotted based on individuals ages 5-17 who reside in the school district served by the LEA from families with incomes below the poverty line.

SPECIAL PROVISION:

1) Any LEA or charter school earning less than $15,000 based on the formula must enter a consortium with other LEAs or be granted a waiver by the State Board of Education from the minimum grant requirement to be eligible for a grant. Once a waiver has been approved, it will remain in effect throughout the duration covered by the state plan.
2) The allocation for LEAs and charter schools will be reduced according to the formula listed above for new charter schools. A charter school must submit an application by the application deadline date and serve grades 7-12 in order to receive funding.
3) Grant funds will be available for up to 12 months. All projects must end June 30, with no liquidation period allowed beyond that date.
4) Each eligible LEA/charter school receiving funds must limit administrative cost to five percent (5%).
5) Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula by February.

6) Reallocation of reverted funds: Funding reverted will be redistributed to eligible LEAs/charter schools in the following fiscal year in combination with that fiscal year's initial allotment based on the formula or program needs.

Miscellaneous Federal Programs

PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS



PROJECT SERV – HURRICANE FLORENCE RECOVERY (PRC 161)

PROGRAM REPORT CODE: 161

UNIFORM CHART OF ACCOUNTS CODE: XXXX-161-XXX

CFDA #:

PR/AWARD #:

TYPE:	Dollars
TERM:	Up to 24 months
PURPOSE:	This grant provides funding for education-related services for local educational agencies (LEAs) and charter schools that have had their learning environments disrupted by Hurricane Florence since September 2018. These funds are awarded pursuant to Project SERV.
ELIGIBILITY:	LEAs and charter schools in locations identified by FEMA 4393 –DR –NC Disaster Declaration as of 11/15/2018 were eligible to request funds through the State application. For an LEA or charter school to qualify for funding, the organization had to demonstrate that it incurred expenses. The following LEAs and charter schools were included in the State application and are eligible for funds Bladen. Brunswick, Carteret, Chapel Hill –Carrboro, Davidson, Jones, New Hanover, Onslow, Pender, Robeson, Randolph, Sampson, Girls Leadership Academy of Wilmington
FORMULA:	Eligible requests approved within the State application shall be funded within grant funds available. If the total grant is not sufficient to cover all requests, the funding request for each recipient shall be reduced equally.

SPECIAL PROVISION:

1) Funds shall be used to reimburse eligible LEAs and charter schools for activities and costs that are reasonable and necessary to restoring the learning environment and activities that assist LEAs/Charters in managing the practical problems created by Hurricane Florence that have produced an undue hardship upon the LEAs/Charters
2) Allowable activities under the grant include, but not limited to:
   a) Targeted mental health assessments, referrals, and services related to the traumatic event with the goal of restoring victims/survivors to their pre-incident levels of functioning;
   b) Overtime for teachers, counselors, law enforcement and security officers, and other staff;
   c) Substitute teachers and other staff as necessary;
   d) Emergency transportation;

e) Transportation and other costs to operate school at an alternative site, e.g., leasing of space to substitute for damaged buildings.

3) The following types of services and activities are not supported by the grant
   a) Permanent security measures, such as stationary metal detectors and permanent security cameras,
   b) Construction;
   c) Claims recoverable under insurance coverage;
   d) Payment of settlements assessed against the LEA, employees, and/or members of employees' or students' families in civil court actions;
   e) Payment of legal fees or loss of wages due to court appearances incurred by the LEA, employees, and/or members of employees' or students' families;
   f) Costs for hospitalization, treatment of physical injuries, rehabilitation, or prescriptions; Payment for public relations consultants or activities;
   g) Services of existing county/public/private organizations whose role is to respond to emergency needs of children or families;
   h) Services normally provided by the LEA/Charter
   i) Any activity for which other resources are available (e.g., insurance, special government funding.
   j) Emergency management planning.

HURRICANE RELIEF – HURRICANE DORIAN RECOVERY (PRC 162)

PROGRAM REPORT CODE:	162
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-162-XXX
CFDA #:	84.938A

TYPE:	Dollars
TERM:	Up to 24 Months
PURPOSE:	The Immediate Aid to Restart School Operations program (Hurricane Relief – Hurricane Dorian Recovery) is intended to assist eligible LEAs and non-public schools with expenses related to restarting school operations, re-opening schools, and reenrolling students at elementary and secondary schools in areas impacted by tornadoes and floods occurring in calendar year 2019 in areas for which a major disaster or emergency is declared under section 401 or 501 of the Robert T. Stafford Disaster Relief and Emergency Act (42 U.S.C. 5170 and 5190) – which includes the impacts of Hurricane Dorian in September 2019. Funds may be used to assist school administrators and personnel in restarting school operations, re-opening schools, and reenrolling students.
ELIGIBILITY:	LEAs and charter schools that were impacted by flooding or tornadoes in locations in which a major disaster or emergency was declared under section 401 or 501 of the Robert T. Stafford Disaster Relief and Emergency Act were eligible to request funds through the State application. To receive funds, the LEAs had to demonstrate that it incurred expenses related to restarting school operations, re-opening schools, or reenrolling students due to damage sustained through flooding or tornadoes. The following LEAs and charter schools were included in the State application and are eligible for funds: Dare, Edgecombe, Hyde, Lenoir, and Tyrrell. **State Board of Education approval is required before allocation of funds**.
FORMULA:	Eligible requests approved within the State application shall be funded within grant funds available. If the total grant is not sufficient to cover all requests, the funding request for each recipient shall be reduced equally.

SPECIAL PROVISION:

1) Grants funds are available for 24 months from the date of the award to DPI. All funding must be obligated and liquidated at the end of the 24-month period of availability; there is no additional liquidation period for awarded funds.
2) Grant funds may not be used for construction or major renovation of schools; funds may be used for minor remodeling and repairs.
3) Subject to supplanting prohibitions, funding recipients may use grant funds for pre-award costs, including the reimbursement of expenditures incurred prior to receipt of a grant.
4) Grants funds must be used to supplement any funds mad available through local, State, or other Federal sources, including funding provided through the Federal Emergency Management Agency (FEMA).
5) Funds can be used for costs that may ultimately be reimbursed by insurance, FEMA, or other sources, but the LEA must reimburse the grant account once the other funds are received.

SCHOOL MENTAL HEALTH SUPPORT (PRC 102)

PROGRAM REPORT CODE: 102

UNIFORM CHART OF ACCOUNTS CODE: XXX0-102-XXX

CFDA #:

TYPE:	Dollars
TERM:	Up to 60 months
PURPOSE:	Provides funding for selected LEAs awarded in the grant to establish, sustain, and improve a continuum mental health and substance use supports and services for all students
ELIGIBILITY:	LEAs were selected through the US Substance Abuse and Mental Health Services Administration (SAMHSA)grant proposal process.
FORMULA:	The NC Project AWARE/ACTIVATE grant defines the total annual grant amount and the percentage for each category. The annual grant amount shall be distributed as follows: 1) 51% Infrastructure development –allocated by average daily membership (ADM) 2) 34% Services Activities –allocated by ADM 3) 11% Data Collection, Evaluation –allocated in an equal share among the grantees. 4) 4% Mental illness prevention -allocated by ADM

SPECIAL PROVISION:

1) Allotted funds which Project AWARE/ACTIVATE LEAs do not use as designated within each funding year(12-months) shall revert to the State for re-allocation the following funding year, based on SAMHSA approval of budget change requests.
2) Per the grant requirements, administration funds shall be provided annually as follows:
   a) DPI -1 fulltime project coordinator, and contracted service for evaluation.
   b) DHHS -half time project coordinator.
3) Funds cannot be transferred in or out of this category.

SEXUAL RISK AVOIDANCE EDUCATION PROGRAM (SRAE) (PRC 101)

PROGRAM REPORT CODE:	101
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-101-XXX
CFDA #:	93.235

TYPE:	Dollars
TERM:	Up to 15 months
PURPOSE:	Provides funding to enable the State to implement abstinence education programming as defined by Section 510(b) of the Social Security Act (42 U.S.C. 710 (b)). These programs may also include mentoring, counseling and adult supervision services that promote abstinence from sexual activity.
ELIGIBILITY:	The program must serve grade(s) 5 and 6 in 30 high need LEAs. LEAs are prioritized based on high rates of teen pregnancy, high teen birth rates, high rates of children ages 0-17 in foster care or out-of-home placement., high percentage of free and reduced lunch eligibility, and additional and academic risk factors (e.g. poor attendance and low graduation rates). Note: Due to federal guideline changes, States are limited to the number of sub-awardees to fund.
FORMULA:	N/A

SPECIAL PROVISION:

1) The grant period is from October 1st to September 30th of the following year. Funds must be obligated by September 30th and liquidated by December 31st. Any funds that remain unobligated or unliquidated after December 31st will revert to the State with no liquidation period beyond that date.
2) Carryover of funds is not applicable to this grant.
3) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved plan and budget by January 31st. After this notification, the LEA will be considered noncompliant and receipt of future allotments will be terminated at the end of the fiscal year.
4) Reallocation of current year funds: Funding for the current year that is not accepted by a prioritized LEA or is not approved by January 31st may be distributed to other eligible LEAs/charter schools based on program needs.

CHILD NUTRITION EQUIPMENT (NSLP) (PRC 053)

PROGRAM REPORT CODE:	053
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-053-XXX
CFDA #:	10.579
PR/AWARD #:	2011CL410345

TYPE:	Dollars
TERM:	June 30, 2017
PURPOSE:	A one-time United States Department of Agriculture (USDA) appropriation to assist Child Nutrition Programs in purchasing equipment that will be used in the preparation and service of school meals.
ELIGIBILITY:	Grants will be made to LEAs based on a competitive process who have 50% or more of students eligible for free or reduced meals. State Board of Education approval is required before allocation of funds to selected LEAs.
FORMULA:	N/A

SPECIAL PROVISIONS:

1) Grants will be made to LEAs based on a competitive process who have 50% or more of students eligible for free or reduced meals. State Board of Education approval is required before allocation of funds to selected LEAs.